

# Dedicated Grant Mechanism for Indigenous Peoples and Local Communities

## Global Learning and Knowledge Exchange Project

### Sixth Semiannual Progress Report

*Covering January 1, 2018 to June 30, 2018*

Prepared by Conservation International, as the Global Executing Agency of the Global Learning and Knowledge Exchange Project of the Dedicated Grant Mechanism for Indigenous Peoples and Local Communities (DGM), for submission to the World Bank and the DGM Global Steering Committee.

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## Abbreviations

|               |  |
|---------------|--|
| CI            | Conservation International   |
| CIF           | Climate Investment Funds   |
| CIF AU        | Administrative Unit of the Climate Investment Funds                    |
| COICA         | Coordinator of Indigenous Organizations of the Amazon River Basin      |
| COP 23        | 23 <sup>rd</sup> UNFCCC Conference of the Parties                      |
| DGM           | Dedicated Grant Mechanism for Indigenous Peoples and Local Communities |
| DGM [Country] | DGM Country Project in [Country]                                       |
| DGM Global    | DGM Global Learning and Knowledge Exchange Project                     |
| FIP           | Forest Investment Program  |
| GEA           | Global Executing Agency (of DGM Global)                                |
| GRM           | Grievance Redress Mechanism  |
| GSC           | Global Steering Committee (of DGM Global)                              |
| IIPFCC        | International Indigenous Peoples' Forum on Climate Change              |
| IPLC          | Indigenous Peoples and Local Communities                               |
| LCIP Platform | Local Communities and Indigenous Peoples Platform (of the UNFCCC)      |
| NDC           | Nationally Determined Contribution (UNFCCC)                            |
| NEA           | National Executing Agency (of the DGM Country Projects)                |
| NSC           | National Steering Committee (of the DGM Country Projects)              |
| REDD+         | Reducing Emissions from Deforestation and Forest Degradation...        |
| SB 48         | 48 <sup>th</sup> Session of the UNFCCC Subsidiary Bodies               |
| SBSTA         | Subsidiary Body for Scientific and Technological Advice                |
| UNFCCC        | United Nations Framework Convention on Climate Change                  |
| UNPFII        | United Nations Permanent Forum on Indigenous Issues                    |

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## Executive Summary

In January 2018, the Global Learning and Knowledge Exchange project (DGM Global) of the Dedicated Grant Mechanism for Indigenous Peoples and Local Communities (DGM) began the second half of its period of performance under the leadership of its Global Steering Committee (GSC). This was an important opportunity for reflection on the project's progress and achievements to date in order to maximize the potential for the project's remaining two and half years. In formal recognition of this milestone, DGM Global had its Midterm Review in consultation with the World Bank and participated in a DGM Learning Partnership exercise funded by the Climate Investment Funds and implemented by Itad, a British monitoring and evaluation firm. With the insights from both of these exercises, DGM Global is ready for a successful fourth year.

June 2018 also marked the completion of the DGM's second year of learning exchanges. DGM Global held regional exchanges for Asia and the Americas in Indonesia and Peru, respectively. Both exchanges focused on the importance of community forest management and land tenure, since that is a cornerstone of the DGM projects in both countries. DGM Global received very positive feedback for both exchanges, with most participants reporting increased knowledge and skills needed for greater engagement in climate action and sustainable forest management.

The GSC held its fourth annual meeting in April at Conservation International's headquarters in Arlington, Virginia. During this event, the GSC discussed the program's progress and achievements to date and approved a budget and workplan to carry the project into its fourth year. The leadership and oversight of the GSC has been essential to the project's success in its first three years, and their continued support will ensure a lasting and meaningful impact from the program.

During this reporting period, DGM Global also redesigned its monitoring and reporting framework to more effectively capture the progress and achievements of both the global project and the program as a whole. The revised framework was approved by the GSC in April and it has been used throughout the preparation of this report. One key change was the development of a survey to capture reflections and feedback from DGM exchange attendees on how their participation in these events benefited them. Going forward, this survey will be an annual exercise used to understand the project's accomplishments and inform the project's continued improvement.

## 1. Global Learning and Knowledge Exchange Project

The Dedicated Grant Mechanism for Indigenous Peoples and Local Communities (DGM) aims to enable the full and effective participation of Indigenous Peoples and local communities (IPLCs) in processes related to Reducing Emissions from Deforestation and Forest Degradation (REDD+) at local, regional, and global scales. Understanding that Indigenous and local communities manage significant forest areas in a way that both provides livelihoods and conserves forests, the DGM promotes community participation in REDD+ projects and processes as necessary for achieving enhanced climate and livelihood benefits.

Funded under the Climate Investment Funds' (CIF) Forest Investment Program (FIP), the DGM makes US\$80 million available for IPLC-led projects in 14 FIP pilot countries as well as an overarching Global Learning and Knowledge Exchange Project. Conservation International was selected through a competitive bidding process to serve as the Global Executing Agency (GEA) for the global project.

The objective of the global project is “to organize and facilitate knowledge exchange, learning, and capacity building on REDD+ and climate change issues at regional and global levels and to strengthen the networks and alliances of community organizations within and across regions with a view to enhancing their representation and voice in regional and global policy fora.” The global project serves an umbrella function promoting knowledge exchange and learning across community groups in DGM countries and in non-FIP countries. The project is divided into three subcomponents:

*Subcomponent 1: Global Learning, Outreach and Information Sharing* – Global, regional, and sub-regional workshops held with IPLC representatives on policy and technical topics related to REDD+; dissemination of culturally appropriate and relevant information through online platforms and networking channels; and global outreach to relevant partners.

*Subcomponent 2: Technical Assistance and Secretariat Services to the DGM GSC* – Preparing and convening Annual GSC Meetings; supporting GSC members in global partnerships; creating leadership opportunities for Indigenous and local communities; and handling the DGM Grievance Redress Mechanism at the global level.

*Subcomponent 3: Planning, Monitoring and Reporting* – Carrying out planning for DGM Global as well as coordinated monitoring and reporting across the entire DGM, including the country projects and the global project, working in close partnership with National Steering Committees (NSCs) through the National Executing Agencies (NEAs) of each of the DGM country projects.



## DGM Global Project Timeline: January - June 2018

| January  | February   | March  | April  | May  | June  |
|--|--|--|--|--|---|
| <ul style="list-style-type: none"> <li>World Bank assigns Garo Batmanian as new Task Team Lead for DGM Global</li> </ul> | <ul style="list-style-type: none"> <li>GSC Co-chair Idrissa Zeba visits Mozambique for Community-based Natural Resource Management Conference</li> <li>Second Asia Regional Exchange in South Sulawesi, Indonesia</li> </ul> | <ul style="list-style-type: none"> <li>DGM Global Project Midterm Review meetings</li> <li>GEA site visit to Peru</li> <li>GEA visit to Ecuador for FCPF Capacity Building Training</li> </ul> | <ul style="list-style-type: none"> <li>Global Steering Committee (GSC) Meeting in Arlington, Virginia</li> <li>GSC Subcommittee Meetings</li> <li>United Nations Permanent Forum on Indigenous Issues</li> </ul> | <ul style="list-style-type: none"> <li>48th Conference of the Subsidiary Bodies of the United Nations Framework Convention on Climate Change</li> <li>DGM Digest, Vol. 10</li> </ul> | <ul style="list-style-type: none"> <li>Forest Investment Program Subcommittee Meeting</li> <li>Americas Regional Exchange in Junin, Peru</li> <li>Asia/Americas Executing Agency Meeting in Lima, Peru</li> </ul> |



© DGM Global  
DGM Asia Exchange 2018 participants in South Sulawesi, Indonesia



© DGM Global  
Members of the DGM Global Steering Committee gathered in Arlington, Virginia for their annual meeting



© DGM Global  
Representatives of the GSC, GEA, and the NEAs from Asia and the Americas met in Lima to strengthen coordination



© DGM Global  
GSC Co-chairs Mina Setra and Idrissa Zeba leading a very productive GSC Meeting in April



© Patricia Dunne  
Fabian Antunez of Central Ashaninka of Rio Tambo (CART) welcoming his fellow exchange participants to Palomar

## 2. Project Activities

### 2.1. Global Learning, Outreach, and Information Sharing

One of the main purposes of DGM Global is to convene key IPLC leaders at regional and global scales on a regular basis. By providing these opportunities for interaction at a larger scale, DGM Global encourages sharing of traditional knowledge, expansion and strengthening of networks, and tailored learning from experts in relevant fields. These interactions occur both through regional and global exchanges held by the DGM and through support for IPLCs and relevant organizations to participate in key fora for policy- and decision-making.

#### 2.1.1. Asia Regional Exchange

DGM Global, in coordination with DGM Indonesia, the Indigenous Peoples Alliance of the Archipelago, and the Asia Indigenous Peoples Pact, hosted the Second DGM Asia Regional Exchange in South Sulawesi, Indonesia from February 12-16, 2018. This exchange brought together 22 participants, including 15 IPLC representatives from six countries (Indonesia, Myanmar, Nepal, Philippines, Thailand, and Vietnam) to learn about climate change, sustainable forestry, the DGM, and community land management in Indonesia. Five of the IPLC participants (33%) were women. The exchange also featured a participant from DGM Peru's National Executing Agency (NEA), who attended to promote cross-regional exchange by sharing her experience working on recognition and titling of indigenous communities in Peru and to learn about similar work ongoing in Indonesia. The Exchange also benefited from the participation of the Focal Point for the Forest Investment Program within the Government of Indonesia, as well as the Director of Social Forestry and Indigenous Forest Business Development.

During the Asia Exchange, participating IPLCs shared their expertise on a variety of topics, including:

- DGM Indonesia – Design & Implementation
- Strengthening Tenure Security
- Shifting Cultivation
- Gender
- Sustainable Forest Management
- The Local Communities and Indigenous Peoples (LCIP) Platform
- DGM as a Platform for Policy-making and Dialogue

National Steering Committee members shared progress of and challenges to indigenous rights in Indonesia. They have been recognized in the Constitution and other regulations, but many of these have not actually been put into practice. Key challenges include incursions by extractive industries.



The Exchange also included a presentation by the team conducting the Climate Investment Funds' (CIF) DGM Learning Partnership. This initiative is being led by Itad, a British firm that provides Monitoring and Evaluation services for the International Development Industry. The representative from Itad shared information about this Learning Partnership and how the exchange participants could provide input.

During the exchange, participants took part in two field visits to learn more about the context of Indonesia's IPLCs. First, they visited Tana Beru, a community known for making the traditional Phinisi boat. This boat is made with wood from the local forests, and the community members shared how they are beginning to balance their culture and customs with the sustainability of the forest to ensure the long-term viability of their traditions. During this trip, the participants also met with the local government of the Bulukumba district to discuss the land titling process and regulatory structure in the district.



Participants in the 2018 DGM Asia Exchange visited the Kajang community in South Sulawesi, Indonesia. The community members wear black to symbolize modesty and humility. During the visit, participants learned about the community's efforts to achieve legal recognition of their customary land.  
Photo: DGM Global

On the second day of field visits, participants also visited the Indigenous Kajang community in South Sulawesi, which was one of the first indigenous communities in Indonesia to attain government recognition and protection of its land rights. They view their land as their ancestral heritage that must be protected, calling it "Tana Toa" or "Old Village." The Kajang People believe that natural resources must be managed in an integrated way to ensure their preservation for future generations. Through this integrated management system, they set aside areas for both mutual and individual ownership. The community understands the value of natural resources as

intertwined with four important values: religion, history, ecology, and economy. During this field visit, the participants met with the community's spiritual leader, the Ammatoa, who explained how customary law ensures the maintenance of their forest systems.

The community also shared with the participants how there are still challenges with overlapping land claims by rubber plantations in their lands. The community members still have to negotiate with the government as these concessions were given before they received land titling.

Altogether, participants found this exchange to be a valuable experience, with over 80% of survey respondents reporting an increased understanding of the DGM at both the global level and in Indonesia, as well as an increased understanding of land tenure processes and sustainable livelihoods for IPLCs. Over 60% of respondents also reported increased understanding of the UNFCCC Local Communities and Indigenous Peoples (LCIP) Platform after the exchange.

### **2.1.2. Americas Regional Exchange**

From June 11-15, DGM Global held its Second Annual Americas Regional Exchange in Junín, Peru. In total, the exchange featured 25 IPLC leaders from 10 countries, including GSC co-chair Mina Setra from Indonesia, whose participation helped promote cross-regional exchange and learning. Seven of these participants (28%) were women. Within this group, there were experts in forestry, community forest management, land rights and tenure, mapping, economics, food security, and natural resource management.

Beyond these participants, the Exchange featured special guests from the National Executing Agencies of the DGM country projects in Peru, Brazil, Mexico, and Indonesia, as well as mapping and remote sensing experts from Conservation International and NASA through the Earth Observation for Indigenous-led Land Management (EO4IM) project. These additional attendees were selected for expertise they could share with the participants and to witness how IPLCs are managing lands and forests sustainably in Peru to improve their own work with these communities.

In one of the first presentations of the event, Leonardo Crippa of the Indian Law Resource Center shared some initial findings from a multinational study on IPLC land tenure in Latin America. While the final study and its findings hadn't yet been published, he was able to provide a basic overview of the tenure systems found in these countries and contrast them with one another. This provided a good foundation for a more detailed review of land tenure processes in Peru throughout the week.

DGM Peru (also known as MDE Saweto Peru), represented both by its NEA and NSC members, shared its progress to date, particularly in relation to legal recognition and land titling for native communities. They noted that these legal processes are very technical and bureaucratic, but emphasized that the DGM was making progress much faster than any previous efforts, which

have been underway for decades. Beyond this general overview, representatives of the regional IPLC organizations working with DGM Peru gave specific updates on the subprojects they were implementing. They emphasized their close coordination with the communities their projects would be benefiting to avoid misunderstandings and disruptions in the future. They reported that the communities have been very engaged in these processes.

The exchange featured additional presentations from each of the DGM countries represented, as well as the Forest Carbon Partnership Facility (FCPF), the Abya Yala Indigenous Forum, the Amerindian Peoples Association of Guyana, and the EO4IM project. Each of these presentations highlighted the importance of close collaboration with communities and ensuring active community engagement in any interventions tied to forests or land tenure.

Exchange participants also visited three native communities to learn firsthand how these communities manage their forests and natural resources sustainably and how they have strengthened themselves with the support of DGM Peru. For the first time at a DGM exchange, all of the communities visited were actively involved in the implementation of DGM subprojects.

On the first day of field visits, participants visited the Ashaninka native community of Pampa Michi. This community is represented by the Regional Association of Indigenous Peoples of Selva Central (ARPI-SC) and nationally by the Interethnic Association for the Development of the Peruvian Rainforest (AIDESEP), which is one of the two organizations that make up the DGM Peru National Steering Committee. Pampa Michi's economy is dependent on tourism, through which the community members share their culture, sell their crafts, and more. With support from DGM Peru and ARPI-SC, Pampa Michi is strengthening its capacity for tourism by constructing a community house, an animal breeding farm, and a community tourism center.

The next day, participants visited two more Ashaninka communities receiving support through DGM Peru: Chontakiari and Palomar. Both communities are represented by Central Ashaninka of Rio Tambo (CART), which is represented nationally by the Confederation of Amazonian Nationalities of Peru (CONAP), which is the other organization on DGM Peru's National Steering Committee.

Chontakiari is seeking legal recognition as a community with support from DGM Peru. They explained that all the requirements for recognition had now been met and there would soon be a recognition ceremony. Palomar has already achieved the legal recognition being pursued by Chontakiari, but



Americas Exchange participants taking a group photo with members of the Palomar Native Community in Junín, Peru.

they don't yet have land titles. DGM Peru, CONAP, and CART are supporting them with this effort. During this field visit, the EO4IM team also had an opportunity to sit down with the community to review some maps showing deforestation in the region and discuss opportunities for strengthened land management through remote sensing and geographic information systems. The community members were very interested in the maps. They don't have easy access to the internet, but they may be able to take advantage of this technology through their engagement with CART and CONAP.

On the final day of the exchange, the participants discussed their experience during the field visits and participated in an exercise led by the EO4IM team to highlight some of the free resources that are available online for remote sensing and satellite imagery.

Overall, the Americas Exchange participants found the experience very beneficial, with over 80% of participants reporting a greater understanding of the DGM at the global level and in Peru, legal recognition and land titling processes in Peru, and the use of earth observation data and remote sensing. Over 60% also reported a greater understanding of community forest management and policy engagement opportunities related to indigenous peoples, forests, and climate. In general, the participants most appreciated the opportunity to share experiences with their fellow indigenous peoples and local communities from other countries.

### **2.1.3. United Nations Permanent Forum on Indigenous Issues**

On April 18, the World Bank organized a series of side events during the regular meeting of the United Nations Permanent Forum on Indigenous Issues (UNPFII) to share examples of engagement with Indigenous Peoples. Johnson Cerda of the DGM Global Executing Agency joined Garo Batmanian of the World Bank and Ian Gray of the Climate Investment Funds to share the progress and achievements of the DGM at national and global scales. Attendees expressed interest in opportunities for participants from non-FIP countries to take part in regional and global activities of the DGM and for new countries to be included in the FIP.



#### 2.1.4. 48<sup>th</sup> UNFCCC Conference of the Subsidiary Bodies

The United Nations Framework Convention on Climate Change (UNFCCC) held the 48<sup>th</sup> Conference of its Subsidiary Bodies (SB 48) from April 30-May 10 in Bonn, Germany. During this conference, Indigenous Peoples lobbied and submitted proposals to contribute to the design of the UNFCCC's Local Communities and Indigenous Peoples (LCIP) Platform.

Johnson Cerda of the DGM Global Executing Agency supported the preparations of the International Indigenous Peoples' Forum on Climate Change (IP Caucus) and participated in daily strategy meetings to facilitate agreement on a proposal document. After two weeks of intense negotiations, the document was mostly ready, with two pending topics to be negotiated further during the upcoming 24<sup>th</sup> Conference of the Parties of the UNFCCC in Katowice, Poland. GSC Member Grace Balawag also participated in the meeting through her role in the Indigenous Peoples' organization Tebtebba and facilitated discussion on the LCIP Platform.



Johnson Cerda (bottom left) of the DGM GEA with key partners at UNFCCC SB 48 in Bonn. Photo: Johnson Cerda



SB 48 attendees gathered for a group photo following discussion on the LCIP Platform. Pictured participants include Johnson Cerda (GEA), Grace Balawag (GSC), and several DGM Exchange participants. Photo by IISD/Kiara Worth ([enb.iisd.org/climate/sb48/1may.html](http://enb.iisd.org/climate/sb48/1may.html))

### 2.1.5. Communications and Outreach

Communications activities have continued to follow the project's Year 3 Communications Plan, as approved by the Global Steering Committee last year in Brasilia. For Year 4, DGM Global prepared a new communications plan with a greater emphasis on project results now that the project is over halfway through its contracted period of performance. The Global Steering Committee approved the project's Year 4 Communications Plan during their meeting in April in Arlington, VA, USA.

At the end of the previous period, DGM Global released its Second Annual Report, which it has continued to promote throughout the current period. In mid-December, DGM Global sent out an email campaign to 505 recipients. Within that group, 202 opened the email and several shared it with their own contacts. In total, the email was opened 514 times, and 52 people clicked through the email to access the Annual Report on the DGM Global website ([www.dgmglobal.org/ar2017](http://www.dgmglobal.org/ar2017)). That page has been accessed over 300 times since the report was released. Additionally, 150 English copies of the report and 100 each of Spanish, French, and Portuguese have been distributed at various DGM events, including the FIP Subcommittee Meeting in December, the Asia Exchange in February, the GSC Meeting in April, and the Americas Exchange in June.

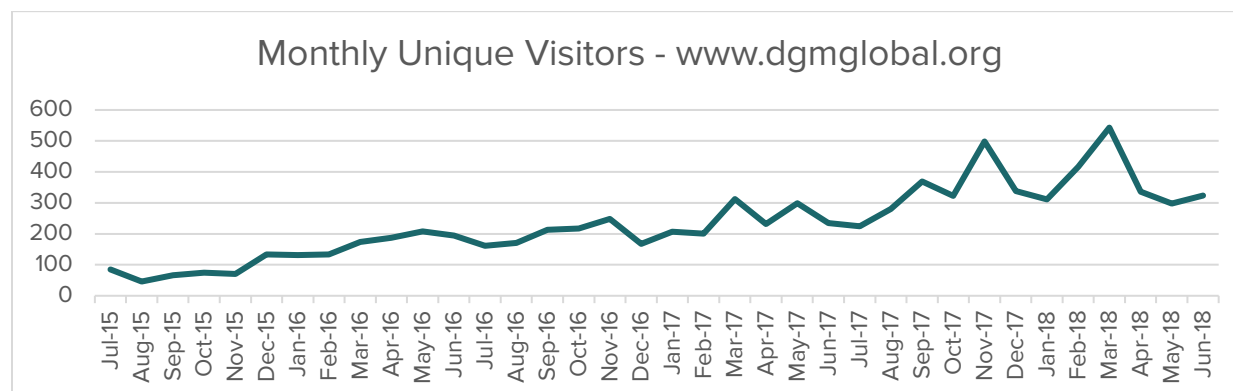
One of the priorities for this reporting period, continuing into the coming year, is more effective use of video content through DGM Global communication channels. This was highlighted by the GSC in their April 2018 meeting as a priority to help tell the story of the DGM in a more visually compelling format.

In its first three years, DGM Global has conducted several interviews with GSC members and exchange participants. This continued in this reporting period with great interviews being captured at the Asia Exchange in Indonesia, the Americas Exchange in Peru, and the 4<sup>th</sup> Annual GSC Meeting in Arlington, VA. Using these videos and other footage taken from DGM events, DGM Global has begun to prepare more video content to be released in the coming months.

The GSC also stressed the importance of increased accessibility of DGM content in all of the DGM's official languages: English, Spanish, French, and Portuguese. This has been a priority for DGM Global from the beginning, but it can be expensive and time consuming to translate everything. To begin addressing this issue, the project added a Google Translate option to the 'Activities' and 'Blog' pages of the project website. While these may not be professional translations, having the option makes DGM content more accessible to more of the DGM's stakeholders around the world. Beyond this, the DGM is in the process of redesigning its website, and one of the goals of this redesign is to have the other pages of the website professionally translated and readily accessible for non-English speakers.

Another focus for DGM communications has been coordination with key partners to produce and share content. Over the course of this reporting period, DGM Global has coordinated with the World Bank, CIF, and the DGM country projects in Brazil, Ghana, Mexico, and Peru. One example

of this was the production and sharing of interviews with DGM Brazil's female NSC members to explain the role of women in the DGM for International Women's Day in March 2018.



The chart above shows monthly unique visitors to the DGM Global website. Website traffic has continued to grow with a notable spike in March around the publication of a blog post on the Green Climate Fund's Indigenous Peoples Policy.

One major accomplishment for DGM Communications in this reporting period was the publication of a blog post on the approval of the Green Climate Fund's Indigenous Peoples Policy (GCF/B.19/05). The policy was approved during the GCF Board Meeting in Songdo, South Korea in March. This was a major achievement for indigenous peoples in climate finance, which has been a priority for indigenous peoples since the GCF's formation in 2010. The DGM was one of the first sites to publish information on the new policy. This drove record levels of traffic to the DGM website and social media. While the DGM wasn't directly involved in the meeting, sharing this content that was relevant to the same audiences helped to raise awareness of the DGM. This is a strategy for generating traffic that we should seek to replicate going forward.

DGM Global also produced another newsletter in this reporting period, which was sent out in May 2018. The newsletter featured stories on the Global Steering Committee Meeting, Asia Regional Exchange in Indonesia, Adoption of the GCF's Indigenous Peoples Policy, FIP Subcommittee Meeting and a few country project updates. Like the last few DGM Global newsletters, it was sent out in English via MailChimp, but it contained links to PDF versions in English, Spanish, French, and Portuguese for broader distribution and accessibility. The MailChimp version of the email was sent successfully to 546 recipients, and it has been opened 556 times by 144 of those recipients and anyone who received it as a forwarded email (as of July 2018). This open rate of 26.4% (144/546) is slightly lower than previous newsletters (though still strong), but the number of opens and the ratio of opens to recipients (556/546) are both significantly higher than for previous newsletters, signifying that the newsletter's recipients have been more actively sharing it with their own contacts.



## 2.2. Technical Assistance and Secretariat Services

The second component of DGM Global supports the effective leadership of the project's Global Steering Committee, which includes IPLC representatives from the National Steering Committees of each established DGM country project, as well as a member from a non-DGM country to represent the broader interests of IPLCs around the world.

### 2.2.1. Fourth Annual Global Steering Committee Meeting

The Global Steering Committee (GSC) of the Dedicated Grant Mechanism for Indigenous Peoples and Local Communities (DGM) gathered in Arlington, VA from April 23-25 for its fourth annual meeting. The GSC includes representatives of each of the nine operational National Steering Committees (Brazil, Burkina Faso, the Democratic



Members of the Global Steering Committee (front row) with the Global Executing Agency (back row) during the 2018 GSC Meeting in Arlington, Virginia

Republic of the Congo, Ghana, Indonesia, Mexico, Mozambique, Peru, and the Republic of the Congo), as well as one representative of a country outside the Forest Investment Program (Philippines). The GSC also welcomed the participation of an observer from the Interim Committee of DGM Nepal.

The GEA coordinated with each of the GSC subcommittees in advance to ensure maximum efficiency during the meeting itself. This included requesting GSC feedback on the project's Year 4 Communications Plan and other key documents before they arrived for the meeting.

The GSC co-chairs and budget subcommittee arrived a few days early to review the proposed budget and workplan that had been made since the previous subcommittee meetings and to prepare to lead the workplan discussion during the meeting itself.

For the three days of the meeting, the GSC members discussed the progress of the DGM to date, reviewed and approved a workplan and budget for the DGM Global project's fourth year, and

made several important decisions to guide the DGM's work going forward, including:

- Approving a redesign of the DGM Global website to be accessible in the four DGM languages;
- Approving changes to the project's results framework to streamline data collection and improve reporting of results;
- Initiating the discussion of the DGM's plan beyond 2020 to expand the best aspects of the DGM, including direct access to climate finance for IPLCs; and
- Planning the fifth GSC Meeting in Brussels in April 2019 to engage with key donors and stakeholders.

During the meeting, the GSC also had an opportunity to speak with the DGM Learning Partnership team at Itad to learn about the evaluation's initial findings. While the full report was not yet available, Itad shared some of the information it had collected on the different varieties of country projects, as well as the potentially transformative motivation of IPLCs to participate in other programs following the DGM structure. Several of those surveyed discussed 'never going back' to top-down implementation models and wishing for the DGM to continue after FIP funding runs out. They indicated that the full report would be widely available in July.

The leadership of the GSC members has been invaluable to the DGM's progress, and their continued guidance and oversight will be essential for ensuring the project's success and the sustainability of the project's results.

### **2.2.2. Americas/Asia Executing Agency Meeting**

In June 2018, immediately after the Americas Regional Exchange, DGM Global held a meeting with DGM executing agencies in Lima, Peru to discuss opportunities for enhanced collaboration in areas such as communications, monitoring, reporting, and DGM sustainability. This year, DGM Global decided to split this event into two separate meetings: one for Latin America and Asia; another for Africa. Doing this allowed greater coordination with each participant and simplified logistics by eliminating one interpretation language per event.

Before the meeting, NEA participants were invited to join for the Latin America Exchange, which was also held in Peru. Through their participation in the exchange, the NEA participants brought an additional perspective on country project progress and had the opportunity to learn about DGM Global's regional exchanges, the strategies and progress of DGM Peru, and the needs and perspectives of IPLCs from other countries.

On the first day of the meeting, participants focused on project updates, communications, and the midterm review process, which DGM Brazil had recently completed. This was a fruitful discussion, with the newer NEAs appreciating the perspective on the World Bank's midterm

review process, which they would each need to complete eventually. During the communications discussion, the participants identified the objectives of communications for each of their countries, including:

- Promoting transparency in decision-making;
- Sharing information on the DGM, including calls for proposals, with communities;
- Strengthening synergies with other actors and projects;
- Strengthening the communication capacities of IPLC partner organizations; and,
- Promoting visibility of beneficiary communities

The second day of the meeting began with a detailed review of the program's new results framework. Specifically, DGM Global shared with the country projects how the new system would work and how it should simplify the reporting process for the country projects. Under this new system, instead of receiving a blank template for each reporting period, each country project will be responsible for keeping its basic information updated in a shared database stored securely in the cloud. Beyond that, DGM reports will begin relying more heavily on the data already collected by country projects for the World Bank's Implementation Status and Results Reports. Since these indicators are tailored to each country project, they are much more indicative of the projects' progress than shared indicators, which may be more or less relevant to any given project.



Participants in the June 2018 Americas/Asia Executing Agency Meeting in Lima, Peru. Photo: DGM Global

The day ended with a discussion of the DGM's sustainability once FIP funding has run out. This discussion was led by Johnson Cerda of the GEA and Srewe Xerente of the Global Steering Committee's Sustainability Working Group. With some of the DGM projects more than halfway over, the GSC and GEA together decided this would be an important topic for this meeting. The participants all emphasized the importance of demonstrating results from the current grant to make any potential continuation or expansion more appealing for donors. Each country project

also promised to continue this discussion with their National Steering Committees when possible to assess their interest in the DGM's continuation beyond their current contract terms.

### **2.2.3. Community-based Natural Resource Management Conference**

GSC Co-chair Idrissa Zeba visited Mozambique from February 19-21 for the planned launch of the DGM Mozambique project at the Community-based Natural Resource Management (CBNRM) Conference in Maputo. The project launch was ultimately delayed, but Idrissa had an opportunity to participate in this conference and consult with DGM Mozambique's NEA and NSC during his trip.

The objective of the conference was to enrich the national dialogue on CBNRM and to propose a strategy that would lead to integrated management of natural resources and rural development through community engagement and participation. CBNRM is a major focus of the design of DGM Mozambique. This event was attended by a broad group of stakeholders, including national and provincial government, civil society, the private sector, academia, community members, and development partners.

During the event, Idrissa participated alongside DGM Mozambique representatives during a presentation and panel discussion of the DGM, representing DGM Global and Burkina Faso as an example of a more advanced country project. Idrissa noted the strong will of the World Bank and the Government of Mozambique to support DGM Mozambique in its implementation.

### **2.2.4. GEA Visit to Ecuador**

Johnson Cerda of the Global Executing Agency was invited to Ecuador to give a presentation in a course organized by Sotzil with funding from the Forest Carbon Partnership Facility (FCPF) on Indigenous Peoples, Forests and REDD+ in Latin America. The objective of this session was to share with students how communities can access climate finance. The students requested additional time to learn more about the DGM, but the schedule didn't allow it. The organizers of the event expressed interest in additional collaboration with the DGM in the future.

### **2.2.5. Grievance Redress**

No grievances were received at or elevated to the global project in this reporting period, and there are no open grievances from previous periods. Information on past grievances and instructions for submitting grievances are available at [www.dgmglobal.org/grievance-mechanism](http://www.dgmglobal.org/grievance-mechanism).



## 2.3. Planning, Monitoring, and Reporting

DGM Global's third component focuses on strategic planning for the global project, monitoring the DGM's performance, and preparing reports to share the DGM's progress, achievements, and lessons learned with stakeholders.

### 2.3.1. Planning

This was an important period for project planning. In addition to the standard annual process for developing a workplan and budget for the coming year, DGM Global also had its Midterm Review, which has important implications for the planning of the rest of the project.

DGM Global had submitted a report to the World Bank in December 2017 to begin the Midterm Review process. On March 5, Garo Batmanian and Meerim Shakirova visited the GEA team at the Conservation International headquarters in Arlington, VA to discuss the progress of the project to date, as well as continuing challenges, such as the availability of the US\$ 3 million in additional finance that had been allocated to the DGM Global project by the FIP Subcommittee in October 2015. This program focused on the technical and programmatic progress of the DGM, while a separate meeting on DGM Global finances was held on March 20.

The preparation of the project's Annual Workplan and Budget for the project's fourth year also began in December when several GSC Members met with the GEA to set priorities for the coming year. Based on their feedback, the GEA developed a draft workplan and budget for the GSC's review and approval during their April 2018 meeting. The GSC co-chairs and budget subcommittee met for two days before the full GSC Meeting to review the draft, identify necessary adjustments, and prepare to lead the discussion during the GSC Meeting. With their preparation and leadership, the workplan and budget were approved much more quickly than in previous years.

### 2.3.2. Monitoring

During the last reporting period, DGM Global identified an opportunity to revise the project's previous results framework, which had been developed in the first year of the project and approved in July 2016. While it had been a useful tool in the early stages of the project, it no longer reflected the full complexity of the DGM, with more country projects beginning implementation and the global project's exchanges evolving to meet community needs and GSC expectations. In the first quarter of 2018, the GEA developed this revised framework around the objectives and strategies outlined in the original DGM Program Document (which can be found in the Section 4 introduction). The revised framework was shared with the World Bank during the March 5 Midterm Review Meeting, which led to greater emphasis on how the framework specifically reflects the design of the DGM Global project rather than focusing primarily on the design of the full program. This revised framework was reviewed and approved by the GSC during its April 2018 meeting, and it is now being used to showcase project progress and results.

One outcome of the Midterm Review meeting in March 2018 was the determination that DGM Global should have had indicator targets since the project's beginning. Based on this feedback, DGM Global submitted proposed targets to the World Bank for their consideration on April 13. The proposed targets are included with their respective indicators in Section 4 of this report for reference, but they are not yet official.

DGM Global also introduced the country projects to the revised results framework during the June 2018 Executing Agency Meeting in Lima, Peru. The revised framework simplifies their reporting by relying more on the data already being collected by the country projects, minimizing the additional data requested from them, and streamlining the process for data collection and reporting.

An overview of the revised framework and updates on all DGM Global indicators are available in Section 4.

### 2.3.3. Reporting

DGM Global continues to meet its reporting requirements, producing semiannual reports on the Global Project and the larger DGM Program. The Global Project Report was translated and validated with the Global Steering Committee before being submitted to the World Bank.

As always, the first quarter of the period involved substantial coordination with the DGM country projects to produce the DGM Semiannual Program Report. In this reporting period, there was an increased emphasis on the progress and results of the DGM subprojects being implemented by communities and organizations in the pilot countries. While many country projects still have not reached that stage, some of the earliest subprojects are now being completed. This allows more opportunities to see the impacts of the DGM at the local level, and it will continue to be a focus for DGM reporting and communications going forward. Once this report had been published, DGM Global rolled out a simultaneous update to the project's website to ensure that all information, particularly in relation to the country projects, was still accurate.

## 2.4. Upcoming Activities

| Anticipated Timing | Activity  |
|--------------------|---|
| August             | IUCN 70 <sup>th</sup> Anniversary                 |
| September          | California Climate Summit                         |
| October            | Launch of redesigned website                      |
| November           | Global Exchange (Katowice, Poland)                |
| November           | Release – 2018 Annual Report (tentative)          |
| December           | 24 <sup>th</sup> UNFCCC Conference of the Parties |



### 3. Progress and Results

“The DGM Program Development Objective is to **strengthen the capacity** of Indigenous Peoples and Local Communities (IPLCs) **to participate in the Forest Investment Program and other REDD+ programs** at local, national, and global levels.” – *DGM Program Document*, p. 7

“The objective of [The Global Learning and Knowledge Exchange Project] is to organize and facilitate knowledge exchange, learning and capacity building on REDD+ and climate change issues at regional and global levels and to strengthen the networks and alliance of IPLC organizations within and across regions with a view to enhancing their representation and voice in regional and global policy fora. In addition, the Global Project will provide technical assistance and secretariat services to the Global Steering Committee of the DGM, and monitoring and reporting.” – *DGM Program Document*, p. 11

“This DGM program objective will be achieved by **(i) establishing and strengthening representative IPLC-led governing bodies** for DGM decision-making in FIP pilot countries and at the global level; **(ii) providing grants to IPLCs** in FIP pilot countries for initiatives consistent with DGM and FIP criteria;<sup>1</sup> **(iii) providing training and capacity development** in leadership, management, and technical skills to IPLCs at country and global levels; **(iv) facilitating knowledge exchange and learning** on REDD+ and climate change issues between and among IPLCs at regional and global levels; **(v) strengthening networks and alliances** of IPLC organizations within and across regions; and **(vi) enabling scale up of successful local solutions** for forest and landscape management.” – *DGM Program Document*, p. 7

In accordance with the updated results framework approved by the Global Steering Committee in April 2018, the following pages provide the latest data demonstrating how the DGM Global Project is progressing toward its objectives using these six strategies. Please note that this report only contains data on the DGM Global project. For country project and programmatic data, please see the Semiannual DGM Program Report for this reporting period.

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<sup>1</sup> The element of “providing grants to IPLCs” is exclusive to the DGM country projects and is not emphasized in this report. For more on this element, please read the Semiannual Program Report for this period.

### 3.1. Strengthening of IPLC-led Governing Bodies

One way the DGM supports IPLCs is by “establishing and strengthening representative IPLC-led governing bodies for DGM decision-making in FIP pilot countries and at the global level.” For the global project, governance and accountability are led by the GSC. The GSC members take responsibility for project governance, both through their participation in the Annual GSC Meeting, when they review and approve the project’s budget and workplan and address key operational issues, and through their continued engagement throughout the year. Beyond governance, the GSC also takes responsibility for the project’s accountability to its stakeholders, both through the management of the project’s grievance redress mechanism and their review of project reports.

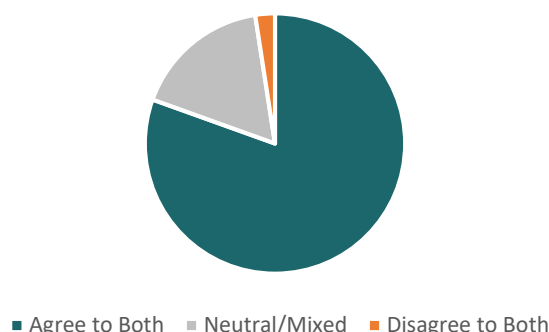
#### Outcome:

**Exchange participants view the DGM as transparent and inclusive.**

Indicator: Percentage of exchange participants who view the DGM as transparent and inclusive

|               | 2018 Annual Survey Results |             |     |           |     |       |     |
|---------------|----------------------------|-------------|-----|-----------|-----|-------|-----|
|               | Respondents                | Transparent |     | Inclusive |     | Both  |     |
|               |                            | Agree       | %   | Agree     | %   | Agree | %   |
| <b>Female</b> | 11                         | 6           | 55% | 7         | 64% | 6     | 55% |
| <b>Total</b>  | 41                         | 33          | 80% | 33        | 80% | 33    | 80% |

Roughly 80% of exchange participants who responded to a stakeholder survey indicated that they view the governance and decision-making processes of the DGM as both transparent and inclusive. Two respondents (4.8%) disagreed that the DGM was transparent, and only one (2.4%) disagreed that the DGM was inclusive. The remaining respondents for each question neither agreed nor disagreed with the statement.



#### Outputs:

**IPLCs from targeted communities take responsibility for project governance and accountability.**

Indicator: Number of IPLC representatives leading project governance and accountability through their participation in the Global Steering Committee

|               | Dec. 2017 | June 2018 |
|---------------|-----------|-----------|
| <b>Female</b> | 3         | 3         |
| <b>Total</b>  | 11        | 11        |

There have been changes in GSC representation from Brazil and Peru, but they have not affected the total number or gender balance of the GSC. While there are 11 GSC members, it is important to note that only 10 of them may take part in any given vote, as Peru has two alternating representatives (including one woman).

### **DGM Global is responsive to stakeholder concerns at the global scale.**

Indicator: Percentage of grievances related to delivery of the DGM that are received by or elevated to the Global Steering Committee and addressed

|                      | Cumulative<br>(Dec. 2017) | Cumulative<br>(June 2018) |
|----------------------|---------------------------|---------------------------|
| Grievances Received  | 1                         | 1                         |
| Grievances Addressed | 1                         | 1                         |
| Percentage Addressed | 100.00%                   | 100.00%                   |

## **3.2. Training and Capacity Development**

For IPLCs to engage in policy- and decision-making around forestry and climate change, it's important that they have the skills necessary to be effective partners. This includes, but is not limited to, leadership, management, and technical skills. The IPLC leaders in the GSC identify the skills that are most needed to enhance IPLC engagement at regional and global scales, and DGM Global works to provide this training through exchanges and participation in key international events.

### **Outcome:**

#### **Exchange participants strengthen the skills they need to engage effectively in climate-related policy- and decision-making**

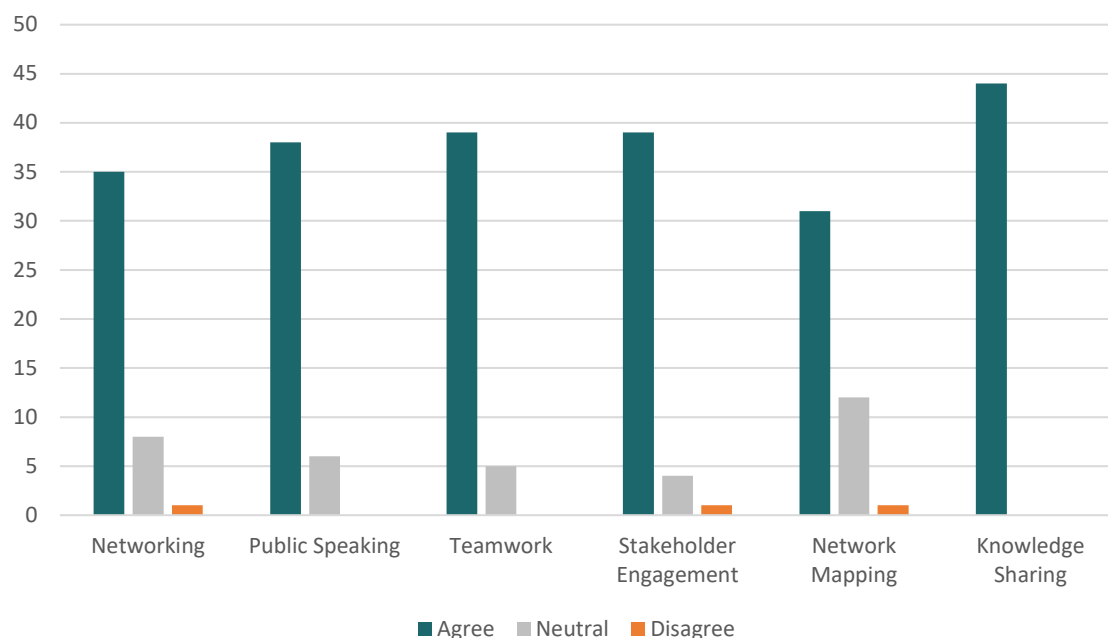
Indicator: Percentage of exchange participants whose skills have been strengthened in a way that will make them more effective in climate-related policy- and decision-making.

|        | 2018 Annual Survey Results |                     |      |
|--------|----------------------------|---------------------|------|
|        | Respondents                | Skills Strengthened |      |
| Female | 11                         | 11                  | 100% |
| Total  | 44                         | 44                  | 100% |

All respondents indicated that their skills had been strengthened, through their participation in a DGM exchange, in at least one of the following categories:

- Networking (82%)
- Public Speaking (89%)
- Teamwork (91%)

- Stakeholder Engagement (89%)
- Participatory Network/Stakeholder Mapping (73%)
- Knowledge Sharing (100%)



## Outputs:

### Targeted beneficiaries from DGM and non-DGM countries participate in regional and global exchanges hosted by DGM Global

Indicator: Percentage of participants in the learning and knowledge exchange activities belonging to REDD+ programs and countries other than FIP pilot countries (**Core World Bank Indicator**)

|                                 | Cumulative (Dec. 2017) |       | Jan-Jun 2018 |       | Cumulative (Jun. 2018) |       |
|---------------------------------|------------------------|-------|--------------|-------|------------------------|-------|
|                                 | Female                 | Total | Female       | Total | Female                 | Total |
| Exchange Participants           | 42                     | 129   | 12           | 39    | 49                     | 155   |
| Non-FIP Country Representatives | 14                     | 40    | 3            | 10    | 16                     | 46    |
| Percentage                      | 33%                    | 31%   | 25%          | 26%   | 33%                    | 30%   |

Please note that the January-June 2018 column represents all IPLC participants in the February 2018 Asia Exchange and the June 2018 Americas Exchange, which were the two exchanges in this reporting period. The cumulative columns account for all participants over the life of the project up to the end of the that reporting period. Each participant is only counted once in the cumulative columns, regardless of the number of exchanges attended.

### 3.3. Knowledge Exchange and Learning

In order to participate effectively in regional and global climate action and sustainable forestry, IPLC leaders must have a strong understanding of climate change, ongoing mitigation and adaptation efforts, and various negotiations and agreements that are underway. They also need to share their own knowledge with one another so that each of them can represent the broader needs of IPLCs more effectively. DGM Global provides these opportunities on a regular basis through its regional and global exchanges. The GSC members identify learning priorities each year to ensure that the content of the exchanges stays topical and up-to-date. Over time, the exchanges have shifted to focus more on the IPLC participants sharing knowledge with one another, and these opportunities are reflected in the below data along with more traditional learning methods.

#### Outcome:

**Exchange participants have increased their relevant knowledge, enabling them to engage more effectively in climate-related policy- and decision-making.**

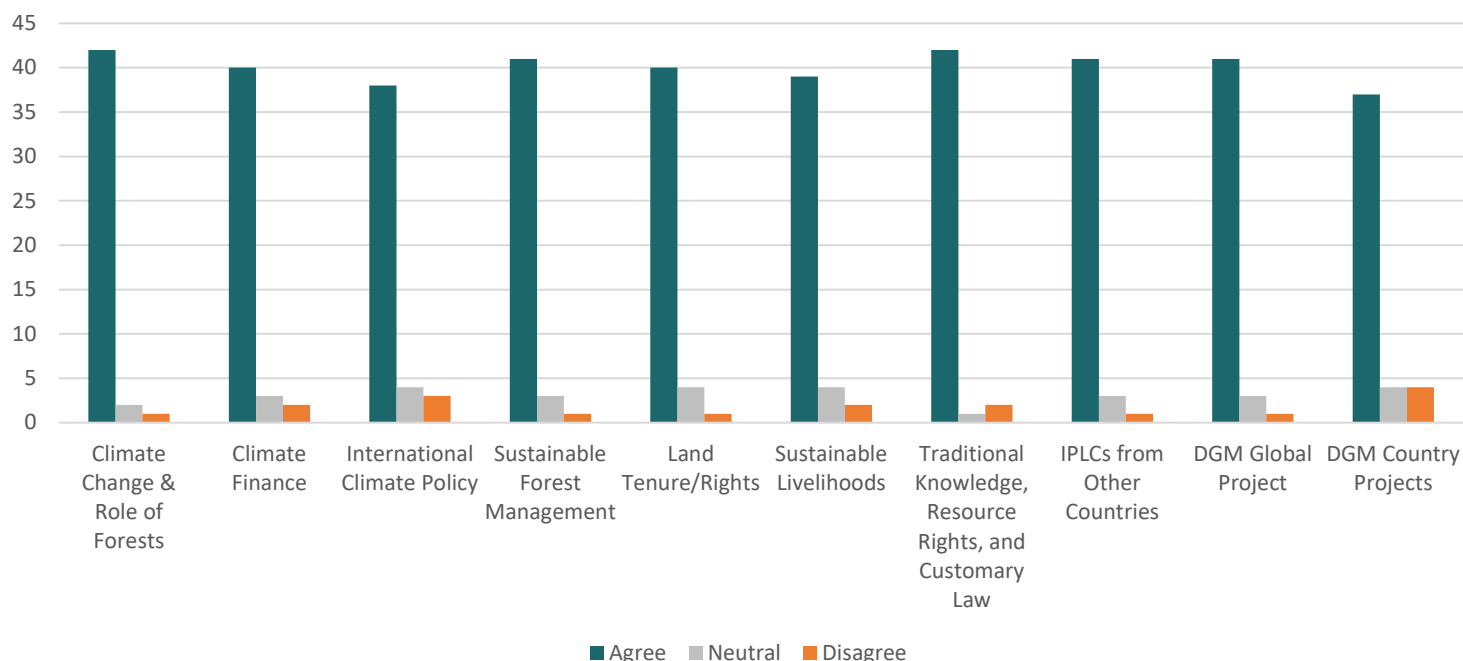
Indicator: Percentage of exchange participants whose knowledge of relevant topics has increased, enabling them to engage more effectively in climate-related policy- and decision-making

|               | 2018 Annual Survey Results |                  |      |
|---------------|----------------------------|------------------|------|
|               | Respondents                | Knowledge Gained |      |
| <b>Female</b> | 11                         | 11               | 100% |
| <b>Total</b>  | 45                         | 44               | 98%  |

Out of 45 respondents, 44 (98%) indicated that they had gained relevant knowledge, through their participation in a DGM exchange, in at least one of the following areas:

- Climate Change and the Role of Forests (93%)
- Climate Finance (89%)
- International Climate Policy (84%)
- Sustainable Forest Management (91%)
- Land Tenure/Rights (89%)
- Sustainable Livelihoods (87%)
- Traditional Knowledge, Resource Rights, and Customary Law (93%)
- IPLCs from Other Countries (91%)
- DGM Global Project (91%)
- DGM Country Projects (82%)

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### Outputs:

#### Targeted beneficiaries from DGM and non-DGM countries participate in regional and global exchanges hosted by DGM Global

Indicator: Number of person-hours of knowledge exchange and learning received by participants in regional and global exchanges hosted by DGM Global, disaggregated by sex

|                     | Cumulative (Dec. 2017) |        | Jan-Jun 2018 |       | Cumulative (Jun. 2018) |        |
|---------------------|------------------------|--------|--------------|-------|------------------------|--------|
|                     | Female                 | Total  | Female       | Total | Female                 | Total  |
| <b>Person-hours</b> | 1575                   | 5215.5 | 501.25       | 1620  | 2076.25                | 6835.5 |

The table below shows how this breaks down between the two exchanges from this reporting period:

| Exchange      | IPLC Participants | Female Participants | Hours of Learning/Exchange | Person-hours | Person-hours (female) |
|---------------|-------------------|---------------------|----------------------------|--------------|-----------------------|
| Asia 2018     | 15                | 5                   | 46                         | 690          | 230                   |
| Americas 2018 | 24                | 7                   | 38.75                      | 930          | 271.25                |

### 3.4. Strengthening of Networks and Alliances

At regional and international scales, it can be very difficult for individuals to have a major impact in sustainable forestry and climate change without the necessary allies and resources. For IPLCs, these are most readily available through engagement with organizations, networks, and alliances. During its regional and global exchanges, DGM Global provides opportunities for networking and learning about the ongoing efforts of IPLC networks and organizations by inviting key

representatives of these organizations working in national, regional, and global contexts. By forming these connections, exchange participants have greater opportunities to participate in major events, share relevant knowledge, and collaborate with partners around the world to advance their common agenda.

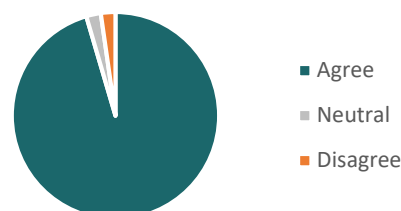
#### Outcome:

#### Exchange participants engage more effectively with relevant IPLC organizations, networks, and alliances

Indicator: Percentage of exchange participants reporting increased/strengthened engagement with relevant IPLC organizations, networks, and/or alliances

|        | 2018 Annual Survey Results |   |      |
|--------|----------------------------|---|------|
|        | Respondents                | Increased Engagement with Relevant Organizations and Networks |      |
| Female | 11                         | 11  | 100% |
| Total  | 44                         | 42  | 95%  |

Out of 44 respondents, 42 (95%) reported increased or strengthened engagement with IPLC organizations, networks, and alliances related to climate and forests as a result of their participation in a DGM exchange.



#### Outputs:

#### IPLCs assume leadership roles in activities and alliances in pursuit of relevant objectives

Indicator: Number of activities/alliances emerged through the global platform with the leadership role of IPLCs (**Core World Bank Indicator**)

|                      | Cumulative (Dec. 2017) | Jan-Jun 2018 | Cumulative (Jun. 2018) |
|----------------------|------------------------|--------------|------------------------|
| Activities/Alliances | 20                     | 0            | 20                     |



## Representatives of key IPLC networks and organizations participate in DGM exchanges

Indicator: Number of IPLC networks and organizations represented at DGM exchanges

|                      | Cumulative<br>(Dec. 2017) | New:<br>Jan-Jun 2018 | Cumulative<br>(Jun. 2018) |
|----------------------|---------------------------|----------------------|---------------------------|
| <b>Multinational</b> | 13                        | 2                    | 15                        |
| <b>National</b>      | 38                        | 12                   | 50                        |
| <b>Total</b>         | 51                        | 14                   | 65                        |

### 3.5. Enabling Successful Local Solutions to Scale Up

While the DGM is an innovative program that is already achieving important results for the increased participation of IPLCs in climate action and sustainable forestry, it is still limited by the amount of funding available. Each DGM project in implementation has seen that the demand for programs like the DGM far exceed its available resources. As such, it is critical that the DGM work to enable the scaling up of successful local solutions for forest and landscape management. At the outcome level, there are many factors outside the DGM's control, such as availability of resources and donor priorities, but the DGM still works to make its successes and lessons learned accessible for any programs that would like to support complementary efforts. Specifically, DGM Global focuses both on sharing DGM successes with relevant networks and organizations during its exchanges and its participation in regional and global events and on making information on the DGM widely accessible through its project website:

[www.dgmglobal.org](http://www.dgmglobal.org).

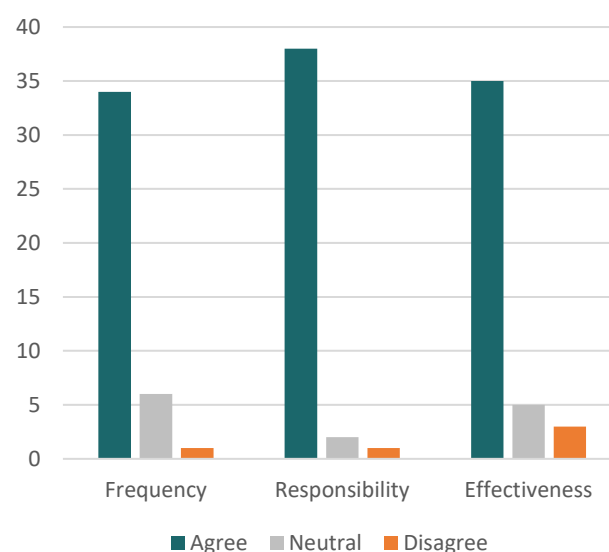
## Outcome:

**Exchange participants receive funding and/or support for sustainable forestry and climate action from non-DGM sources**

|        | 2018 Annual Survey Results |                   |     |                                   |     |                                   |     |                         |     |
|--------|----------------------------|-------------------|-----|-----------------------------------|-----|-----------------------------------|-----|-------------------------|-----|
|        | Respondents                | Received Funding? | %   | Increased Frequency of Engagement | %   | Increased Level of Responsibility | %   | Increased Effectiveness | %   |
| Female | 11                         | 4                 | 36% | 8                                 | 73% | 9                                 | 82% | 8                       | 73% |
| Total  | 41                         | 23                | 56% | 34                                | 83% | 38                                | 93% | 35                      | 85% |

Since participating in a DGM Exchange, 23 of 41 survey respondents (56%), including 4 of 11 female respondents (36%), indicated that they have received funding from and/or participated in other initiatives related to climate action and sustainable forest management. While they may not have received funding, 38 of the 41 did report that their engagement in relevant activities had been increased or strengthened in at least one of three ways:

- Frequency of Engagement (83%)
- Level of Responsibility (93%)
- Effectiveness (85%)



## Outputs:

**DGM grant recipients and beneficiaries present knowledge products at regional and global exchange opportunities**

Indicator: Number of knowledge products produced by the DGM grant recipients and/or beneficiaries in pilot countries presented at the regional and/or global knowledge exchange opportunities (**Core World Bank Indicator**)

|                    | Cumulative (Dec. 2017) | Jan-Jun 2018 | Cumulative (Jun. 2018) |
|--------------------|------------------------|--------------|------------------------|
| Knowledge Products | 50                     | 18           | 68                     |

| Knowledge Products                                | Status    |
|---|-----------|
| <b>Asia Exchange – February 2018</b>              |           |
| Exchange Booklet                                  | Completed |
| Technical Session – Shifting Cultivation          | Completed |
| Technical Session – Land Tenure                   | Completed |
| Technical Session – DGM and Gender                | Completed |
| Technical Session – Sustainable Forest Management | Completed |
| <b>Americas Exchange – June 2018</b>              |           |

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|  |           |
|--|-----------|
| Exchange Booklet   | Completed |
| Technical Session – Land Tenure in Latin America   | Completed |
| Technical Session – Legal Recognition Processes in Peru  | Completed |
| Technical Session – Earth Observation for Indigenous-led Land Management   | Completed |
| <b>Miscellaneous</b>   |           |
| Project Level Grievance Redress Mechanism and Dispute Resolution: Preliminary Lessons from the Dedicated Grant Mechanism for Indigenous Peoples and Local Communities. | Completed |
| Gender #1: Gender considerations for IPLC participation in forest conservation   | Completed |
| Gender #2: Provisions for gender equality & women's participation in DGM design and operations   | Completed |
| Gender #3: Gender in National Steering Committee composition   | Completed |
| Gender #4: Designing an inclusive process for choosing and supporting sub-projects   | Completed |
| Gender #5: Gender in DGM Global governance and outreach  | Completed |
| DGM Africa Exchange Participatory Network Analysis Report  | Completed |
| DGM Asia Exchange Participatory Network Analysis Report  | Completed |
| DGM Americas Exchange Participatory Network Analysis Report  | Completed |

**The DGM Global website effectively showcases IPLC-led successes in climate action and sustainable forestry.**

Indicator: Six-month average of monthly unique visitors to the DGM Global website

|  | Jul-Dec 2015 | Jan-Jun 2016 | Jul-Dec 2016 | Jan-Jun 2017 | Jul-Dec 2017 | Jan-Jun 2018 |
|--|--------------|--------------|--------------|--------------|--------------|--------------|
| <b>Six-month average - Monthly unique visitors</b> | 79.33        | 171.17       | 196.33       | 247.67       | 338.67       | 371.5        |

**DGM Exchange participants are well-represented at UNFCCC negotiations.**

Indicator: Number of IPLCs who, after participating in a DGM exchange, have attended UNFCCC negotiations, disaggregated by sex

|                              | Cumulative (Dec. 2017) |       | Jan-Jun 2018 |       | Cumulative (Jun. 2018) |       |
|------------------------------|------------------------|-------|--------------|-------|------------------------|-------|
|                              | Female                 | Total | Female       | Total | Female                 | Total |
| <b>Exchange Participants</b> | 42                     | 129   | 4            | 14    | 45                     | 139   |
| <b>COP/SB Attendees</b>      | 13                     | 37    | 4            | 11    | 13                     | 38    |
| <b>Percentage</b>            | 31%                    | 29%   | N/A          | N/A   | 29%                    | 27%   |

In this reporting period, the only UNFCCC negotiations were during the 48<sup>th</sup> Conference of the Subsidiary Bodies (SB 48) in May 2018. Participants in the June 2018 Americas Exchange, unless they had previously participated in another exchange, have not been counted for this indicator, because they have not yet had an opportunity to participate in UNFCCC negotiations since attending a DGM exchange.

## 4. Financial Summary

The following table presents DGM Global expenditures over the course of this reporting period in comparison with the project's Year Three Budget, presented by project component.

### DGM Global Project Financial Summary – January-June 2018 (all figures in USD)

| Subcomponents   | Semiannual Expenditures |                  | Year Three         |                    |              |
|---|-------------------------|------------------|--------------------|--------------------|--------------|
|   | July-Dec 2017           | Jan-June 2018    | Expenditures       | Budget             | Burn Rate    |
| 1. Global Learning, Outreach, and Information Sharing   | \$372,510               | \$308,441        | \$680,951          | \$625,473          | 108.9%       |
| 2. Technical Assistance and Secretariat Services to GSC | \$114,790               | \$214,573        | \$329,363          | \$346,512          | 95.1%        |
| 3. Planning, Monitoring, and Reporting                  | \$112,004               | \$122,911        | \$234,915          | \$308,443          | 76.2%        |
| <b>Total</b>  | <b>\$599,304</b>        | <b>\$645,925</b> | <b>\$1,245,229</b> | <b>\$1,280,428</b> | <b>97.3%</b> |

#### Subcomponent 1: Global Learning, Outreach, and Information Sharing

DGM Global had US\$308,441 in Subcomponent 1 expenditures between January and June, bringing total spending for Year Three to US\$680,951, or 108.9% of budgeted spending for the year. The main activities within Component 1 were the Asia Regional Exchange (February 2018), the Americas Regional Exchange (June 2018), and engagement at UNPFII (April 2018) and UNFCCC SB48 (May 2018). All three regional exchanges featured more participants than initially planned.

#### Subcomponent 2: Technical Assistance and Secretariat Services to the DGM GSC

Between January and June, DGM Global spent US\$214,573 on Subcomponent 2, bringing total Year three spending to US\$329,363, or 95.1% of budgeted spending for the year. Notable activities in this reporting period included the Annual GSC Meeting (April 2018), the Americas/Asia Executing Agencies meeting (June 2018), and GSC secretariat services, including support to subcommittees, GSC/GEA travel, and support to DGM Countries.

#### Subcomponent 3: Planning, Monitoring, and Reporting

For Subcomponent 3, DGM Global spent US\$122,911 between January and June, with \$234,915 in total spending, or 76.2% of budgeted spending for the year. Key activities included preparations for the project mid-term review, development of the Year 4 workplan and budget, preparation of semiannual reports, and the redesign of the DGM results framework.

## 5. Workplan Progress

### Sub-component 1: Global Learning, Outreach, and Information Sharing

| Year 3 (2017-2018) Workplan   | Progress: July-December 2017  | Progress: January-June 2018   |
|---|---|---|
| <b>Output 1.1:</b> Organize global, regional and sub-regional training exchanges to facilitate participants' learning from successful community/ Indigenous Peoples' REDD+ projects for example, on benefit sharing, greenhouse gas measurement, climate-smart agriculture, and other issues which the GSC identifies   |   |   |
| <b>Activity 1.1.1:</b> Conduct one 5-day exchange during years 2-5 in each of the three FIP regions (Africa, Asia, Latin America-held in a FIP country to be determined with the GSC) targeting technical representatives from IPLC groups charged with understanding and analyzing relevant issues, and IPLC leaders/negotiators who require advice/support on technical issues. Content will incorporate learning from application of technical skills in existing REDD+ projects and IPLC experiences from participating in these projects. Approx. 15 representatives from each region will participate in the trainings throughout the life of the project, including representatives from each FIP country and from regional IPLC organizations including interested non-FIP countries. Participant lists to be determined with advice of NSCs of FIP countries in the region. (Location to be determined as part of the Strategic Planning process.) |   |   |
| Technical and logistical services and associated costs for outreach, development, coordination and facilitation of:<br><b>DGM Africa Exchange</b> on Agroforestry (Ghana - TBD)<br><b>DGM Asia Exchange</b> on Land Use (Indonesia - TBD)<br><b>DGM Americas Exchange</b> on Land Titling (Peru - TBD)<br><br>Support <b>international travel for approximately 15 participants per regional exchange.</b><br><b>GSC honorariums for targeted support during DGM Exchanges.</b>   | <b>Q1:</b> The DGM GEA, in coordination with Solidaridad (DGM Ghana NEA), hosted the Africa Regional Exchange in Kumasi Ghana from August 28-31, 2017. The exchange had 34 (23 men/11 women) IPLC participants from 10 countries (9 from Africa + Nepal)<br><br><b>Q2:</b> The DGM GEA began preparations for the upcoming Asia Exchange (Indonesia - Feb 2018) and Americas Exchange (Peru - June 2018), including coordination with NEAs and regional networks. | <b>Q3:</b> The DGM GEA, in coordination with Samdhana Institute (DGM Indonesia NEA), National Steering Committee, AMAN and in coordination with World Bank Indonesia task team hosted the Second DGM Asia Regional Exchange in South Sulawesi, Indonesia from February 12-16, 2018. The exchange had a total of 22 participants representing 7 countries, including 15 (10 men/5 women) IPLC participants from 6 countries and participation of the DGM Peru NEA to facilitate cross-regional exchange and learning around land titling. The event also counted with the participation of the Government of Indonesia's FIP focal point and the Director of Social Forestry and Indigenous Forest Business Development.<br><br><b>Q4:</b> The DGM GEA, in coordination with AIDESEP, CONAP, and WWF Peru (DGM Peru NEA), hosted the Latin America Regional Exchange in Junín, Peru from June 11-15, 2018. The meeting featured 25 IPLC leaders from 10 countries, including one from Indonesia to facilitate cross-regional exchange. The exchange also benefited from the participation of representatives of the NEAs from Peru, Mexico, Brazil, and Indonesia, as well as a team from a NASA-funded project to strengthen indigenous-led land management through the use of earth observation tools. |

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| <b>Activity 1.1.2:</b> Contract a leading regional alliance or network, such as Abya Yala Forum in Latin America, Asian Indigenous Peoples Pact (AIPP), or Indigenous Peoples of Africa Coordinating Committee (IPACC), to conduct a regional meeting of IP organizations to share learning from the regional training exchanges in 1.1.1; to share progress and results from DGM activities; and to obtain feedback and input to policy issues and IPLC positions on issues as preparation for the global policy meeting outlined in 1.2.3. Technical advisor(s) will be provided appropriate to the topics. |  |  |
| Organize regional <b>working groups with focal points from regional IPLC networks and the DGM NEAs</b> . Focal points will support strengthening IPLC networks and facilitate DGM outreach in each region.  | <p><b>Q1:</b> Coordination with regional networks for DGM Africa Regional Exchange, including the Indigenous Peoples of Africa Co-ordinating Committee (IPACC) and the International Alliance of Indigenous and Tribal Peoples of the Tropical Forests (IAITPTF)</p> <p><b>Q2:</b> Coordination with regional and global networks around the 2017 Global Exchange, including the Coordinator of Indigenous Organizations of the Amazon River Basin (COICA), the International Indigenous People's Forum on Climate Change (IIPFCC), AIPP, and IPACC; Initial coordination with regional networks for upcoming regional exchanges in Asia and Latin America</p> | <p><b>Q3:</b> Coordination with AMAN and AIPP around Asia Regional Exchange in South Sulawesi, Indonesia (1.1.1) in February 2018</p> <p><b>Q4:</b> Coordination with AIDSEP and CONAP around the Latin America Regional Exchange in Peru (1.1.1) in June 2018.</p>  |
| <b>Activity 1.1.3:</b> Produce and submit reports on exchange content and results to NSC of each country in each region.  |  |  |
| Technical services and associated costs for development and dissemination of <b>workshop reports</b> :<br>DGM Africa Exchange<br>DGM Asia Exchange<br>DGM Americas Exchange<br>DGM Global Exchange  | <p><b>Q1:</b> Preparation of DGM Latin America and Africa Regional Exchange Reports</p> <p><b>Q2:</b> Completion of reports for Africa Regional Exchange and Global Exchange – now available on the DGM Global website</p>   | <p><b>Q3:</b> Completion of report for Asia Regional Exchange.</p> <p><b>Q4:</b> Completion of reports for Latin America Exchange.</p>   |
| <b>Activity 1.1.4:</b> Provide course materials, presentations, and report to NSC and GSC communications focal points for posting on the DGM website.   |  |  |
| Technical services and associated costs with posting exchange materials, presentations, and reports to DGM website and sharing with DGM network.  | <p><b>Q1:</b> All exchange materials have been provided and are available both on the website and by request.</p> <p><b>Q2:</b> All exchange materials have been provided and are available both on the website and by request.</p>  | <p><b>Q3:</b> All Asia exchange materials have been provided during project activities and are available both on the website and by request.</p> <p><b>Q4:</b> All Latin America exchange materials have been provided during project activities and are available both on the website and by request.</p> |
| <b>Output 1.2:</b> Strengthen capacities of regional or global IPLC organizations and networks and facilitate their participation in REDD+ and climate change negotiations, including trainings on engaging in international negotiations, and on relevant international policy dialogues and processes   |  |  |



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| <b>Activity 1.2.1:</b> Combined with 1.1.1. Conduct a training session in each region for IPLC representatives to understand negotiating processes, build negotiating skills and understanding of UN negotiating processes and key topics in current negotiations. Indicative list of topics (will shift depending on state of negotiations): REDD+ finance, agriculture, non-carbon benefits, etc. |   |   |
| <p>Coordinate <b>technical sessions delivered by IPLC subject matter experts</b> at:</p> <p>DGM Africa Exchange<br/>DGM Asia Exchange<br/>DGM Americas Exchange<br/>DGM Global Exchange</p>   | <p><b>Q1:</b> During Africa Regional Exchange, hosted expert-led technical sessions on:</p> <ul style="list-style-type: none"> <li>- Africa and Engagement with the Paris Agreement</li> <li>- Strengthening regional IPLC networks in Africa region</li> <li>- Climate Smart Cocoa in Ghana</li> <li>- Lessons learned from DGM in African countries</li> </ul> <p><b>Q2:</b> During the Global Exchange, DGM Global hosted IPLC experts who conducted technical sessions on:</p> <ul style="list-style-type: none"> <li>- IIPFCC in UNFCCC negotiations</li> <li>- Strengthening IPLC Networks</li> <li>- Recommendations for SB47 agenda item on LCIP Platform</li> </ul>          | <p><b>Q3:</b> During the Asia Exchange, DGM Global hosted IPLC experts who conducted technical sessions on:</p> <ul style="list-style-type: none"> <li>- DGM Indonesia</li> <li>- Strengthening tenure security</li> <li>- Shifting Cultivation</li> <li>- Gender</li> <li>- Sustainable Forest Management</li> </ul> <p><b>Q4:</b> During the Latin America Exchange, DGM Global hosted IPLC experts who conducted technical sessions on:</p> <ul style="list-style-type: none"> <li>- Land Tenure in Latin America (Indian Law Resource Center)</li> <li>- Indigenous Peoples and Land Tenure in Peru (AIDESEP)</li> <li>- DGM Peru (AIDESEP/CONAP)</li> <li>- IPLC Networks in Latin America (COICA, Abya Yala)</li> <li>- FCPF Capacity Building Program (Abya Yala, CICA)</li> </ul> |
| <b>Activity 1.2.2:</b> Concurrent with 1.1.1 and 1.2.3, conduct sessions with technical experts and negotiators to learn how to form effective negotiating teams to contribute to effective contributions to climate processes based on sound technical advice from IP experts.   |   |   |
| <p>Coordinate <b>negotiation skills sessions delivered by subject matter experts</b> at:</p> <p>DGM Africa Exchange<br/>DGM Asia Exchange<br/>DGM Americas Exchange<br/>DGM Global Exchange</p>   | <p><b>Q1:</b> In-kind support: GSC co-chairs participated in negotiations organized and funded by CI, featuring the work of Ciaran O'Faircheallaigh, an expert in negotiations in the indigenous world.</p> <p><b>Q2:</b> Support provided through Global Exchange and throughout COP for engaging in UNFCCC negotiations. Technical sessions and daily briefings on COP negotiations provided to DGM delegation. During the Global Exchange, DGM GEA conducted technical sessions on:</p> <ul style="list-style-type: none"> <li>- UNFCCC essentials</li> <li>- Nationally Determined Contributions</li> <li>- UNFCCC Facilitative Dialogue and Global Stock take process</li> </ul> | <p><b>Q3:</b> During the Asia Exchange, DGM Global hosted IPLC experts who conducted technical sessions on:</p> <ul style="list-style-type: none"> <li>- LCIP Knowledge Platform</li> <li>- DGM as a Platform to inform Policy Processes and Dialogues</li> </ul> <p><b>Q4:</b> DGM Delegation at SB48 participated at IIPFCC meetings and contributed to the multi-stakeholder dialogue on the LCIP Platform.</p> <p>DGM Americas exchange featured participation of main IPLC Latin America Networks active in UNFCCC negotiations.</p>   |

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| <b>Activity 1.2.3:</b> Organize one 3 day global exchange annually, 2-3 months before each UNFCCC COP to share learning from other global initiatives supporting IPLC participation in REDD+ and provide IPLCs with a platform to develop coordinated positions on key negotiating issues and produce position papers: GEA will provide technical and policy expertise to support the process for IPLC leaders to lead the development of their own policy positions. Coordinate with global partner organizations/institutions also supporting IPLC participation in climate negotiations to include non-FIP countries and partners to broaden coordination of IPLC positions. |   |  |
| Technical and logistical services and associated costs for outreach, development, and facilitation of <b>DGM Global Exchange (Bonn)</b> in preparation of COP23. support of <b>international travel for approximately 15 participants to attend only DGM Global Exchange.</b><br>GSC honorariums for targeted support during DGM Exchanges.   | <b>Q1:</b> Preparations for 2017 DGM Global Exchange to be held immediately prior to UNFCCC COP 23 in early November in Bonn, Germany<br><br><b>Q2:</b> Preparation for and implementation of the 2017 DGM Global Exchange, held immediately prior to COP 23 in Bonn, including participation of 14 key IPLC leaders from 11 countries, preparation of technical sessions, and coordination of two panel discussions, all focused on preparing participants to engage effectively at COP 23 | <b>Q3:</b> No activities reported in this quarter<br><br><b>Q4:</b> Initial preparation of concepts for UNFCCC COP23 side event proposals.<br>Coordination with DGM Peru NEA on possible side event with the Government of Peru.   |
| <b>Activity 1.2.4:</b> Work with REDD+ investment agencies, NGOs, donors and UN agencies to leverage additional support for increased participation of the technical and negotiating teams of IPLC regional and global networks in UNFCCC meetings (target is minimum of 5 persons per region are supported through available funding mechanisms) DGM Global Component will support at least 2 persons per region to attend the UNFCCC COP or intercessional events.  |   |  |
| Technical services and associate costs for organizing and facilitating GSC participation at UNFCCC COP23.<br>Facilitation of <b>partner meetings and technical support to DGM delegation at COP23.</b><br>Support international travel for approximately <b>2 GSC participants to COP23.</b><br>Support international travel for approximately <b>1 GEA participant to SB48.</b>  | <b>Q1:</b> Preparation for GSC participation at UNFCCC COP 23<br><br><b>Q2:</b> Preparation and support for participation of GSC members and other IPLC leaders at COP 23 through targeted technical sessions, side events for highlighting IPLC perspectives, and periodic check-ins to keep everybody updated with the latest developments. Coordination and engagement with IPACC, IUCN CEESP, Ford, IIPFCC, UNFCCC secretariat, COP23 Presidency, UNFCCC negotiators.                   | <b>Q3:</b> Preparation for participation of GEA and other IPLC leaders at SB48 in May 2018, including continued support for the LCIP Platform in coordination with key partners such as IIPFCC.<br><br><b>Q4:</b> GEA participation at UNFCCC SB48, technical support and coordination meetings with IIPFCC. |
| <b>Activity 1.2.5:</b> Conduct side event or information sharing event at the UNFCCC COP to report on and receive broader feedback on the activities of the DGM (GEA and GSC reps attending COP and leading event in collaboration with funded delegates/observers).  |   |  |

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| Technical services and associated costs for organizing, facilitating, and disseminating results from <b>DGM side event and information sharing meetings at UNFCCC COP23.</b>   | <p><b>Q1:</b> Coordination, preparation and submission of side event proposals for UNFCCC COP 23; DGM submission for COP 23 Presidency Endorsed Initiative</p> <p><b>Q2:</b> DGM Side Events at COP23:</p> <ul style="list-style-type: none"> <li>- Panel Discussion: Opportunities and Priorities for the LCIP Platform.</li> <li>- LCIP Platform and NDCs: a force for transformative change in raising ambition &amp; inclusion of non-state actors.</li> <li>- African Perspectives on the LCIP Platform: Examples of multi-stakeholder engagement for the upcoming implementation.</li> <li>- Indigenous Knowledge and Leadership Delivering Climate Action on Forests – Progress and lessons learned from the DGM.</li> </ul> | <p><b>Q3:</b> No activities in this quarter</p> <p><b>Q4:</b> Initial planning and coordination for potential side events at UNFCCC COP 24</p> |
| <b>Activity 1.2.6:</b> Provide pre-UNFCCC COP coordination. Organize and facilitate ½ day session immediately before the COP for IPLC participants to re-enforce negotiating skills, provide updates on key topics and current issues under negotiation. Audience would include FIP sponsored IPLCs plus other interested IPLC delegates or observers from non-FIP countries already attending the COP. (Location in Current COP host country-year 1 in Peru, year 2 in Paris, years 3-5 TBD). |   |  |
| Preparations for IPLC participation at COP coordinated during DGM Global Exchange.<br>Support 3 Global Exchange participants to collaborate with the technical team at the IIPFCC meeting before COP23.<br>Organize and facilitate daily UNFCCC negotiations update sessions to DGM delegations at UNFCCC COP23.   | <p><b>Q1:</b> Preparations for DGM Global Exchange which will be held immediately prior to COP 23 and will be used to coordinate IPLC participation at COP 23</p> <p><b>Q2:</b> DGM Global provided technical support on pre-UNFCCC COP coordination through the Global Exchange, the IIPFCC Pre-COP23 and daily coordination meetings to support the LCIP Platform process.<br/>GSC members participated at working day session on IIPFCC strategy for COP22.</p>  | <p><b>Q3:</b> No activities in this quarter</p> <p><b>Q4:</b> No activities in this quarter</p>  |
| <b>Activity 1.2.7:</b> Disseminate exchange reports and relevant documents/reports from annual UNFCCC COP negotiations on the DGM web-site and communications channels.  |   |  |

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| Technical services and associated costs for developing and disseminating <b>DGM Reports and relevant documents for UNFCCC and GCF negotiations.</b>  | <p><b>Q1:</b> Development of communications plan to facilitate sharing of information about the DGM at, and in relation to, UNFCCC COP 23</p> <p><b>Q2:</b> DGM Global published several communications materials related to DGM engagement at COP and progress toward IPLC priorities, including activity posts, infographics and 3 blog posts on the website, a dedicated webpage with the COP 23 agenda, substantial social media coverage, and a newsletter specifically focused on the gains made at COP 23 with DGM support.</p> | <p><b>Q3:</b> DGM Global continued to share communications materials related to COP 23 achievements and the LCIP Platform to IIPFCC partners, Asia Exchange participants, and via DGM website.</p> <p><b>Q4:</b> DGM Global finalized nine knowledge products related to gender, strengthening IPLC networks and the Grievance Redress Mechanism.</p>                      |
| <b>Output 1.3:</b> Develop culturally appropriate knowledge resources for use by IPLCs globally, in the form of, among others, case studies, examples of promising or “state-of-the-art” practices, focal points for sharing ideas around particular themes or challenges, and web-based knowledge networking tools  |  |  |
| <b>Activity 1.3.1:</b> Develop and maintain knowledge resource database, housed in the web learning portal on the DGM website providing access to existing resources on IPLCs, climate change, forests and REDD+, training materials and training design targeted to IPLC audiences.   |  |  |
| Technical support and associated costs for <b>development and maintenance of the DGM M+R database</b> (See also 1.5.6)   | <p><b>Q1:</b> Several documents and reports, in all available languages, have been added to the DGM Global website; Development of the full knowledge platform was put on hold by the GSC in Brasilia in April 2017.</p> <p><b>Q2:</b> DGM documents and reports, in all available languages, have been added to the DGM Global website; Development of the full knowledge platform put on hold by the GSC in Brasilia in April 2017</p>   | <p><b>Q3:</b> DGM documents and reports, in all available languages, have been added to the DGM Global website.</p> <p><b>Q4:</b> DGM resources and reports, in all available languages, are available in DGM Global website.<br/>DGM GEA created a shared library for collaboration between executing agencies, launched during June Executing Agency Meeting in Peru</p> |
| <b>Activity 1.3.2:</b> Based on priorities of IPLC policy teams and input of NSCs and GSC, develop learning videos/digital learning products on 3-4 key topics related to climate change, forests and REDD+ to provide broader outreach to IPLC organizations and communities. Possibly linked to grantee work in FIP countries. Videos would also be available in the web learning portal of the DGM website. |  |  |

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| Technical services and associated costs for coordinating, developing and disseminating a digital <b>learning video/knowledge product in coordination with DGM partners.</b>  | <p><b>Q1:</b> Coordination with DGM Brazil for the release of a video of the Latin America Exchange in Portuguese with English subtitles</p> <p><b>Q2:</b> Interviews conducted with GSC Members Idrissa Zeba and Manuel Aldrete; Videos to be edited and released over the next few months</p>   | <p><b>Q3:</b> Conducted video interviews with DGM exchange participants, capturing their reflections on the exchanges and what they learned, and shared on social media.</p> <p>Collected video interviews from women on the Brazil NSC and shared on social media for International Women's Day 2018.</p> <p>Prepared for video interviews with GSC members during upcoming GSC Meeting in April 2018.</p> <p><b>Q4:</b> Video interviews and stories posted in social media.</p> |
| <p><b>Output 1.4:</b> Strengthen IPLC Global and Regional Alliances and Networks to foster dialogue and learning within and among alliances and networks and to foster dialogue learning and interaction with key institutions and entities such as the World Bank and other MDGs, UN-REDD, UNFCCC, UNPFII, bi-lateral donor governments, NGOs and training institutions focusing on IPLCs, social and environmental safeguards, forests and REDD+.</p>  |   |  |
| <p><b>Activity 1.4.1:</b> Through a consultative process with IPLC global and regional networks and NSCs develop and implement an assessment methodology to determine priority needs and gaps among and within existing IPLC networks to a.) strengthen policy, implementation, research, capacity and communication needs of IPLCs related to forests and REDD+; and b.) identify challenges and opportunities related to expanding regional network participation in global alliances; c.) identify challenges and opportunities to expanding membership of local IPLC organizations in regional networks; d.) supporting the formation/official registration of new IPLC organizations; and e.) creating horizontal and vertical information flows within regional networks and alliances and between regional and global networks.</p> |   |  |
| Technical services and associated costs for GEA outreach, development, and dissemination of a <b>case study about strengthening IPLC networks in the DGM Asia region.</b><br>Develop a <b>network assessment methodology</b> based on Asia case study.   | <p><b>Q1:</b> Ongoing analysis of networks mapped through participatory exercises at DGM exchanges<br/>In-kind support: Participatory Social Network Analysis of Asia Indigenous Networks in conjunction with AIPP and NEFIN. Activity funded by CI. Report to be completed in next quarter with ongoing analysis.</p> <p><b>Q2:</b> Ongoing analysis of network maps from DGM exchanges, as well as the social network analysis conducted with AIPP and NEFIN. Publication of DGM Exchange Network Analysis Reports in progress.</p> | <p><b>Q3:</b> Case Study about strengthening IPLC networks in Asia Region in progress and scheduled to be completed by the end of next quarter.</p> <p><b>Q4:</b> Case Study presented during the project mid-term review meetings.</p>  |
| <p><b>Activity 1.4.2:</b> Provide sub-contracts to IPLC Organizations to carry out assessments on IPLC network strengthening needs and gaps.</p>   |   |  |

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| <b>Participatory network analysis</b><br>exercise conducted during regional exchanges (1.1.1) to assess IPLC network strengthening.  | <b>Q1:</b> No activities in this quarter<br><br><b>Q2:</b> Scope of work on AIPP support on strengthening DGM regional networks on-hold.   | <b>Q3:</b> Reports on DGM Participatory Network Analysis conducted during Regional Exchanges are completed and were presented to World Bank during the project Mid-Term Review.<br>DGM Africa Exchange Participatory Network Analysis Report<br>DGM Asia Exchange Participatory Network Analysis Report<br>DGM Americas Exchange Participatory Network Analysis Report<br><br><b>Q4:</b> No activities in this quarter. |
| <b>Activity 1.4.3:</b> Concurrently with the regional trainings described in 1.1.1, conduct a working session with IPLC technical and negotiating teams to strengthen communications and feedback processes within the regional networks/alliances and address the challenges identified under the assessment in 1.4.1 to expanding the outreach and effectiveness of IPLC networks.   |  |   |
|  | <b>Q1:</b> Feedback surveys conducted during DGM Africa Exchange.<br><br><b>Q2:</b> Feedback surveys conducted during DGM Global Exchange.   | <b>Q3:</b> Feedback surveys conducted during DGM Asia Regional Exchange, including information on engagement with regional IPLC networks.<br><br><b>Q4:</b> Feedback surveys conducted during DGM Latin America Regional Exchange, including information on engagement with regional IPLC networks.   |
| <b>Activity 1.4.4:</b> Propose to NSC to commission 1 case study per country on IPLC participation in REDD+ mechanisms topics could include: stakeholder engagement and participation in National REDD+ Committees; participation in implementation of Safeguard Information Systems, to participation in the UN Climate Policy Negotiations. Collaboration and feedback would be sought with NSCs to support additional national level studies by IP organizations. |  |   |
| Technical services and associated costs the development and dissemination of the following<br><b>case studies:</b><br><b>Grievance Redress Mechanisms</b> - comparison of how different countries conceptualize grievance redress and local problems   | <b>Q1:</b> Preparation of DGM GRM Case Study.<br><br><b>Q2:</b> DGM GRM Case Study presented to GSC Members during December meeting; Publication of GRM Case Study is expected next quarter. | <b>Q3:</b> DGM GRM Case Study further refined and prepared for presentation to GSC during GSC Meeting in April 2018.<br><br><b>Q4:</b> DGM GRM Case Study finalized and presented at the GSC meeting. "Project Level Grievance Redress Mechanism and Dispute Resolution: Preliminary Lessons from the Dedicated Grant Mechanism for Indigenous Peoples and Local Communities."  |
| <b>Activity 1.4.5:</b> Work with the Global Steering Committee and National Steering Committees to propose the development of best practice guidelines for working with IPLCs on REDD+ based on work with regional and global IPLC organizations/alliances, case studies, and on the experiences of the County Component of the FIP-DGM and National case studies proposed under 1.4.4.  |  |   |



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| Technical services and associated costs for the development and dissemination of the following <b>guidelines</b> :<br>- General <b>gender</b> considerations in IPLC participation in DGM activities  | <b>Q1:</b> Preparation of DGM Gender Case Studies<br><br><b>Q2:</b> DGM Gender Case Study presented to GSC Members during December meeting<br>Coordination with CIF Gender Adviser<br>Publication of Gender Case Study expected next quarter  | <b>Q3:</b> Meeting with CIF Gender Advisor to further gender mainstreaming in DGM Global Project activities.<br><br><b>Q4:</b> Case Studies completed:<br>Gender #1: Gender considerations for IPLC participation in forest conservation<br>Gender #2: Provisions for gender equality & women's participation in DGM design and operations<br>Gender #3: Gender in National Steering Committee composition<br>Gender #4: Designing an inclusive process for choosing and supporting sub-projects<br>Gender #5: Gender in DGM Global governance and outreach |
| <b>Output 1.5:</b> A Communications and Outreach Strategy is defined and implemented to ensure coordinated and consistent communication and information sharing across FIP pilot countries, as well as to non-FIP countries, partners and other global audiences  |   |   |
| <b>Activity 1.5.1:</b> Establish a global communications team (GCT) for the DGM, composed of the communications focal point for each country and a communications focal point from the GSC, in coordination with GSC, NSCs and NEAs.  |   |   |
| Continuing growth of <b>GCT</b> as new country projects begin and GCT representatives are selected (See also 2.6.2)   | <b>Q1:</b> Increased coordination between executing agencies since GCT launch at NEA meeting in the previous quarter. Further consolidation and coordination planned for coming quarter<br><br><b>Q2:</b> Increased coordination between executing agencies since GCT launch. Specially with CIF-AU, Brazil, Mexico, Ghana and Peru.<br>Further development of GCT concept including a WhatsApp group to be launched in the coming quarter to continue streamlining DGM programmatic communications | <b>Q3:</b> Coordination for social media outreach with World Bank, CIF, Brazil, Mexico, Ghana, and Peru communications focal points.<br><br>Launch of GCT WhatsApp group for quick coordination as needed between DGM communications focal points.<br><br><b>Q4:</b> Coordination for social media outreach with NEAs. Meeting with Brazil, Peru, Indonesia, Mexico in Peru in June 2018 at the Americas/Asia Executing Agencies meeting.   |
| <b>Activity 1.5.2:</b> Working with the GCT and with input from the NEAs/NSCs and global partners, develop and submit a comprehensive Communications and Outreach Strategy for the DGM, including global and country specific communications protocols and including a Website and web-based information and knowledge sharing system, a system for sharing learning, experiences and DMG reporting throughout the DGM term. The Communications and Outreach Strategy development will be coordinated by the Communications Focal point on the GEA. |   |   |

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| Technical services and associated costs for outreach, development and dissemination of DGM <b>Year 4 Communications Plan</b>  | <p><b>Q1:</b> Updating of DGM Global Year 3 Communications Plan to reflect increased coordination with DGM country projects for monitoring, reporting, and communications; Formation of GEA Communications Team and development of communications calendar to facilitate more strategic use of communications channels and advanced planning around key events; weekly meetings to discuss DGM Global communications needs; Preparation of communications materials, infographics, and supporting documents.</p> <p><b>Q2:</b> DGM Global has continued to increase coordination with the country projects in line with the Year 3 Communications Plan; country inputs are being integrated into DGM Global's communications calendar which is being used to plan all communications activities.</p> | <p><b>Q3:</b> More strategic use of Twitter as a social media platform, resulting in growth of monthly tweet impressions by 1,120% over the previous year. Frequent coordination with DGM country projects, particularly in relation to monitoring and reporting, as well as collaboration around DGM exchanges, in line with Year 3 Communications Plan. Development of DGM Global Project Year 4 Communications Plan, with emphasis on stronger messaging, increased accessibility of communications in all DGM languages, and the need for more video content.</p> <p><b>Q4:</b> Continuing to follow Year 3 Communications Plan Year 4 Communications Plan developed approved during GSC meeting in April 2017.</p> |
| <b>Activity 1.5.3:</b> Conduct Quarterly virtual meetings of the global communications team.  |  |   |
| Technical services and associated costs to facilitate ongoing <b>coordination with the GCT</b> , including engagement with each GCT representative <b>at least once per quarter</b>   | <p><b>Q1:</b> Ongoing coordination with all GCT members for development of Program and Annual reports and preparation of communications materials, including newsletters, activity posts, and social media campaigns; Calls with DGM Brazil and DGM Peru on coordination of, and support for, communications</p> <p><b>Q2:</b> GEA weekly communications meetings and regular coordination with all GCT members for development of reports and communications materials, including newsletters, activity posts, and social media campaigns</p>   | <p><b>Q3:</b> Continued coordination with country projects for development of communications materials, including newsletters, activity posts, and social media campaigns.</p> <p><b>Q4:</b> Continued coordination with country projects for development of communications materials, including newsletters, activity posts, and social media campaigns.</p>   |
| <b>Activity 1.5.4:</b> Working with NSC communications focal points, conduct in-country communications needs assessments to determine web-based needs, technical capabilities of each country and to establish a terms of reference for web-site development. GEA communications focal point will develop survey method with consultant in 2.2.7. |  |   |

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| Year 3 (2017-2018) Workplan  | Progress: July-December 2017   | Progress: January-June 2018  |
|--|--|--|
| Development, facilitation, and oversight of <b>communications priority lists</b> to be completed by GCT members to strengthen DGM communications and outreach.   | <p><b>Q1:</b></p> <p><b>Q2:</b> Ongoing coordination with GCT members on strengthening the DGM's digital presence, including advice to DGM Ghana on preparing a DGM website, as well as active coordination between DGM projects on social media</p>   | <p><b>Q3:</b> Coordination with country projects to update the DGM Global website in line with the July-December 2017 Semiannual Program Report.</p> <p>Shared content produced by country projects in Brazil, Ghana, and Mozambique with a larger audience through DGM Global communication channels (website, Facebook, Twitter, newsletters).</p> <p><b>Q4:</b> Continued coordination with GCT members, particularly those from Peru, Mexico, Brazil, and Indonesia around the June 2018 Executing Agency Meeting.</p> |
| <b>Activity 1.5.5:</b> Coordinate a common approach and provide guidance on the development of country-specific communications and outreach strategies (including establishing protocol for collecting content for DGM website). |  |  |
|  | <p><b>Q1:</b> Continued coordination with DGM country projects to demonstrate increased cohesion of key messages and communications materials</p> <p><b>Q2:</b> Continued coordination with DGM country projects to demonstrate increased cohesion of key messages and communications materials. GEA developed COP23 messaging strategy and document for DGM delegation.</p> | <p><b>Q3:</b> Continued coordination with DGM country projects for increased cohesion of key messages and communications materials.</p> <p><b>Q4:</b> Coordination with DGM country projects in Peru, Brazil, Indonesia, and Ghana to share country project information on DGM Global website and ensure consistent terminology and messaging across projects</p>  |
| <b>Activity 1.5.6:</b> Contract a consulting firm to design and develop DGM website based on Communications and Outreach Strategy (including developing country portals).  |  |  |
|  | <p><b>Q1:</b></p> <p><b>Q2:</b></p>  | <p><b>Q3:</b> No activities in this quarter.</p> <p><b>Q4:</b> Received GSC approval for redesign of DGM Global website. Initial concept drafted – expected to be available online in the first half of the coming year</p>  |
| <b>Activity 1.5.7:</b> Annual Website Maintenance  |  |  |

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| Year 3 (2017-2018) Workplan  | Progress: July-December 2017  | Progress: January-June 2018  |
|--|---|--|
| Technical support and associated costs for <b>DGM Global website updates and maintenance</b>   | <p><b>Q1:</b> DGM GEA maintains website and keeps content updated.</p> <p><b>Q2:</b> DGM GEA maintains website and keeps content updated.</p>   | <p><b>Q3:</b> DGM GEA maintains website and keeps content updated. Revision of DGM Global website in process to make it more accessible in all DGM languages. Google Translation already added for activities/blog entries, with full website to be professionally translated in the coming quarter.</p> <p><b>Q4:</b> DGM GEA maintains website and keeps content updated; Website redesign approved by GSC and in progress – expected to be available online in first half of coming year.</p>   |
| <b>Activity 1.5.8:</b> Provide ongoing advice on web-based tools and, techniques and approaches, and provide advice to the GCT and FIP country communications teams/contractors.   |   |  |
| Provision of guidance to and <b>coordination with NEAs /NSCs</b> as requested  | <p><b>Q1:</b> Greater integration of DGM country project news and updates into DGM Global website to increase audience size and improve targeting of key messages</p> <p><b>Q2:</b> Continued support for DGM web presence, including feedback on website designs, collaboration on social media, and improved coordination on coverage of important stories at the country level</p> | <p><b>Q3:</b> Continued support for DGM web presence, including feedback on country project website design in DRC, collaboration on social media with DGM Brazil, Ghana, Indonesia, and Peru, and improved coordination on coverage of important stories at the country level.</p> <p><b>Q4:</b> Continued support for DGM web presence, including feedback on country project website design in Mexico (<a href="http://www.mde-mexico.org">www.mde-mexico.org</a>), and collaboration with DGM Brazil, Ghana, Indonesia, Peru, and Mexico on social media.</p> |
| <b>Activity 1.5.9:</b> Working with a member of each NSC as communications focal point, develop and manage a comprehensive database of DGM activities, knowledge products, events and results. Site will also support knowledge/training products from the capacity building and institutional strengthening events. Oversee the ongoing maintenance and content updates to the DGM website. |   |  |
| Coordination with NEAs to develop <b>content updates for the DGM website</b><br>Technical support and associated costs for DGM Global <b>social media updates and maintenance</b>  | <p><b>Q1:</b> Information on past and upcoming DGM activities, increasingly including DGM country project activities, available on DGM Global website and upon request</p> <p><b>Q2:</b> Information on past and upcoming DGM activities, increasingly including DGM country project activities, available on DGM Global website, in semiannual reports, and upon request</p>         | <p><b>Q3:</b> Information on past and upcoming DGM activities, increasingly including DGM country project activities, available on DGM Global website, in semiannual reports, and upon request.</p> <p><b>Q4:</b> Information on past and upcoming DGM activities, increasingly including DGM country project activities, available on DGM Global website, in semiannual reports, and upon request.</p>  |

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**Sub-component 2: Technical and Secretariat Services to the Global Steering Committee**

| Year 3 (2017-2018) Workplan  | Progress: July-December 2017   | Progress: January-June 2018  |
|--|--|--|
| <b>Output 2.1</b> Global Steering Committee established and functioning  |  |  |
| <b>Activity 2.1.1:</b> Establish the Global Steering Committee working in collaboration with the World Bank and the DGM Transitional Committee.  |  |  |
| Completed prior to this fiscal year  | <b>Q1:</b> Completed prior to this quarter<br><br><b>Q2:</b> Completed prior to this quarter   | <b>Q3:</b> Completed prior to this quarter<br><br><b>Q4:</b> Completed prior to this quarter   |
| <b>Activity 2.1.2:</b> Prepare and submit Rules of Procedure for the GSC (due 3 mo. after start date).   |  |  |
| Technical services and associated costs for <b>updates to GSC Rules of Procedure</b>   | <b>Q1:</b> Completed prior to this quarter<br><br><b>Q2:</b> Completed prior to this quarter   | <b>Q3:</b> Completed prior to this quarter<br><br><b>Q4:</b> Completed prior to this quarter   |
| <b>Activity 2.1.3:</b> Facilitate establishment of the National Steering Committees (NSC) as required in collaboration with DGM Transitional Committee and the National Executing Agencies (NEA).  |  |  |
| Technical services and associated costs for Travel of GSC subcommittees members up to 6 DGM countries for coordination with NSCs and NEAs as needed  | <b>Q1:</b> Support to Interim Steering Committee processes in Guatemala and Nepal<br><br><b>Q2:</b> Support with information to Interim Steering Committee processes in Nepal, Ecuador and Guatemala | <b>Q3:</b> Continued GEA support for Interim Steering Committee processes in Nepal, Ecuador and Guatemala.<br><br><b>Q4:</b> Technical support to Nepal, Peru, Mexico, Ivory Coast.                                      |
| <b>Activity 2.1.4:</b> Prepare and submit report on the establishment of the GSC.  |  |  |
| Technical services and associated costs for preparation of update reports for GSC  | <b>Q1:</b> No activities this quarter<br><br><b>Q2:</b> No activities this quarter   | <b>Q3:</b> No activities this quarter.<br><br><b>Q4:</b> Prepared updates on key activities prior to April 2018 GSC Meeting; Coordination with country projects around changes to GSC representation in Brazil and Peru. |
| <b>Activity 2.1.5:</b> Organize and service the annual meetings of the GSC, including translation services, materials preparation and logistics for approx. 20 participants in a central location. |  |  |

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| Year 3 (2017-2018) Workplan   | Progress: July-December 2017  | Progress: January-June 2018  |
|---|---|--|
| Technical services and associated costs for outreach, coordination, development, and facilitation of <b>DGM GSC Meeting (Washington DC-TBD)</b> , including facilitating international travel for up to 15 GSC participants. GSC honorariums for targeted support during DGM Exchanges. | <p><b>Q1:</b> Preparations for GSC co-chairs meeting in Bonn in November.</p> <p><b>Q2:</b> GSC co-chairs meeting in Bonn in November to track progress and status of GSC Brasilia decisions. DGM midterm review preparatory meeting with four GSC members held in December. Meeting also included FY19 workplan preparation and coordination for upcoming annual GSC meeting in April.</p> | <p><b>Q3:</b> Preparation for Annual GSC Meeting to be held in Arlington, VA in April 2018, including preparation and translation of all materials for GSC review, coordination with GSC for Year 4 Budget and Workplan, and travel and logistical arrangements.</p> <p><b>Q4:</b> GSC meeting held in Arlington, Virginia from April 23-25, 2018. DGM GEA secretariat support in logistics, organization, materials and content discussed during the meeting.</p> |
| <b>Activity 2.1.6:</b> Submit report on proceedings of each annual meeting of the GSC.  |   |  |
| Technical services and associated costs for development and dissemination of <b>DGM GSC Meeting Report</b>  | <p><b>Q1:</b> Follow-up meetings with GSC on progress of Brasilia decisions</p> <p><b>Q2:</b> Updates on decisions made at previous annual GSC meeting provided to GSC members present at November Global Exchange and December Midterm Review meetings</p>   | <p><b>Q3:</b> Preparation of presentation on progress made against decisions taken by the GSC in Brasilia at their third annual meeting in preparation for upcoming fourth annual meeting.</p> <p><b>Q4:</b> Report of the 4<sup>th</sup> DGM GSC meeting sent to GSC members.</p>   |
| <b>Activity 2.1.7:</b> Facilitate working groups established to carry out the work of the GSC.  |   |  |



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| Year 3 (2017-2018) Workplan   | Progress: July-December 2017  | Progress: January-June 2018   |
|---|---|---|
| <p>Technical services and associated costs for coordination, outreach and establishment of the following <b>GSC subcommittees and working groups</b>:</p> <ul style="list-style-type: none"> <li>- GSC Budget Subcommittee</li> <li>- GSC Grievance Redress Subcommittee</li> <li>- DGM Sustainability working group</li> </ul> <p>GSC honorariums for targeted support to subcommittees.</p> <p>Support 2 visits per year of GSC co-chairs to Washington DC for DGM Global Project coordination.</p> | <p><b>Q1:</b> Facilitation of GSC Co-Chairs meeting at CI headquarters in July to kick off the term of the newly elected co-chairs and the DGM Global FY18 workplan; In-kind support: collaboration with CI on NASA AmeriGEOSS initiative related to indigenous peoples and land management, leveraging targeted support for Mexico, Ecuador, and Peru (proposal approved October 4); Preparation of criteria for honorariums; Updates and follow-up with GRM subcommittee; Follow-up to workplan recommendations from Budget subcommittee meeting in Bonn (May 2017)</p> <p><b>Q2:</b> GSC Sustainability Working Group members engaged donors and negotiators during COP23; GSC Co-chairs and Budget Subcommittee provided feedback to FY19 workplan and budget; GSC members provided recommendations to all areas of global project review to be conducted by the World Bank; Members of GSC Sustainability Working Group established priorities for additional financing during December meetings with GSC members; The Grievance Redress Subcommittee classified a grievance from Cote d'Ivoire as resolved in December.</p> | <p><b>Q3:</b> - Coordination with GSC Communications Subcommittee around preparation of Year 4 Communications Plan.</p> <ul style="list-style-type: none"> <li>- Continued coordination with GSC Budget Subcommittee and Co-chairs in relation to the project's Year 4 Budget and Workplan.</li> <li>- Preparation of updates on subcommittee activities for upcoming GSC Meeting in April 2018.</li> <li>- Coordination with GSC co-chairs in preparation of Project Mid-Term Review in March 2018.</li> <li>- Coordination with GSC in preparation of DGM Semiannual Report submitted in March 2018.</li> <li>- Coordination of GSC feedback to ITAD's DGM Learning Partnership.</li> </ul> <p><b>Q4:</b> GSC Subcommittee meetings held in Arlington, Virginia from April 20-22. Coordination with GSC co-chairs to identify opportunities for the participation of the sustainability working group in upcoming DGM events.</p> |
| <b>Activity 2.1.8:</b> Carry out secretarial tasks, information gathering, studies or other tasks requested by the GSC.   |   |   |
| <p>Technical services and associated costs for DGM GSC Secretariat.</p> <p>Technical services and associated costs for <b>follow-up to findings of CIF Learning Evaluation and DGM Global Project mid-year review</b></p>   | <p><b>Q1:</b> GEA provides ongoing secretariat support for the GSC and DGM country projects.</p> <p><b>Q2:</b> GEA provides ongoing secretariat support to GSC and DGM country projects, as well as support to the DGM Learning Partnership being implemented by ITAD.</p>  | <p><b>Q3:</b> GEA provides ongoing secretariat support to GSC and DGM country projects, as well as support to the DGM Learning Partnership being implemented by ITAD.</p> <p><b>Q4:</b> Review of CIF learning partnership report.</p>  |
| <b>Activity 2.1.9:</b> Equipment, licenses  |   |   |
| <p>Technical services and associated costs for <b>DGM GSC Secretariat</b></p>   | <p><b>Q1:</b> Provided as needed.</p> <p><b>Q2:</b> Provided as needed.</p>   | <p><b>Q3:</b> Provided as needed</p> <p><b>Q4:</b> Provided as needed</p>   |
| <b>Output 2.2</b> Outreach conducted and partnerships/collaborations established with global partners working on similar issues/mechanisms to support IPLC capacities and initiatives to strengthen participation in REDD+ processes  |   |   |

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| Year 3 (2017-2018) Workplan   |  | Progress: July-December 2017   | Progress: January-June 2018 |
|---|--|--|-----------------------------|
| <b>Activity 2.2.1:</b> In conjunction with the annual GSC meeting, conduct annual information sharing meetings with global partners (FCPF, UN-REDD, GEF Small Grants Programme, UNPFII, etc.) to share objectives/results of the DGM and define synergies and opportunities to collaborate, leverage joint funding opportunities, and coordinate common areas of support to IPLCs on REDD+, climate negotiations and related initiatives. |  |  |                             |
| GEA technical support and international travel for <b>2 GSC participant to GCF Board meeting.</b><br><br>GSC honorariums for targeted support of GSC sustainability working group when engaging GCF.  | <b>Q1:</b> No activities in this quarter<br><br><b>Q2:</b> No activities in this quarter   | <b>Q3:</b> Idrissa Zeba, GSC Co-chair, took part in Maputo, Mozambique from 19 to 21 February 2018 at the 5th National Community-Based Natural Resources Management Conference, on the margins of which an open dialogue was initiated with the participants on the DGM and the Mozambique DGM project within this global initiative.<br><br><b>Q4:</b> World Bank event during the week of the UNPFII. Coordination meetings with global partners and technical support to IIPFCC at UNFCCC SB47. |                             |
| <b>Activity 2.2.2:</b> Working with global partners defined and participating in 1.3.1, develop annual joint reporting mechanism on impacts of initiatives to support IPLC organizations and networks.  |  |  |                             |
|   | <b>Q1:</b> No activities in this quarter<br><br><b>Q2:</b> No activities in this quarter   | <b>Q3:</b> No activities in this quarter<br><br><b>Q4:</b> No activities in this quarter   |                             |
| <b>Activity 2.2.3:</b> GEA and/or GSC members attend key global and regional events related to the Goals and Objectives of the DGM to increase awareness of the DGM, share information on the DGM and IPLC priorities, expand learning, and improve access to decision-making on climate and REDD+ policy and related IPLC issues.  |  |  |                             |
| See activities 1.1.1, 1.2.4, 2.2.1, 2.1.3, 3.3.3  | <b>Q1:</b> Developed and shared DGM position on GCF IP Policy; Preparation for and participation in informal dialogue on LCIP Platform in Canada; Preparations for GEA/GSC participation in UNFCCC COP 23 in November, including coordination for DGM side events; GEA technical support to Administrative Unit of the Climate Investment Funds and participation in FC PF/FIP Pilot Countries Meeting held in Lao PDR in September 2017; First meeting with ITAD, which will be conducting the CIF DGM Learning Partnership.<br><br><b>Q2:</b> GSC/GEA participation in FIP Subcommittee Meeting in December, including launch of Annual Report | <b>Q3:</b> Preparation for DGM participation at UN Permanent Forum on Indigenous Issues (April 2018) and UNFCCC SB 48 (May 2018).<br><br><b>Q4:</b> GEA/GSC participation at:<br>- DGM Asia Regional Exchange<br>- DGM Americas Regional Exchange<br>- DGM Executing Agencies Exchange<br>- FIP SC Meeting<br>- UNFCCC SB48 negotiations   |                             |
| <b>Output 2.3:</b> Develop and facilitate procedures for a complaints and grievance mechanism at the global level   |  |  |                             |
| <b>Activity 2.3.1:</b> Facilitate the Grievance Redress Mechanism and Complaints procedure as defined in Item IV. of the Framework Operational Guidelines of the DGM  |  |  |                             |

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| Year 3 (2017-2018) Workplan  | Progress: July-December 2017  | Progress: January-June 2018  |
|--|---|--|
| Technical services and associated costs to facilitate Grievance Redress Mechanism and Complaints procedures  | <p><b>Q1:</b> DGM Global continues to monitor program-wide grievances, most of which have been resolved efficiently at the national level. Planning for discussion of Cote d'Ivoire grievance at DGM Africa Exchange canceled in favor of national-level resolution; Calls with DGM DRC and DGM Peru to discuss grievance redress mechanisms and identify key takeaways to share with other country projects</p> <p><b>Q2:</b> DGM Global continues to monitor program-wide grievances, most of which have been resolved efficiently at the national level. Cote d'Ivoire grievance from July 2016 has been retroactively classified as resolved, following the temporary suspension of DGM activities and the dissolution of the project's interim committee</p> | <p><b>Q3:</b> DGM Global continues to monitor program-wide grievances, most of which have been resolved efficiently at the national level. No grievances have been received at the global level in this period.</p> <p><b>Q4:</b> Proposal to update the DGM GRM presented to the GSC – provisionally approved pending a trial period.</p> |
| <b>Activity 2.3.2:</b> Establish and manage the GSC Grievance Sub-committee; establish feedback and complaints input and tracking within the DGM website.  |   |  |
| Technical services and associated costs for <b>coordination and establishment of the GSC Grievance Subcommittee</b> (See activities 2.1.3 and 2.6.1 for any travel costs needed to address grievances)                             | <p><b>Q1:</b> Planning for GSC participation in discussion of Cote d'Ivoire grievance at DGM Africa Exchange; canceled in favor of national-level resolution</p> <p><b>Q2:</b> GSC subcommittee issued a statement in December 2017 confirming resolved status of Cote d'Ivoire grievance.</p>  | <p><b>Q3:</b> Preparation for update on GSC Grievance Redress Subcommittee activities during upcoming GSC Meeting in April 2018.</p> <p><b>Q4:</b> GRM Subcommittee meeting in April and decision on the updates of DGM Global GRM.</p>  |
| <b>Output 2.4:</b> Provide guidance, DGM policy advice, and shared experienced and knowledge management to ensure that the FIP pilot countries for the DGM are consistently following coherent operational guidelines.             |   |  |
| <b>Activity 2.4.1:</b> Facilitate and implement a process to review the DGM Operational Guidelines under the direction of the World Bank and GSC to and adapt/revise as required for the effective flow of tasks and deliverables. |   |  |
| Business meetings and translation services to <b>review DGM Operational Guidelines</b>   | <p><b>Q1:</b> No activities in this quarter</p> <p><b>Q2:</b> No activities in this quarter</p>   | <p><b>Q3:</b> No activities in this quarter</p> <p><b>Q4:</b> No activities in this quarter</p>  |
| <b>Activity 2.4.2:</b> Conduct outreach to GSC, NEAs, NSCs and the World Bank prior to the first annual meeting of the GSC to obtain feedback and concerns regarding the revision of the DGM Operating Guidelines.                 |   |  |

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| Year 3 (2017-2018) Workplan  | Progress: July-December 2017  | Progress: January-June 2018   |
|--|---|---|
| Coordination with GSC, NEAs, NSCs, and WB to revise <b>DGM Operational Guidelines</b>  | <b>Q1:</b> Completed prior to this quarter.<br><br><b>Q2:</b> GSC co-chairs and members of Budget and Sustainability subcommittees informed about GEA recommendations to be shared at the next GSC meetings related the Global GRM process and DMG Results Framework.                 | <b>Q3:</b> Completed prior to this quarter<br><br><b>Q4:</b> No activities in this quarter  |
| <b>Activity 2.4.3:</b> Submit Revised DGM Operational Guidelines to the World Bank and to the GSC.   |   |   |
| Submit <b>revised operational guidelines</b> to the World bank and the GSC   | <b>Q1:</b> No activities in this quarter<br><br><b>Q2:</b> No activities in this quarter  | <b>Q3:</b> No activities in this quarter<br><br><b>Q4:</b> No activities in this quarter  |
| <b>Activity 2.4.4:</b> Provide guidance to NEAs and NSCs on adapting DGM Operating Guidelines to country contexts. Web site will include options for sharing approaches/ opportunities/challenges on the FIP DGM Member section of the DGM website.                              |   |   |
|  | <b>Q1:</b> Facilitating exchange of operations manuals between DGM Peru and Burkina Faso and resulting discussion to coordinate practices; Guidance provided as needed; Development of full website and knowledge platform is on hold<br><br><b>Q2:</b> No activities in this quarter | <b>Q3:</b> Operational manual from Ghana and Indonesia information provided to Nepal DGM process.<br><br><b>Q4:</b> Created online library for sharing key documents, including operating guidelines, between DGM countries for enhanced collaboration and understanding. |
| <b>Output 2.5</b> Long-term strengthening of IPLC leadership: the GEA will work with the GSC to establish an IPLC DGM Fellowship in years two and three of the DGM to create an in-depth leadership training experience for a young IPLC leader as part of the Global Component. |   |   |
| <b>Activity 2.5.1:</b> Establish and manage leadership training fellowship.  |   |   |
|  | <b>Q1:</b> No activities in this quarter<br><br><b>Q2:</b> No activities in this quarter  | <b>Q3:</b> No activities in this quarter<br><br><b>Q4:</b> Fellows proposal included and approved by the GSC as part of Year 4 Workplan and Budget.   |
| <b>Output 2.6:</b> Provide guidance and support to NSCs and NEAs   |   |   |
| <b>Activity 2.6.1:</b> Support the DGM Country Processes to Establish NSCs and select NEAs, including site visits as needed-in collaboration with WB DGM global and country focal points.  |   |   |

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| Year 3 (2017-2018) Workplan  | Progress: July-December 2017   | Progress: January-June 2018   |
|--|--|---|
| Technical services and associate costs for <b>GEA travel to up to 3 DGM countries</b> in support of NEA and NSC processes as needed  | <p><b>Q1:</b> Support to process of Interim Steering Committees of Guatemala and Nepal. Sharing experience on NSC process with Ecuador during FIP Pilot Countries Meeting; Coordination with World Bank and CIF Administrative Unit on GSC request for honorariums;<br/>Preparation of DGM events at FIP Pilot countries meeting in Lao PDR.<br/>GSC Participation at FIP Pilot Countries Meeting.<br/>DGM Events at FIP Pilot Countries Meeting:<br/>-Experiences on land tenure in forest in forest programs<br/>-Knowledge café<br/>-Strengthening climate action through stakeholder involvement</p> <p><b>Q2:</b></p> | <p><b>Q3:</b> GEA Technical Director visit to Ecuador and Peru.<br/>- The objective of the visit to Peru was in preparation of the next Latin America Exchange and to meet the new leadership team in AIDESEP.<br/>- The objective of the visit to Ecuador was to present a lecture about direct access to climate finance for IPLCs at the FCPF Capacity Building Program workshop held in Quito.</p> <p><b>Q4:</b> Continue providing information to Guatemala and Nepal. Coordination with the Ecuadorian government to reach out to Indigenous Peoples of future DGM areas.</p> |
| <b>Activity 2.6.2:</b> Conduct planning and coordination meeting of the NEAs to define monitoring, reporting, communications & grievance frameworks and processes.   |  |   |
| Technical services and associated costs for outreach, coordination, development and facilitation of <b>DGM NEA and GCT Meeting (Washington, DC – TBD)</b> , including supporting international travel for <b>7 NEA participants</b> . Support of international travel for <b>2 GSC focal points to GCT</b> . | <p><b>Q1:</b> Reporting on June 2017 NEA Meeting and continued coordination with participants</p> <p><b>Q2:</b> Coordination of monitoring and reporting in preparation of next semiannual report.</p>   | <p><b>Q3:</b> Coordination of monitoring and reporting in preparation of July-December 2017 Semiannual Reports (Global Project &amp; Program). Initial preparation for upcoming NEA Meeting in June 2018, to be held in Peru in conjunction with the upcoming Latin America Regional Exchange.</p> <p><b>Q4:</b> Americas and Asia DGM Executing Agencies meeting organized in Lima, Peru. Topics covered included monitoring, reporting, indicators, communications, and project sustainability.</p>   |

### Sub-component 3: Planning, Monitoring, and Reporting

| Year 3 (2017-2018) Workplan   | Progress: July-December 2017  | Progress: January-June 2018  |
|---|---|--|
| <b>Output 3.1</b> A three-year strategy for the implementation of the Global Component of the DGM developed with inputs and feedback from the NSCs and relevant partners is approved and implemented  |   |  |
| <b>Activity 3.1.1:</b> Develop a 5 year Strategic Plan for the implementation of the global component of the DGM, with inputs from the NEAs and NSCs of the eight FIP pilot countries participating in the DGM and the inputs of the UNPFII and global partners/networks with related initiatives and interests. Review and revised in conjunction with the annual work plan and budgeting process. |   |  |
| Technical services and associated costs to adjust DGM global project workplan priorities and projections.<br>Also see activity 3.1.2  | <b>Q1:</b> Adjustment of workplan priorities and projections for FY18 Q1 & Q2<br><br><b>Q2:</b> No activities in this quarter                                 | <b>Q3:</b> DGM Global Project mid-term Review Report submitted to WB on December 20, 2017.<br>Projection of year 4-5 of the DGM Global Project, in coordination with the GSC Budget subcommittee and co-chairs. Recommendations are included in the Report submitted to WB in preparation of project Mid-Term Review.<br><br><b>Q4:</b> The GEA prepared and presented Year 4 workplan and budget to GSC and WB. The GSC approved the workplan and budget during the April 2018 GSC Meeting. |
| <b>Activity 3.1.2:</b> Develop and facilitate an outreach and feedback process on the completed, draft 5 year strategy with NSCs prior to submission to WB and GSC.   |   |  |
| Technical services and associated costs to prepare and submit an action plan to address the main findings from DGM Learning Evaluation.   | <b>Q1:</b> GEA support to ITAD's reference group; Supporting information and more details requested to CIF AU<br><br><b>Q2:</b> No activities in this quarter | <b>Q3:</b> Continued support to the CIF Learning Partnership led by Itad, particularly through their participation in the February 2018 Asia Regional Exchange in Indonesia.<br><br><b>Q4:</b> Coordinated remote presentation by Itad during April 2018 GSC Meeting with initial findings from the CIF Learning Partnership; Reviewed draft report from Learning Partnership in June 2018.  |
| <b>Activity 3.1.3:</b> Prepare and submit annual work plan and budget for the Global Component of the DGM for 'no objection' from the World bank and approval by the GSC.<br>(Costs included in 3.1.1)  |   |  |



Dedicated Grant Mechanism for Indigenous Peoples and Local Communities  
6<sup>th</sup> Semiannual Global Project Progress Report: January 1 - June 30, 2018

| Year 3 (2017-2018) Workplan   | Progress: July-December 2017   | Progress: January-June 2018  |
|---|--|--|
| Technical services and associated costs to <b>prepare and submit annual workplan and budget for the Global Component of the DGM</b> for 'no objection' from the World Bank and approval by the GSC.   | <p><b>Q1:</b> Review of annual workplan and budget with GSC co-chairs during FY18 kickoff meeting; Follow-up with World Bank on GSC request of honorariums</p> <p><b>Q2:</b> Initial planning for Year 4 workplan and budget, including discussion of priorities for additional financing, if available</p>  | <p><b>Q3:</b> Development of Year 4 DGM Global Budget and Workplan, in coordination with the GSC Budget subcommittee and co-chairs. Process includes feedback and no objection from WB and presentation to the full GSC at upcoming GSC Meeting in April 2018. Preparation for budget presentation during upcoming GSC Meeting in April 2018.</p> <p><b>Q4:</b> GSC Budget Subcommittee met prior to Annual GSC Meeting to review workplan/budget and prepare for discussion with full GSC; Year 4 workplan/budget approved by GSC during 2018 annual meeting in Arlington, Virginia.</p>  |
| <b>Output 3.2:</b> Permanent liaising process and common approach to a reporting and monitoring framework established with the NEAs and NSCs of the eight FIP pilot countries to comply with reporting requirements of the DGM  |  |  |
| <b>Activity 3.2.1:</b> Conduct and report on virtual quarterly update meetings with the NEAs of the eight FIP pilot countries to monitor progress, discuss issues and opportunities and share successes and challenges, and provide input to agenda for annual GSC meetings, or working groups that may be established. |  |  |
| Technical services and associated costs to coordinate inputs to <b>agenda for GSC meeting</b> .<br>See activities: 2.6.2, 1.5.1, 1.5.2  | <p><b>Q1:</b> Coordination with NEAs increased following establishment of GCT during last quarter's NEA Meeting. Greater integration of country project activities and results into DGM Global communications; Coordination with DGM country projects for preparation of Semiannual Program Report and Annual Report</p> <p><b>Q2:</b> DGM Global continued its increased coordination with NEAs, including those in DGM Ghana Mexico, and Mozambique, which are among the most recent DGM projects.</p> | <p><b>Q3:</b> Coordination with NEAs for country project inputs to the July-December 2017 Semiannual Program Report, including an increased emphasis on IPLC-led subprojects being implemented. Updating of the DGM Website to reflect latest information from DGM country projects, as compiled in the Semiannual Program Report.</p> <p><b>Q4:</b> Coordination with NEAs around the June 2018 Americas/Asia Executing Agency Meeting to streamline processes for monitoring, reporting, and communications and to introduce the new DGM results framework; Development and pilot testing of a shared library of key documents for all DGM projects – access for all NEAs to continue rolling out in the new year.</p> |
| <b>Activity 3.2.2:</b> Working with GSC and NSCs/NEAs, develop a common monitoring framework with common indicators for measuring success of global objectives of the DGM.  |  |  |

Dedicated Grant Mechanism for Indigenous Peoples and Local Communities  
6<sup>th</sup> Semiannual Global Project Progress Report: January 1 - June 30, 2018

| Year 3 (2017-2018) Workplan  | Progress: July-December 2017  | Progress: January-June 2018  |
|--|---|--|
| Technical services and associated costs for <b>GEA travel to 2 DGM countries</b> to support development of a common DGM M&R Framework & Toolkit  | <p><b>Q1:</b> Gathered indicator data from DGM country projects for DGM Semiannual Program Report; Continued to refine monitoring framework, instructions, and tools as needed</p> <p><b>Q2:</b> Updated monitoring framework in progress with approval from the GSC and in coordination with the NEAs; to be reviewed by GSC at April 2018 meeting</p>   | <p><b>Q3:</b> Preparation of revised monitoring framework, designed to incorporate data from DGM country projects more effectively and better showcase programmatic successes, for presentation to the GSC during the April 2018 meeting.<br/>Draft of the revised results framework shared with the World Bank for feedback during the project's mid-term review meetings.<br/>Preparation and submission of indicator targets to World Bank, upon World Bank's request, for monitoring the project's progress.</p> <p><b>Q4:</b> Presentation and approval of revised results framework during April 2018 GSC Meeting; Shared updated results framework with NEAs during June 2018 Americas/Asia Executing Agency Meeting in Peru.</p> |
| <b>Activity 3.2.3:</b> Establish a common reporting framework to track progress, ensure information flow, learning exchange.   |   |  |
| Technical services and associated costs for design and dissemination of <b>DGM NEA M&amp;R Framework &amp; Toolkit</b>   | <p><b>Q1:</b> Used common reporting framework for development of the DGM Semiannual Program Report and Annual Report; Framework continues to evolve as needed</p> <p><b>Q2:</b> GEA has begun preparing an updated monitoring framework and toolkit to strengthen the monitoring of the DGM's progress at the programmatic level, with an increased emphasis on tying indicator data into the larger narrative of the DGM's progress.</p> | <p><b>Q3:</b> Continued coordination with DGM country projects using the DGM reporting framework to ensure updated information on all country projects is included in Semiannual Program Report.</p> <p><b>Q4:</b> Updated results framework approved by GSC in April 2018 and shared with NEAs during June 2018 Americas/Asia Executing Agency Meeting; Ongoing coordination with country projects to ensure smooth implementation.</p>   |
| <b>Output 3.3</b> Compile and report the results of the DGM  |   |  |
| <b>Activity 3.3.1:</b> Submit semiannual progress reports on the activities of the DGM to the GSC, after receiving a 'no objection' from the World Bank, including GEA and NEA activities (due 75 days after the end of the reporting semester). |   |  |
| Technical services and associated costs for compilation, development and dissemination of <b>DGM Countries Progress Report due March 15 and September 15</b>   | <p><b>Q1:</b> Preparation and Submission of DGM Program Report in coordination with DGM country projects</p> <p><b>Q2:</b> Planning for upcoming semiannual program report, including coordination with country projects, is in progress.</p>   | <p><b>Q3:</b> Drafting, editing, coordination with country projects, and submission to the World Bank of the July-December 2017 DGM Semiannual Program Report.</p> <p><b>Q4:</b> Planning for the upcoming semiannual program report, including coordination with country projects, is in progress; Translation and publication of July-December 2017 report completed in June 2018.</p>   |
| <b>Activity 3.3.2:</b> Compile and submit semiannual reports to the World Bank on fiduciary and technical aspects of the global component program.   |   |  |

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6<sup>th</sup> Semiannual Global Project Progress Report: January 1 - June 30, 2018

| Year 3 (2017-2018) Workplan   | Progress: July-December 2017  | Progress: January-June 2018   |
|---|---|---|
| Coordination and support in meeting WB fiduciary and technical aspects of DGM Global Project<br>Technical services and associated costs for coordination, development, and dissemination of <b>DGM Global Project Report</b> due 60 days after the end of each semester | <b>Q1:</b> Preparation and submission of DGM Global Report<br><br><b>Q2:</b> Preparation for December 2017 Semiannual Global Report, including revision of reporting timeline   | <b>Q3:</b> Drafting, editing, translation, validation with the Global Steering Committee, and submission to the World Bank of the July-December 2017 DGM Semiannual Global Project Report.<br><br><b>Q4:</b> Initial preparation for June 2018 Semiannual Global Project Report, including integration of redesigned results framework and preparation of the first annual survey of DGM exchange participants.           |
| <b>Activity 3.3.3:</b> Compile and submit the annual report on the DGM to the GSC after receiving a 'no objection' from the World Bank, in a format and timeframe to be established with the World Bank.  |   |   |
| Technical services and associated costs for coordination, development, and dissemination of <b>DGM Annual Report</b> due 90 days after the end of the fiscal year.<br>Support international travel for 1 GSC member to launch DGM Annual Report in Washington DC.       | <b>Q1:</b> Preparation and Submission of DGM Annual Report in coordination with DGM country projects, GSC, World Bank, and CIF/FIP<br><br><b>Q2:</b> Finalization, translation, publication, and launch of DGM Annual Report, which is available on the DGM Global website                                  | <b>Q3:</b> Continued sharing of the DGM Annual Report with key stakeholders at Asia Regional Exchange and via email and the project website.<br><br><b>Q4:</b> Continued sharing of the DGM Annual Report with key stakeholders during the April 2018 GSC Meeting and June 2018 Americas Exchange and Executing Agency Meeting; Continued traffic to Annual Report page on project website (about 30 visitors per month). |
| <b>Activity 3.3.4:</b> Submit final report on the activities of the Global Component to the GSC after receiving a 'no objection' from the World Bank. (Due 45 days after completion of activities).   |   |   |
|   | <b>Q1:</b> No activities in this quarter<br><br><b>Q2:</b> No activities in this quarter  | <b>Q3:</b> No activities in this quarter.<br><br><b>Q4:</b> No activities in this quarter.  |
| <b>Activity 3.3.5:</b> Translate all Reports into Project Languages and Print as required. Reports will be posted on the DGM members section of the DGM website.  |   |   |
| Technical services and associated costs for <b>DGM Monitoring &amp; Reporting</b>   | <b>Q1:</b> All DGM reports have been or are being translated into French, Spanish, and Portuguese, and are/will be available through the DGM Global website.<br><br><b>Q2:</b> All DGM reports have been translated into French, Spanish, and Portuguese, and are available through the DGM Global website. | <b>Q3:</b> All DGM reports have been or are being translated into French, Spanish, and Portuguese, and are/will be available through the DGM Global website.<br><br><b>Q4:</b> All DGM reports have been or are being translated into French, Spanish, and Portuguese, and are/will be available through the DGM Global website.  |
| <b>Output 3.4 Annual Global Project Financial Audit</b>   |   |   |

Dedicated Grant Mechanism for Indigenous Peoples and Local Communities  
6<sup>th</sup> Semiannual Global Project Progress Report: January 1 - June 30, 2018

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| Year 3 (2017-2018) Workplan  | Progress: July-December 2017   | Progress: January-June 2018  |
|--|--|--|
| <b>Activity 3.4.1:</b> Annual Audit of Global Project Financial Performance.       |  |  |
| Technical services and associated costs for <b>DGM Global Project Annual Audit</b> | <b>Q1:</b> Coordination for project's annual audit<br><br><b>Q2:</b> DGM Annual Audit Report completed and presented to the World Bank | <b>Q3:</b> No activities in this quarter.<br><br><b>Q4:</b> No activities in this quarter. |

## 6. Global Steering Committee Feedback

As of June 30, 2018, the DGM Global Steering Committee included the following members:

**Brazil** – Srewe Xerente

**Burkina Faso** – Idrissa Zeba (co-chair)

**Congo, Democratic Republic of the** – Kapupu Diwa Mutimanwa

**Congo, Republic of the** – Guy Moussele-Diseke

**Cote d'Ivoire** – Delphine Ahoussi & Play Christophe Sare (alternating representation)

**Ghana** – Hayford Duodu

**Indonesia** – Mina Setra (co-chair)

**Mexico** – Manuel Aldrete

**Mozambique** – Daniel Maúla

**Peru** – Marilen Puquio Arturo & Lizardo Cauper Pezo (alternating representation)

**Non-FIP** – Grace Balawag

The DGM GEA submitted this report in English, Spanish, French, and Portuguese to the GSC for their review and feedback on August 24. No feedback was received by the submission deadline.