

# Dedicated Grant Mechanism for Indigenous Peoples and Local Communities

## Global Learning and Knowledge Exchange Project Seventh Semiannual Progress Report

*Covering July 1, 2018 to December 31, 2018*

Prepared by Conservation International, as the Global Executing Agency of the Global Learning and Knowledge Exchange Project of the Dedicated Grant Mechanism for Indigenous Peoples and Local Communities (DGM), for submission to the World Bank and the DGM Global Steering Committee.

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## Abbreviations

AMAN	Indigenous Peoples Alliance of the Archipelago (Indonesia)
CI	Conservation International
CIF	Climate Investment Funds
COICA	Coordinator of Indigenous Organizations of the Amazon River Basin
COP 24	24 <sup>th</sup> UNFCCC Conference of the Parties
DGM	Dedicated Grant Mechanism for Indigenous Peoples and Local Communities
DGM [Country]	DGM Country Project in [Country]
DGM Global	DGM Global Learning and Knowledge Exchange Project
DGPA	Dynamique des Groupes des Peuples Autochtones
EO4IM	Earth Observations for Indigenous-led Land Management
FAO	UN Food and Agriculture Organization
FILAC	Fund for the Development of Indigenous Peoples of Latin America and the Caribbean
FIP	Forest Investment Program
GCF	Green Climate Fund
GEA	Global Executing Agency (of DGM Global)
GRM	Grievance Redress Mechanism
GSC	Global Steering Committee (of DGM Global)
IIPFCC	International Indigenous Peoples' Forum on Climate Change
IPACC	Indigenous Peoples of Africa Coordinating Committee
IPLC	Indigenous Peoples and Local Communities
IUCN	International Union for the Conservation of Nature
LCIP Platform	Local Communities and Indigenous Peoples Platform (of the UNFCCC)
NDC	Nationally Determined Contribution (UNFCCC)
NEA	National Executing Agency (of the DGM Country Projects)
NSC	National Steering Committee (of the DGM Country Projects)
PCCB	Paris Committee on Capacity Building
REDD+	Reducing Emissions from Deforestation and Forest Degradation...
SBSTA	Subsidiary Body for Scientific and Technological Advice
UNFCCC	United Nations Framework Convention on Climate Change
UNPFII	United Nations Permanent Forum on Indigenous Issues
WB	World Bank



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## Executive Summary

The Global Learning and Knowledge Exchange Project of the Dedicated Grant Mechanism for Indigenous Peoples and Local Communities (DGM Global) began the fourth year of its five-year implementation period in July 2018.

To demonstrate the DGM's effectiveness in its first three years, the project has placed a greater emphasis on the monitoring and communication of project results. This began with the redesign of the project's results framework, which has streamlined the collection and reporting of data and prompted the development of an annual survey of DGM exchange participants. This survey provided a better understanding of what participants value about DGM exchanges and helped inform the design of exchanges going forward with more focus on discussion and networking with other participants and on site visits to ongoing IPLC subprojects in the host countries of regional exchanges.

A major success for the DGM in this reporting period has been the continued support for the engagement of indigenous peoples and local communities around the Local Communities and Indigenous Peoples (LCIP) Platform of the United Nations Framework Convention on Climate Change (UNFCCC). This was established by the DGM Global Steering Committee as a major priority for the project as it is an opportunity to have the knowledge and contributions of communities recognized by the UNFCCC. Since the LCIP Platform was conceived under the Paris Agreement, the DGM has continued to support its operationalization through participating in Informal Dialogues, facilitating panel discussions between IPLCs and Parties, and providing the latest information to community representatives during exchanges and through online communications. At COP 24, the structure of the Facilitative Working Group for the LCIP Platform was decided, featuring equal representation between Parties and IPLC representatives. This historic decision was announced to a full audience at a COP 24 side event hosted by DGM Global, Conservation International, and the Government of Costa Rica. During the event, both the World Bank and the Global Steering Committee also highlighted the DGM as a model for direct IPLC access to climate finance, encouraging other stakeholders to read the 2018 DGM Annual Report and follow its example.

Another focus for DGM Global has been the effective communication of project results and raising awareness of the DGM. The 2018 Annual Report has been an important tool for achieving this goal. Following approval by the Global Steering Committee and the World Bank, the report was announced during COP 24 and shared with several key stakeholders and partners, both in digital and hard copies. The report was particularly well-received on Facebook, where it was shared 66 times, reaching 6,648 people. The report is available in English, Spanish, French, and Portuguese at <https://www.dgmglobal.org/ar18>.

As DGM Global prepares for its final year of implementation, effective monitoring and communication will only increase in importance. Indigenous peoples and local communities are critical partners for natural climate solutions, and support for their inclusion and effective participation in sustainable forest management at local, national, and international levels must grow in the coming years. By measuring and sharing their successes, hopefully additional funding will become available both within the DGM and from other climate and forest programs.

## 1. Global Learning and Knowledge Exchange Project

The Dedicated Grant Mechanism for Indigenous Peoples and Local Communities (DGM) aims to enable the full and effective participation of Indigenous Peoples and local communities (IPLCs) in processes related to Reducing Emissions from Deforestation and Forest Degradation (REDD+) at local, regional, and global scales. Understanding that Indigenous and local communities manage significant forest areas in a way that both provides livelihoods and conserves forests, the DGM promotes community participation in REDD+ projects and processes as necessary for achieving enhanced climate and livelihood benefits.

Funded under the Climate Investment Funds' (CIF) Forest Investment Program (FIP), the DGM makes US\$80 million available for IPLC-led projects in 14 FIP pilot countries as well as an overarching Global Learning and Knowledge Exchange Project. Conservation International was selected through a competitive bidding process to serve as the Global Executing Agency (GEA) for the global project.

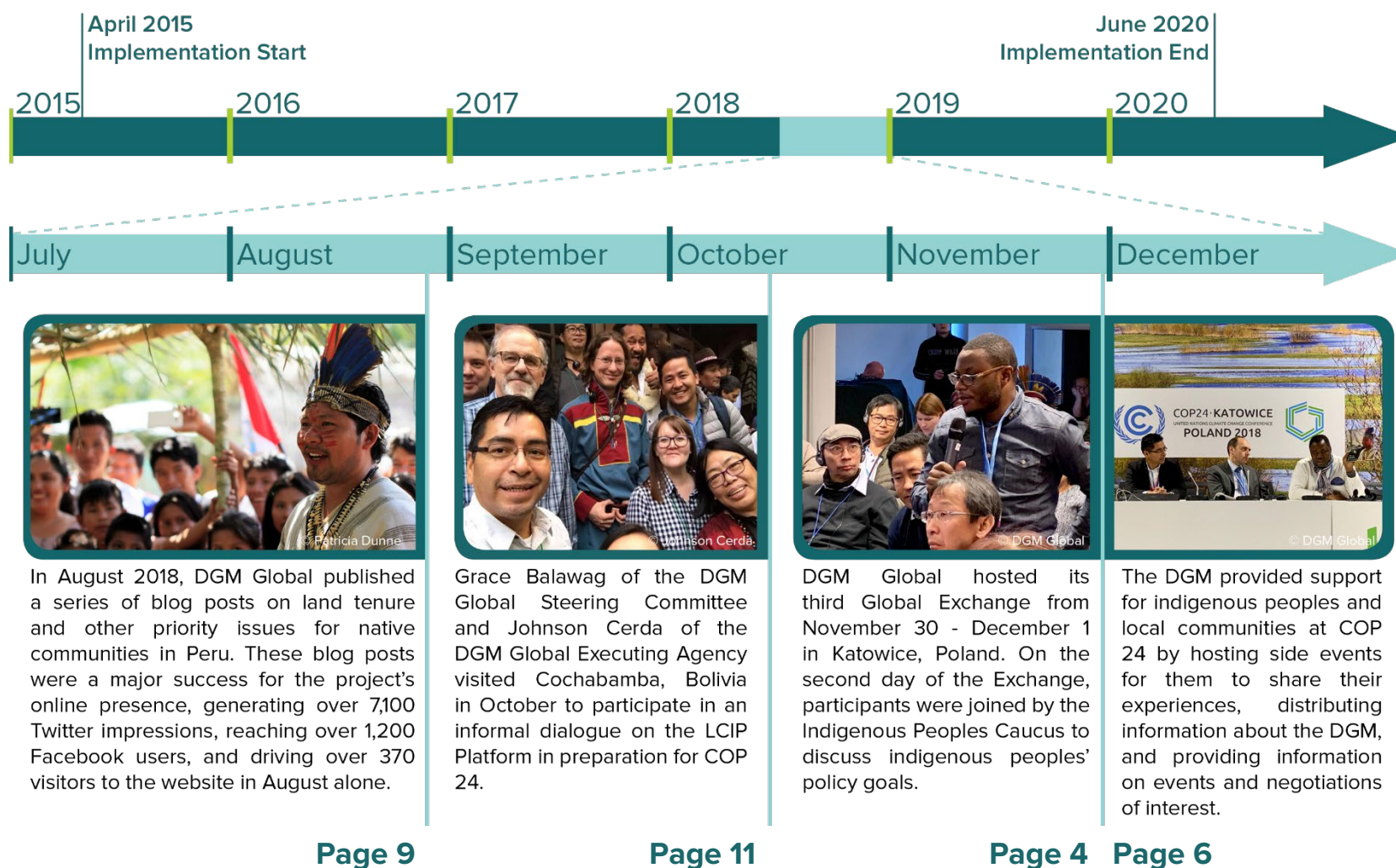
The objective of the global project is "to organize and facilitate knowledge exchange, learning, and capacity building on REDD+ and climate change issues at regional and global levels and to strengthen the networks and alliances of community organizations within and across regions with a view to enhancing their representation and voice in regional and global policy fora." The global project serves an umbrella function promoting knowledge exchange and learning across community groups in DGM countries and in non-FIP countries. The project is divided into three subcomponents:

*Subcomponent 1: Global Learning, Outreach and Information Sharing* – Global, regional, and sub-regional workshops held with IPLC representatives on policy and technical topics related to REDD+; dissemination of culturally appropriate and relevant information through online platforms and networking channels; and global outreach to relevant partners.

*Subcomponent 2: Technical Assistance and Secretariat Services to the DGM GSC* – Preparing and convening Annual GSC Meetings; supporting GSC members in global partnerships; creating leadership opportunities for Indigenous and local communities; and handling the DGM Grievance Redress Mechanism at the global level.

*Subcomponent 3: Planning, Monitoring and Reporting* – Carrying out planning for DGM Global as well as coordinated monitoring and reporting across the entire DGM, including the country projects and the global project, working in close partnership with National Steering Committees (NSCs) through the National Executing Agencies (NEAs) of each of the DGM country projects.

## 2. DGM Global Project Highlights: July – December 2018





## 3. Project Activities

### 3.1. Global Learning, Outreach, and Information Sharing

One of the main purposes of DGM Global is to convene key IPLC leaders at regional and global scales on a regular basis. By providing these opportunities for interaction at a larger scale, DGM Global encourages sharing of traditional knowledge, expansion and strengthening of networks, and tailored learning from experts in relevant fields. These interactions occur both through regional and global exchanges held by the DGM and through support for IPLCs and relevant organizations to participate in key fora for policy- and decision-making.

#### 3.1.1. 2018 Global Exchange – Katowice, Poland

DGM Global held its third Global Exchange from November 30 – December 1, 2018 in Katowice, Poland, immediately prior to the 24<sup>th</sup> Conference of the Parties (COP 24) of the United Nations Framework Convention on Climate Change (UNFCCC). The exchange benefited from the expertise of 18 IPLC participants (including 7 women) representing 14 countries and several national and regional organizations and networks, including Abya Yala Network, the Indigenous Peoples of Africa Coordinating Committee (IPACC), the Indigenous Peoples Alliance of the Archipelago (AMAN), and the Coordinator of Indigenous Organizations of the Amazon River Basin (COICA).

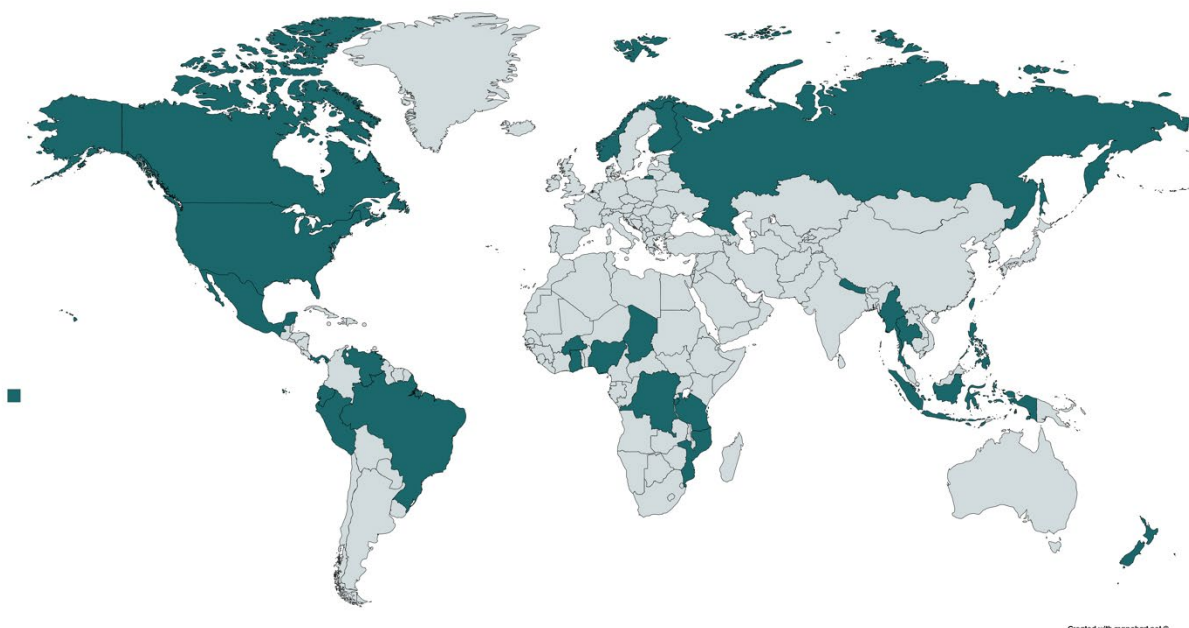


Participants in the 3<sup>rd</sup> Global Exchange held in Katowice, Poland prior to COP 24. Photo: DGM Global

The exchange covered many topics, including an overview of the CIF, FIP, and DGM, an introduction to the Paris Agreement, and the latest information on REDD+, but the focus of the meeting was on preparing for the issues anticipated to be the most relevant to indigenous peoples and local communities at COP 24: the creation of the Facilitative Working Group of the Local Communities and Indigenous Peoples Platform and the development of the Paris Agreement Work Programme or 'Paris Rulebook'.

Participants had several opportunities to share their own knowledge and expertise on these issues on the first day of the exchange, including a group that reported back from a conference that had just concluded in Weilburg, Germany focusing on social inclusion in REDD+ processes. On the second day, the participants were joined by members of the International Indigenous Peoples Forum on Climate Change (IIPFCC or IP Caucus) to continue preparations for UNFCCC

COP 24. Altogether, there were over 60 representatives of indigenous peoples and local communities from at least 26 countries.



Highlighted above are the 26 countries represented at the second day of the third DGM Global Exchange, including participants who joined as part of the International Indigenous Peoples Forum on Climate Change (IIPFCC) to prepare their positions on critical issues for IPLCs at COP 24.

As participants introduced themselves, they also highlighted some of their priorities for the coming week at COP 24. Beyond the Local Communities and Indigenous Peoples Platform, they emphasized the need for recognition of indigenous peoples' rights and human rights in the Paris Rulebook. Also, on the second day, several women from Brazil brought attention to concerns around the recent elections in their country and their new president's attitudes towards forests and indigenous peoples, and his decision not to host COP 25 next year.



The second day of the 2018 Global Exchange featured a panel discussion with members of the IP Caucus and UNFCCC Party representatives discussing IPLC priorities at COP 24.

Photo: DGM Global

### 3.1.2. UNFCCC COP 24

For the fourth year in a row, DGM Global has provided support for indigenous peoples' active engagement at the Conference of the Parties (COP) of the United Nations Framework Convention on Climate Change (UNFCCC). This support comes in several forms and is coordinated through ongoing communication via a WhatsApp group. Most significantly, the Global Executing Agency provided a constant stream of information, in all four DGM languages, on each day's agenda, focusing on topics of particular relevance to indigenous peoples and local communities. This information was shared by email, WhatsApp, Twitter, and Facebook to reach the largest possible audience of DGM contacts.

Several members of DGM steering committees and exchange participants took part in side events and negotiations throughout the week, contributing their knowledge and perspectives to ongoing discussions of the most important issues at COP 24. By sharing cards with QR codes linking to the 2018 DGM Annual Report and sharing their own experiences, they also promoted the DGM as a successful model for indigenous peoples' direct access to climate finance, which can support their countries' ambitions under the Paris Agreement. Two such side events were organized by DGM Global with key partners, and both events drew large audiences who expressed great interest in the work of the DGM.

The DGM had its first side event on Wednesday, December 5, in collaboration with the International Indigenous Peoples Forum on Climate Change (IIPFCC) and the International Union for the Conservation of Nature (IUCN), focusing on the importance of indigenous peoples' leadership for



Panelists for the DGM's December 5 side event at COP 24 shared their experience with the DGM as a model for supporting indigenous leadership for natural climate solutions. Photo: DGM Global

natural climate solutions. This three-hour event was held in the Capacity Building Hub of the Paris Committee on Capacity Building (PCCB). The panel was moderated by Kristen Walker Painemilla of Conservation International and IUCN and featured the following speakers:

- **Idrissa Zeba** – Executive Director of Naturama, a conservation NGO in Burkina Faso, Chair of the DGM Burkina Faso National Steering Committee and Co-chair of the DGM



Global Steering Committee

- **Mina Setra** – Dayak indigenous woman from Indonesia, member of the DGM Indonesia National Steering Committee and Co-chair of the DGM Global Steering Committee
- **Analia Tuxa** – Tuxa indigenous woman, cacique of her community, and member of the DGM Brazil National Steering Committee
- **Yunus Jefry Ukru** – Moluccan indigenous person from Indonesia and member of the DGM Indonesia National Steering Committee
- **Marilen Puquio Arturo** – member of the Confederation of Amazonian Nationalities of Peru and the DGM Peru National Steering Committee and Global Steering Committee
- **Richard Rubio** – member of the Interethnic Association for the Development of the Peruvian Rainforest and the DGM Peru National Steering Committee
- **Paul Kanyinke Sena** – Ogiek indigenous person from Kenya & Chair of the Indigenous Peoples of Africa Coordinating Committee (IPACC)
- **Edna Kaptoyo** – Pokot woman from Kenya and Executive Secretary of the International Alliance of Indigenous and Tribal Peoples of the Tropical Forests
- **Hindou Oumarou Ibrahim** – Mbororo indigenous woman from Chad, founder of the Indigenous Women's Association of Chad, and a Conservation International Indigenous Leaders Conservation Fellow
- **Lola Cabnal** - Q'eqchi' indigenous woman from Guatemala and Vice President of Ak'Tenamit
- **Johnson Cerda** – Kichwa indigenous person from Ecuador and Technical Director of the DGM Global Executing Agency
- **Andhika Praputra** of the DGM Indonesia National Executing Agency

Representatives of the PCCB highlighted it as one of their most engaging events and met with members of the DGM Global team to discuss future opportunities for collaboration.

On Friday, December 7, Conservation International and the government of Costa Rica held another side event on the LCIP Platform as a force for transformative change in raising countries' ambition in natural climate solutions. DGM Global helped to plan this event and the DGM was featured as a model for engagement of indigenous peoples and local communities in climate action and sustainable forest management. The timing of this event helped to draw a large crowd. The event was held immediately after a decision on the LCIP Platform was taken by the UNFCCC Subsidiary Body on Scientific and Technological Advice (SBSTA), and the event served as an informal press conference for this achievement. Most of the negotiators involved in the decision were present, and Koko Warner and Chad Tudenggongbu of the UNFCCC Secretariat congratulated negotiators and Indigenous Peoples' representatives alike on this historic milestone.

The last section of the Friday side event focused on the DGM. Idrissa Zeba, Co-chair of the DGM Global Steering Committee, highlighted the outstanding progress of the DGM so far and encouraged everyone to read the DGM 2018 Annual Report and support direct access to climate

finance for indigenous peoples and local communities. The panel was also joined by Benoit Bosquet, the Director for Environment and Natural Resources Global Practice at the World Bank, who also congratulated the DGM on its achievements and highlighted the DGM as a positive turning point for relations between Indigenous Peoples and Local Communities (IPLCs) and the World Bank.

### **3.1.3. EO4IM Webinar Support**

DGM Global supported the preparation and dissemination of materials about an upcoming webinar offered by the Earth Observations for Indigenous-led Land Management (EO4IM) project in February 2019.<sup>1</sup> The webinar includes lectures, case studies, and demonstrations for representatives of Indigenous Peoples' organizations to learn how Earth Observations data and tools can provide spatial information for forest monitoring, mapping, and responding to ecosystem threats. This information was shared with participants in the 2018 DGM Global Exchange and contacts at COP 24, and a link to register for the webinar will be sent to all DGM exchange participants in early January.

### **3.1.4. Communications and Outreach**

DGM Communications in this reporting period have been guided by the project's Year Four Communications Plan, which was adopted by the Global Steering Committee at their annual meeting in April 2018. The plan placed a greater emphasis on video content, accessibility in all DGM languages, and coordination with World Bank communications efforts.

DGM Global has been working to improve the quantity and quality of video content shared by the project. One of the main efforts has been the creation of an animated video giving an overview of the DGM, which will be featured prominently on the DGM Global website and shared with current and potential DGM partners as an introduction to the DGM program. The script and storyboard of the video have been completed, and the video is expected to be available in the next quarter.

DGM Global has also shared several stakeholder interviews and other videos on social media. Of particular interest were two videos created by Johnson Cerda highlighting the key issues for indigenous peoples and local communities at COP 24 in both English<sup>2</sup> and Spanish<sup>3</sup>, as well as an interview with Benoit Bosquet, World Bank Director for Environment and Natural Resources Global Practice<sup>4</sup>.

Another focus for DGM communications has been increasing the accessibility of its website in French, Spanish, and Portuguese. Other than multiple languages of key documents and a

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<sup>1</sup> [https://arset.gsfc.nasa.gov/land/webinars/GEO-EO4IM?utm\\_source=social&utm\\_medium=ext&utm\\_campaign=eo4im](https://arset.gsfc.nasa.gov/land/webinars/GEO-EO4IM?utm_source=social&utm_medium=ext&utm_campaign=eo4im)

<sup>2</sup> <https://www.youtube.com/watch?v=zb7xNKVs4eY&t=23s>

<sup>3</sup> <https://www.youtube.com/watch?v=3C3QtfUptFg&t=7s>

<sup>4</sup> <https://www.youtube.com/watch?v=Y072MbkrL8>

Google Translate widget on the blog page, the website's content has only been available in English since it was launched in 2015. A redesign of the project website is currently underway, and all pages of the website will be available in all four of the DGM's languages. The redesigned website is expected to go live in the coming quarter.

In August, DGM Global published a series of three blog posts<sup>5 6 7</sup> related to issues of indigenous land tenure and titling in Peru, which was a major focus of the June 2018 Americas Exchange held in the country's Junín Region. These articles resonated with the DGM's audiences, with a record high of 644 unique visitors to the project's website in August, compared to an average of 404 per month for the full year of 2018.

In September 2018, DGM Global worked with World Bank personnel to publish and share news about the launch of DGM Mozambique or 'MozDGM' in the DGM blog and on social media.<sup>8</sup> The post was provided by World Bank personnel in both English and Portuguese and was hosted on the websites of both the Climate Investment Funds and DGM Global.

One of the major year-end goals of DGM communications was the publication and dissemination of the 2018 DGM Annual Report. After submitting the report to the World Bank on September 28 and receiving approval in mid-October, the report was translated into French, Spanish, and Portuguese. The English version was shared on the project website in late November, with the translated versions added in early December. Copies of the English Annual Report were printed and taken to Poland to be shared with key stakeholders at the DGM Global Exchange and COP 24, and QR cards linking to the project's website were printed and shared with partners for distribution of the various language versions. Between the final week of November and the end of the year, the Annual Report page of the website received 145 visitors, and was shared 66 times on Facebook, reaching over 6,648 people and 'liked' or 'loved' by 369 people.

The DGM continued to strengthen its digital presence in this reporting period. The website averaged 437 unique visitors per month between July and December, which is a 18% increase over the average of 371 unique visitors per month between January and June



The DGM Global website has continued to expand its reach, with over 644 unique visitors in August 2018.

<sup>5</sup> <https://www.dgmglobal.org/blog/2018/8/land-titling-food-sovereignty-and-climate-change-in-dgm-projects>

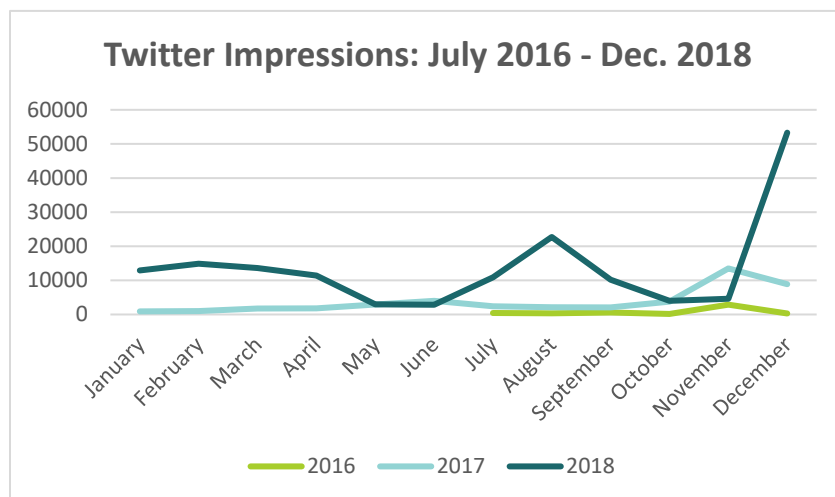
<sup>6</sup> <https://www.dgmglobal.org/blog/2018/8/beyond-land-titling-supporting-sustainable-land-management-by-indigenous-peoples>

<sup>7</sup> <https://www.dgmglobal.org/blog/2018/8/recognizing-indigenous-peoples-land-rights-is-critical-to-natural-climate-solutions>

<sup>8</sup> <https://www.dgmglobal.org/blog/mozdgm-launch>

2018.

This growth was also reflected in the DGM's Twitter impressions for this reporting period, with an average of 17,616.5 impressions per month, which is an 80% increase over the previous reporting period and a 223% increase over the same period in 2017. DGM Global's Twitter performance was particularly strong in August (22,700 impressions) with the publication of its blog series on land tenure in Peru and in December (53,300 impressions) with its coverage of COP 24, which was shared by several followers and mentioned by other Twitter users as an account to follow for COP 24 news.



The DGM Global Twitter account has continued its growth, averaging 17,616.5 impressions per month between July and December, with peaks in August (22,700) and December (53,300). This brings increasing attention to the DGM enabling the project to showcase the successes of indigenous peoples and local communities with direct access to climate finance.

## **3.2. Technical Assistance and Secretariat Services**

The second component of DGM Global supports the effective leadership of the project's Global Steering Committee, which includes IPLC representatives from the National Steering Committees of each established DGM country project, as well as a member from a non-DGM country to represent the broader interests of IPLCs around the world.

### **3.2.1. Informal Dialogue on the Local Communities and Indigenous Peoples Platform**

From October 11-13, Grace Balawag of the DGM Global Steering Committee and Johnson Cerda of the DGM Global Executing Agency participated in an informal dialogue between representatives of Indigenous Peoples and governments on the UNFCCC Local Communities and Indigenous Peoples Platform held in Cochabamba, Bolivia. This was the fourth informal dialogue that has been held to facilitate the consensus needed for implementation. The governments represented at this dialogue were Bolivia, Canada, the European Union, Indonesia, China, Mexico, and Colombia, as well as members of the UNFCCC Secretariat and the Subsidiary Body on Scientific and Technological Advice (SBSTA).

Together, the participants in this dialogue arrived at a starting point for COP 24 negotiations, particularly regarding the proposed structure of the Facilitative Working Group of the LCIP Platform, which will have equal representation between UNFCCC Parties and indigenous peoples' organizations. The participation of DGM representatives helped inform discussions at the 2018 Global Exchange and the support provided to partners from indigenous peoples and local communities at COP 24.

### **3.2.2. FILAC Climate Finance Training for Indigenous Professionals**

Johnson Cerda of the DGM Global Executing Agency was invited to give a presentation in El Salvador as part of a climate finance training held by the Fund for the Development of Indigenous Peoples of Latin America and the Caribbean (FILAC) between October 28 and November 2 at the request of the Food and Agriculture Organization (FAO) of the United Nations. The event had about 35 participants, with about half representing indigenous organizations and half from FAO country offices. One of the goals of this training was to create an alliance between these groups to submit proposals for funding of indigenous initiatives by the Green Climate Fund (GCF). Johnson shared the experience of the DGM as a model for providing funding to communities, including the governance structure of the program with community-led steering committees.

### **3.2.3. Support for the DGM Learning Review**

Throughout 2018, a learning review of the DGM was conducted by a firm called ITAD at the request of the Climate Investment Funds to capture the DGM's experience in encouraging greater involvement of IPLCs in financial and policy processes related to forests. Multiple



members of the DGM Global Steering Committee and the Global Executing Agency were interviewed in the process of developing this report, and the DGM Global team provided extensive information on the DGM Global project to supplement the content included in an early draft of the report. A final draft of the report was circulated in September 2018 and published in January 2019.<sup>9</sup> Captured below are some of the highlights and recommendations from the report:

**“Key Messages and Next Steps:**

- The DGM is proving that IPLC organizations can work as direct counterparts with multilateral development organizations, proposing and implementing their own projects. For some, technical counterparts are still required, but their capacity for transparent fund management has strengthened during the life cycle of the DGM, as has IPLCs’ strong sense of ownership of a mechanism that puts them in control.
- A large part of the DGM’s formative success is owed to people who recognize the importance of making a commitment to IPLCs and building trust gradually thereafter. The design of and funding committed to the DGM has made it an appealing prospect for these people, and this combination has so far encouraged those involved to work through the procedural requirements and maintain commitment during delays.
- There is a range of design considerations for making the most of a mechanism that intends to support IPLCs to engage with financial and policy processes across a wide range of national contexts. Some of these are:
  - Finding a size and composition of NSC that is manageable, representative and inclusive;
  - Determining how the NEA can contribute and remain interested in the DGM while being subsidiary to the NSC; and
  - Choosing whether to use an open or restricted procurement model for selecting local-level sub-projects and deciding where the balance lies between potentially achieving greater impact with already technically viable projects or improving the NSC’s ability to operate a grant mechanism and focusing on areas of greater need.
- The DGM has reached a crucial juncture where new funding is needed. Country DGMs have demonstrated a keen interest in sourcing their own funding but any breaks in funding also represent a challenge for maintaining the motivation and trust that are

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<sup>9</sup> The report was published on January 26, 2019, and the findings included here are taken from the final published version of the report.

critical for the DGM to continue to operate successfully.

- The DGM holds a wealth of learning on IPLC-led initiatives that should not be lost. The full text of the Learning Review distils some of the most valuable lessons learned, which can inform conversation both within and outside of the DGM. Opportunities for furthering this lesson-learning include future performance evaluation(s) of the global, country and sub-projects, and the forthcoming ethnographic research surveying more extensively IPLC impressions of the DGM.”<sup>10</sup>

### 3.2.4. World Bank Procurement Mission

World Bank Missions to assess the implementation status of the DGM Global Project were scheduled for December 2018 and January 2019. In December, the World Bank conducted a procurement mission to review the implementation of updated procurement policies for the project. Additionally, an external audit of the project’s third year was completed and submitted to the World Bank with no findings. The January 2019 mission will cover financial management and programmatic progress.

### 3.2.5. Grievance Redress

As approved by the Global Steering Committee in their April 2018 Annual Meeting in Arlington, DGM Global has been working on a revised system for managing grievances and complaints. In the current system, grievances are submitted directly to a member of the project’s Global Executing Agency (GEA). In the new system, grievances will first be submitted to an independent third-party system called EthicsPoint to determine the appropriate point of contact to address the grievance. As of December 2018, this system is set up and ready for launch in early 2019.<sup>11</sup> This change is happening at no cost to the project as a result of an existing partnership with EthicsPoint through Conservation International as the DGM Global Executing Agency.

No grievances were received at or elevated to the global project in this reporting period, and there are no open grievances from previous periods. Information on past grievances and instructions for submitting grievances are available at [www.dgmglobal.org/grievance-mechanism](http://www.dgmglobal.org/grievance-mechanism).

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<sup>10</sup> A Learning Review of the Dedicated Grant Mechanism (DGM) for Indigenous Peoples and Local Communities in the Forest Investment Program (FIP) of the Climate Investment Funds (CIF): Final Report, p. 73, [https://www.climateinvestmentfunds.org/sites/cif\\_enc/files/knowledge-documents/dgm\\_learning\\_review\\_jan26\\_final\\_withmanagementstatement.pdf](https://www.climateinvestmentfunds.org/sites/cif_enc/files/knowledge-documents/dgm_learning_review_jan26_final_withmanagementstatement.pdf)

<sup>11</sup> The grievance redress mechanism was updated on January 8, 2019, after the end of this reporting period. It is still available at <https://www.dgmglobal.org/grievance-mechanism>.

### **3.3. Planning, Monitoring, and Reporting**

DGM Global's third component focuses on strategic planning for the global project, monitoring the DGM's performance, and preparing reports to share the DGM's progress, achievements, and lessons learned with stakeholders.

#### **3.3.1. Planning**

Since several members of the project's Global Steering Committee were present at COP 24 in December, a meeting was held to update them on progress since the last GSC meeting in April, to share information from the project's Midterm Review, to prepare for upcoming activities, such as the CIF's 10-year anniversary event planned for January 2019 in Ouarzazate, Morocco, and to begin discussions on the workplan and budget for the project's fifth year. This was a very helpful meeting and will pave the way for continued conversations leading up to the next GSC Meeting in Brussels in May 2019.

#### **3.3.2. Monitoring**

Beyond the data collection and reporting required for the preparation of the DGM's Semiannual Reports, this period included the first iteration of an Annual Survey of all DGM Global exchange participants. This survey was designed to provide additional insight on the effectiveness of DGM Global's exchanges as part of the redesign of the DGM results framework in the previous reporting period. It was approved by the Global Steering Committee in their April 2018 meeting and developed with input from the World Bank between April and July 2018.

On August 2, 2018, the survey was sent to all 146 participants in the first two year's of DGM Global's exchanges in their respective languages (English, French, Spanish, and Portuguese). Of the recipients, 57 (39%) began the survey, completing at least some of the questions, and 41 (28%) submitted a completed survey. The survey was closed to additional respondents two weeks later on August 16, and the results were included in the Semiannual Report for January – June 2018. Each exchange was represented by 4-17 respondents, and at least 20 countries were represented across the DGM's three regions with 22 respondents from the Americas, 21 from Africa, and 9 from Asia, with 5 declining to provide a region.

Overall the results from the survey were very positive and contained a substantial amount of useful information for understanding the value of the DGM's past exchanges and improving the quality of future exchanges. In particular, the survey results reinforced the value placed by participants on opportunities for knowledge sharing with their fellow participants and site visits to sustainable forest management initiatives being implemented in the exchange host countries. The top-level results are repeated in Section 3 of this report as the most recent available data for several indicators.

### **3.3.3. Reporting**

DGM Global continues to meet its reporting requirements, producing semiannual reports on the Global Project and the larger DGM Program. The Global Project Report was translated and validated with the Global Steering Committee before being submitted to the World Bank.

DGM Global led substantial coordination efforts with the DGM country projects to produce the DGM Semiannual Program Report for January – June 2018. As more country projects accelerate their implementation, their reports are becoming much more comprehensive and informative as to their activities. This level of detail greatly benefits the DGM's efforts at programmatic monitoring and reporting, but it also significantly increases the amount of time required to produce these semiannual reports. This trend will likely continue for at least the next couple years as country projects continue to develop.

### 3.4. Upcoming Activities

Anticipated Timing	Activity
January 8 & 18	World Bank Financial Management & Programmatic Missions
January 28-29	CIF Power of 10 Event, Ouarzazate, Morocco
January 30-February 2	CIF Trust Fund Committee and Sub-Committee meetings
February 19-23	2019 Africa Regional Exchange – Sofala Region, Mozambique
February 25-26	Africa Executing Agency Meeting – Beira, Mozambique
April 22 – May 3	UN Permanent Forum on Indigenous Issues – New York
May 13-17	Annual Global Steering Committee Meeting – Brussels
June 17-27	50 <sup>th</sup> Session of the UNFCCC Subsidiary Bodies – Bonn
October	2019 Americas Regional Exchange - Mexico

## 4. Progress and Results

"The DGM Program Development Objective is to **strengthen the capacity** of Indigenous Peoples and Local Communities (IPLCs) **to participate in the Forest Investment Program and other REDD+ programs** at local, national, and global levels." – *DGM Program Document*, p. 7

"The objective of [The Global Learning and Knowledge Exchange Project] is to organize and facilitate knowledge exchange, learning and capacity building on REDD+ and climate change issues at regional and global levels and to strengthen the networks and alliance of IPLC organizations within and across regions with a view to enhancing their representation and voice in regional and global policy fora. In addition, the Global Project will provide technical assistance and secretariat services to the Global Steering Committee of the DGM and monitoring and reporting." – *DGM Program Document*, p. 11

"This DGM program objective will be achieved by **(1) establishing and strengthening representative IPLC-led governing bodies** for DGM decision-making in FIP pilot countries and at the global level; **(ii) providing grants to IPLCs** in FIP pilot countries for initiatives consistent with DGM and FIP criteria;<sup>12</sup> **(iii) providing training and capacity development** in leadership, management, and technical skills to IPLCs at country and global levels; **(iv) facilitating knowledge exchange and learning** on REDD+ and climate change issues between and among IPLCs at regional and global levels; **(v) strengthening networks and alliances** of IPLC organizations within and across regions; and **(vi) enabling scale up of successful local solutions** for forest and landscape management." – *DGM Program Document*, p. 7

In accordance with the updated results framework approved by the Global Steering Committee in April 2018, the following pages provide the latest data demonstrating how the DGM Global Project is progressing toward its objectives using these six strategies. Please note that this report only contains data on the DGM Global project. For country project and programmatic data, please see the Semiannual DGM Program Report for this reporting period.

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<sup>12</sup> The element of "providing grants to IPLCs" is exclusive to the DGM country projects and is not emphasized in this report. For more on this element, please read the Semiannual Program Report for this period.

## 4.1. Progress Against Proposed Targets

At the request of the World Bank during DGM Global's Midterm Review, DGM Global has proposed targets for a subset of its indicators, including both the three indicators required by the World Bank and three custom indicators selected by the GEA. These indicators are included in their sections below, but they are also copied here for quick reference.

### Indicators Required by the World Bank:

Indicator	Year 1 (2016-06)	Year 2 (2017-06)	Year 3 (2018-06)	Current (2018-12)	Proposed End Target
Number of knowledge products produced by the DGM grant recipients and/or beneficiaries in pilot countries presented at the regional and/or global knowledge exchange opportunities	0	35	68	72	<b>80</b>
% of participants in the learning and knowledge exchange activities belonging to REDD+ programs and countries other than FIP pilot countries	N/A	38.1%	30.8%	28.7%	<b>25%*</b>
Number of activities/alliances emerged through the global platform with the leadership role of IPLCs	0	11	20	27	<b>25</b>
* This indicator target should not be treated as a traditional indicator target for which the objective is to meet or exceed the target value. Ideally, there should be a range (e.g., 25% - 35%) within which this value should be contained, since exceeding that range does not provide any added benefit to the project. A target on the lower end of this range has been selected to accommodate the required reporting system.					

### Selected Custom Indicators:

Indicator	Year 1 (2016-06)	Year 2 (2017-06)	Year 3 (2018-06)	Current (2018-12)	Proposed End Target
Number of person-hours of capacity building and/or knowledge exchange with participants in regional and global exchanges hosted by DGM Global, disaggregated by sex & IPLC status (cumulative)	95.52	3,390.52	6,835.50	7,123.5	<b>6,000</b>
Number of IPLCs who, after participating in a DGM exchange, have attended UNFCCC negotiations, disaggregated by sex and nationality (cumulative)	0	26	38	47	<b>50</b>
Average monthly unique visitors to the DGM Global website (past six months)	171.17	247.67	371.5	436.83	<b>500</b>

## 4.2. Strengthening of IPLC-led Governing Bodies

One way the DGM supports IPLCs is by “establishing and strengthening representative IPLC-led governing bodies for DGM decision-making in FIP pilot countries and at the global level.” For the global project, governance and accountability are led by the GSC. The GSC members take responsibility for project governance, both through their participation in the Annual GSC Meeting, when they review and approve the project’s budget and workplan and address key operational issues, and through their continued engagement throughout the year. Beyond governance, the GSC also takes responsibility for the project’s accountability to its stakeholders, both through the management of the project’s grievance redress mechanism and their review of project reports.

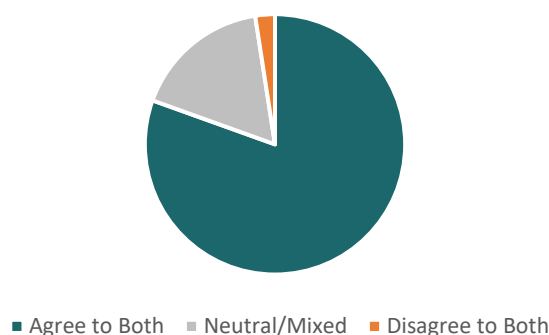
### Outcome:

#### Exchange participants view the DGM as transparent and inclusive.

Indicator: Percentage of exchange participants who view the DGM as transparent and inclusive

	2018 Annual Survey Results <sup>13</sup>						
	Respondents	Transparent		Inclusive		Both	
		Agree	%	Agree	%	Agree	%
<b>Female</b>	11	6	55%	7	64%	6	55%
<b>Total</b>	41	33	80%	33	80%	33	80%

Roughly 80% of exchange participants who responded to a stakeholder survey indicated that they view the governance and decision-making processes of the DGM as both transparent and inclusive. Two respondents (4.8%) disagreed that the DGM was transparent, and only one (2.4%) disagreed that the DGM was inclusive. The remaining respondents for each question neither agreed nor disagreed with the statement.



<sup>13</sup> All survey data was included in the previous DGM Semiannual Report. It is included again here as a relevant metric of the DGM’s progress for which no new data has since been collected.



**Outputs:**

**IPLCs from targeted communities take responsibility for project governance and accountability.**

Indicator: Number of IPLC representatives leading project governance and accountability through their participation in the Global Steering Committee

	June 2018	Dec. 2018
Female	3	4
Total	11	13

**DGM Global is responsive to stakeholder concerns at the global scale.**

Indicator: Percentage of grievances related to delivery of the DGM that are received by or elevated to the Global Steering Committee and addressed

	Cumulative (June 2018)	Cumulative (Dec. 2018)
Grievances Received	1	1
Grievances Addressed	1	1
Percentage Addressed	100.00%	100.00%

### 4.3. Training and Capacity Development

For IPLCs to engage in policy- and decision-making around forestry and climate change, it's important that they have the skills necessary to be effective partners. This includes, but is not limited to, leadership, management, and technical skills. The IPLC leaders in the GSC identify the skills that are most needed to enhance IPLC engagement at regional and global scales, and DGM Global works to provide this training through exchanges and participation in key international events.

#### Outcome:

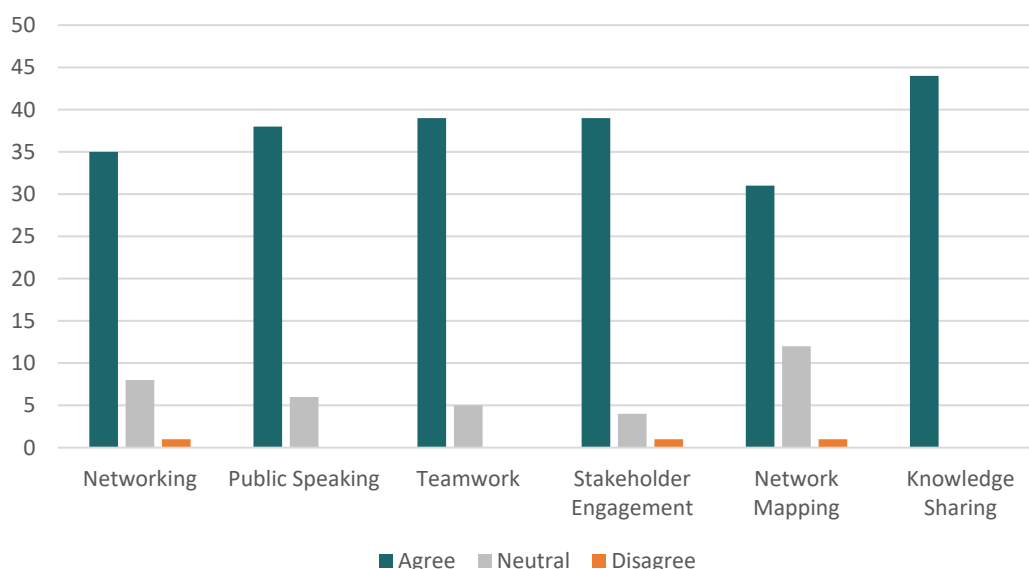
#### Exchange participants strengthen the skills they need to engage effectively in climate-related policy- and decision-making

Indicator: Percentage of exchange participants whose skills have been strengthened in a way that will make them more effective in climate-related policy- and decision-making.

	2018 Annual Survey Results		
	Respondents	Skills Strengthened	
<b>Female</b>	11	11	100%
<b>Total</b>	44	44	100%

All respondents indicated that their skills had been strengthened, through their participation in a DGM exchange, in at least one of the following categories:

- Networking (82%)
- Public Speaking (89%)
- Teamwork (91%)
- Stakeholder Engagement (89%)
- Participatory Network/Stakeholder Mapping (73%)
- Knowledge Sharing (100%)



## Outputs:

### Targeted beneficiaries from DGM and non-DGM countries participate in regional and global exchanges hosted by DGM Global

Indicator: Percentage of participants in the learning and knowledge exchange activities belonging to REDD+ programs and countries other than FIP pilot countries (**Core World Bank Indicator**)

	Cumulative (Jun. 2018)		Jun-Dec 2018		Cumulative (Dec. 2018)	
	Female	Total	Female	Total	Female	Total
<b>Exchange Participants</b>	49	155	7	18	49	164
<b>Non-FIP Country Representatives</b>	16	46	2	4	16	47
<b>Percentage</b>	<b>33%</b>	<b>30%</b>	<b>29%</b>	<b>22%</b>	<b>33%</b>	<b>29%</b>

Please note that the July-December 2018 column represents all IPLC participants in the November/December 2018 Global Exchange, which was the only exchange in this reporting period. The cumulative columns account for all participants over the life of the project up to the end of the that reporting period. Each participant is only counted once in the cumulative columns, regardless of the number of exchanges attended.

## 4.4. Knowledge Exchange and Learning

In order to participate effectively in regional and global climate action and sustainable forestry, IPLC leaders must have a strong understanding of climate change, ongoing mitigation and adaptation efforts, and various negotiations and agreements that are underway. They also need to share their own knowledge with one another so that each of them can represent the broader needs of IPLCs more effectively. DGM Global provides these opportunities on a regular basis through its regional and global exchanges. The GSC members identify learning priorities each year to ensure that the content of the exchanges stays topical and up-to-date. Over time, the exchanges have shifted to focus more on the IPLC participants sharing knowledge with one another, and these opportunities are reflected in the below data along with more traditional learning methods.

### Outcome:

**Exchange participants have increased their relevant knowledge, enabling them to engage more effectively in climate-related policy- and decision-making.**

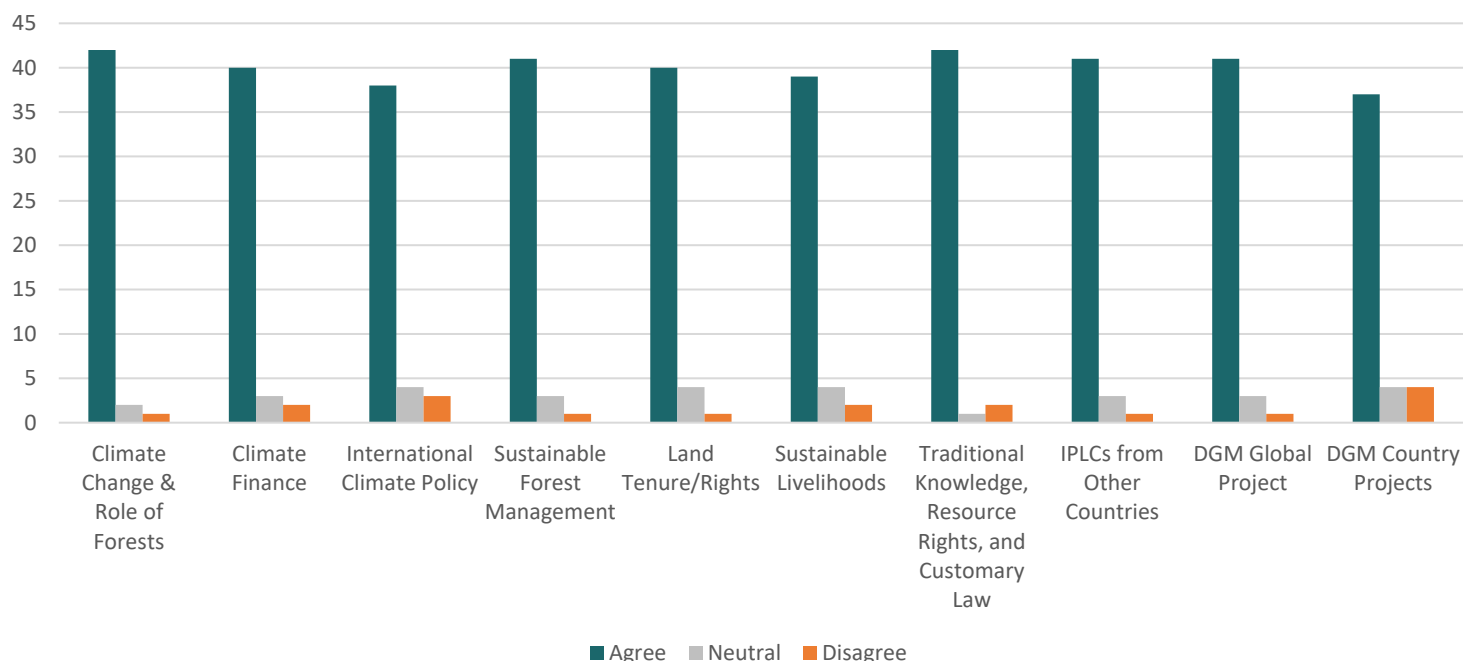
Indicator: Percentage of exchange participants whose knowledge of relevant topics has increased, enabling them to engage more effectively in climate-related policy- and decision-making

	2018 Annual Survey Results		
	Respondents	Knowledge Gained	
<b>Female</b>	11	11	100%
<b>Total</b>	45	44	98%

Out of 45 respondents, 44 (98%) indicated that they had gained relevant knowledge, through their participation in a DGM exchange, in at least one of the following areas:

- Climate Change and the Role of Forests (93%)
- Climate Finance (89%)
- International Climate Policy (84%)
- Sustainable Forest Management (91%)
- Land Tenure/Rights (89%)
- Sustainable Livelihoods (87%)
- Traditional Knowledge, Resource Rights, and Customary Law (93%)
- IPLCs from Other Countries (91%)
- DGM Global Project (91%)
- DGM Country Projects (82%)

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### Outputs:

#### Targeted beneficiaries from DGM and non-DGM countries participate in regional and global exchanges hosted by DGM Global

Indicator: Number of person-hours of knowledge exchange and learning received by participants in regional and global exchanges hosted by DGM Global, disaggregated by sex

	Cumulative (Jun. 2018)		Jul-Dec 2018		Cumulative (Dec. 2018)	
	Female	Total	Female	Total	Female	Total
Person-hours	2076.25	6835.5	112	288	2188.25	7123.5

## 4.5. Strengthening of Networks and Alliances

At regional and international scales, it can be very difficult for individuals to have a major impact in sustainable forestry and climate change without the necessary allies and resources. For IPLCs, these are most readily available through engagement with organizations, networks, and alliances. During its regional and global exchanges, DGM Global provides opportunities for networking and learning about the ongoing efforts of IPLC networks and organizations by inviting key representatives of these organizations working in national, regional, and global contexts. By forming these connections, exchange participants have greater opportunities to participate in major events, share relevant knowledge, and collaborate with partners around the world to advance their common agenda.

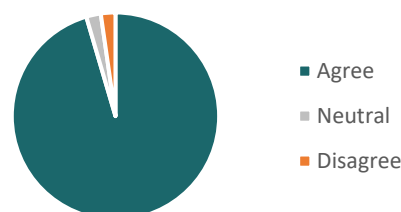
### Outcome:

#### Exchange participants engage more effectively with relevant IPLC organizations, networks, and alliances

Indicator: Percentage of exchange participants reporting increased/strengthened engagement with relevant IPLC organizations, networks, and/or alliances

	2018 Annual Survey Results		
	Respondents	Increased Engagement with Relevant Organizations and Networks	
<b>Female</b>	11	11	100%
<b>Total</b>	44	42	95%

Out of 44 respondents, 42 (95%) reported increased or strengthened engagement with IPLC organizations, networks, and alliances related to climate and forests as a result of their participation in a DGM exchange.



### Outputs:

#### IPLCs assume leadership roles in activities and alliances in pursuit of relevant objectives

Indicator: Number of activities/alliances emerged through the global platform with the leadership role of IPLCs (**Core World Bank Indicator**)

	Cumulative (Jun. 2018)	Jul-Dec 2018	Cumulative (Dec. 2018)
<b>Activities/Alliances</b>	20	7	27

The following activities and alliances were counted toward this indicator for this reporting period:

- 2018 Global Exchange: Joint presentation on outcomes from the informal dialogue on

the LCIP Platform in Cochabamba

- 2018 Global Exchange: Joint presentation on outcomes from the 2018 Weilburg Conference on Social Inclusion
- 2018 Global Exchange: Panel – Indigenous Peoples Dialogue with UNFCCC Parties
- 2018 Global Exchange: Panel – Local Communities and Indigenous Peoples Platform
- 2018 Global Exchange: Partnership between the DGM and the International Indigenous Peoples' Forum on Climate Change (IIPFCC) in preparation for COP 24
- COP 24 Side Event: Indigenous Peoples' Leadership is Critical to Natural Climate Solutions (conducted with IUCN and IIPFCC at the Capacity Building Hub of the Paris Committee on Capacity-Building (PCCB))
- COP 24 Side Event: LCIP Platform: A Force for Transformative Change in Raising Ambition on Natural Climate Solutions (conducted with Conservation International and the Government of Costa Rica)

## Representatives of key IPLC networks and organizations participate in DGM exchanges

Indicator: Number of IPLC networks and organizations represented at DGM exchanges

	Cumulative (Jun. 2018)	New: Jul-Dec 2018	Cumulative (Dec. 2018)
<b>Multinational</b>	15	3	18
<b>National</b>	50	10	60
<b>Total</b>	65	13	78

The DGM 2018 Global Exchange featured a participant from the *Dynamique des Groupes des Peuples Autochtones* (DGPA) from the Democratic Republic of the Congo, which has been added to this list. On the second day of the Global Exchange, participants were joined by dozens of Indigenous Peoples representatives from the International Indigenous Peoples Forum on Climate Change. Most of these attendees belonged to one or more organizations or networks, and exchange participants had the opportunity to engage with them. Those who announced their affiliations were included in this list, but there were likely several more that have been left out.

### Multinational:

- Alliance of Mother Nature's Guardians
- Inuit Circumpolar Council
- Saami Council

### National:

- *Dynamique des Groupes des Peuples Autochtones* (DGPA) – DRC
- Native American Rights Fund - USA
- National Inuit Youth Council – Canada
- *Association des Femmes Peuples Autochtones du Tchad* - Chad
- Assembly of First Nations – Canada
- NGO for Maasai pastoralist communities - Tanzania
- NGO for Batwa indigenous peoples - Burundi
- Maori Youth Delegation at COP 24 – New Zealand
- Sámi Parliament – Finland
- National Congress of American Indians - USA



## 4.6. Enabling Successful Local Solutions to Scale Up

While the DGM is an innovative program that is already achieving important results for the increased participation of IPLCs in climate action and sustainable forestry, it is still limited by the amount of funding available. DGM Global has had to limit the size and scope of its exchanges, and several DGM country projects have received many more proposals for subprojects than they can support on their current budgets. As such, it is critical that the DGM support the scaling up of the DGM and other programs that enable direct access to climate finance for indigenous peoples and local communities for forest and landscape management. At the outcome level, there are many factors that are somewhat outside the DGM's control, such as availability of resources and donor priorities, but the DGM still works to make its successes and lessons learned accessible for any programs that would like to support complementary efforts. Specifically, DGM Global focuses both on sharing DGM successes with relevant networks and organizations during its exchanges and its participation in regional and global events and on making information on the DGM widely accessible through its project website:

[www.dgmglobal.org](http://www.dgmglobal.org).

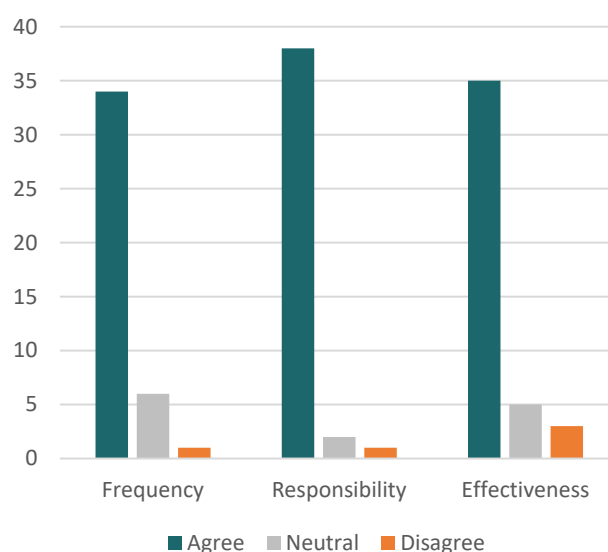
### Outcome:

#### Exchange participants receive funding and/or support for sustainable forestry and climate action from non-DGM sources

	2018 Annual Survey Results								
	Respondents	Received Funding?	%	Increased Frequency of Engagement	%	Increased Level of Responsibility	%	Increased Effectiveness	%
<b>Female</b>	11	4	36%	8	73%	9	82%	8	73%
<b>Total</b>	41	23	56%	34	83%	38	93%	35	85%

Since participating in a DGM Exchange, 23 of 41 survey respondents (56%), including 4 of 11 female respondents (36%), indicated that they have received funding from and/or participated in other initiatives related to climate action and sustainable forest management. While they may not have received funding, 38 of the 41 did report that their engagement in relevant activities had been increased or strengthened in at least one of three ways:

- Frequency of Engagement (83%)
- Level of Responsibility (93%)
- Effectiveness (85%)



## Outputs:

### DGM grant recipients and beneficiaries present knowledge products at regional and global exchange opportunities

Indicator: Number of knowledge products produced by the DGM grant recipients and/or beneficiaries in pilot countries presented at the regional and/or global knowledge exchange opportunities (**Core World Bank Indicator**)

	Cumulative (Jun. 2018)	Jul-Dec 2018	Cumulative (Dec. 2018)	Proposed End Target
<b>Knowledge Products</b>	68	4	72	80

The following knowledge products were counted towards this indicator in the current reporting period:

- Presentation to FILAC Workshop on the DGM as a model for direct access to climate finance for indigenous peoples and local communities – October 2018
- DGM Global Exchange 2018: Exchange Booklet
- DGM Global Exchange 2018: Technical session: NDC Guidance and the Paris Rulebook
- DGM Global Exchange 2018: Technical session: REDD+ and Paris Agreement Article 6

### The DGM Global website effectively showcases IPLC-led successes in climate action and sustainable forestry.

Indicator: Six-month average of monthly unique visitors to the DGM Global website

	Jul-Dec 2015	Jan- Jun 2016	Jul-Dec 2016	Jan- Jun 2017	Jul-Dec 2017	Jan- Jun 2018	Jul-Dec 2018
<b>Six-month average - Monthly unique visitors</b>	79.33	171.17	196.33	247.67	338.67	371.5	436.8

### DGM Exchange participants are well-represented at UNFCCC negotiations.

Indicator: Number of IPLCs who, after participating in a DGM exchange, have attended UNFCCC negotiations, disaggregated by sex

	Cumulative (Jun. 2018)		Jul-Dec 2018		Cumulative (Dec. 2018)	
	Female	Total	Female	Total	Female	Total
<b>Exchange Participants</b>	45	139	7	18	49	163

<b>COP/SB Attendees</b>	13	38	3	10	16	47
<b>Percentage</b>	29%	27%	43%	56%	33%	29%

## 5. Financial Summary

The following table presents DGM Global expenditures over the course of this reporting period in comparison with the project's Year Four Budget, presented by project component.

### DGM Global Project Financial Summary – July-December 2018 (all figures in USD)

Subcomponents	July-Dec 2018 Expenditures <sup>14</sup>	Year Four	
		Budget	Burn Rate
1. Global Learning, Outreach, and Information Sharing	\$176,882	\$613,512	28.83%
2. Technical Assistance and Secretariat Services to GSC	\$67,324	\$357,329	18.84%
3. Planning, Monitoring, and Reporting	\$106,340	\$255,797	41.57%
<b>Total</b>	<b>\$350,546</b>	<b>\$1,226,638</b>	<b>28.58%</b>

#### Subcomponent 1: Global Learning, Outreach, and Information Sharing

Between July and December 2018, DGM Global had US\$176,882 in Subcomponent 1 expenditures between July and December, which represents 28.83% of Year Four approved budget in Subcomponent 1. The main activities within Subcomponent 1 were the 2018 Global Exchange and engagement at COP 24, both held in Katowice, Poland. Since both activities were held at the end of the 2018, some costs will not be reflected until the next reporting cycle. Additionally, the Africa Regional Exchange was delayed from August 2018 to February 2019 with GSC approval, shifting some of the costs anticipated in this reporting period into the next reporting period. Some additional activities in Subcomponent 1 were preparations for the Africa Regional Exchange and communications activities, including publication of the 2018 Annual Report and work done on the pending redesign of the project website.

#### Subcomponent 2: Technical Assistance and Secretariat Services to the DGM GSC

Between July and December 2018, DGM Global spent US\$67,324 on Subcomponent 2, representing 18.84% of Year Four approved budget for this Subcomponent. Notable activities in this reporting period included participation in the Informal Dialogue on the LCIP Platform in Cochabamba and the FILAC training on Climate Finance in El Salvador in October, as well as support for the DGM Learning Review. Subcomponent 2 also supports ongoing coordination with the Global Steering Committee. The biggest Subcomponent 2 activity is the Annual GSC Meeting, which will be held in the upcoming reporting period.

#### Subcomponent 3: Planning, Monitoring, and Reporting

<sup>14</sup> Expenditure data pending final validation and approval. Final data will be included in the draft submitted to the World Bank.

Between July and December 2018, DGM Global spent US\$106,340 or 41.57% of Year Four approved budget for this Subcomponent. Key activities included preparation of semiannual reports and the 2018 Annual Report, the 2018 Survey of DGM Exchange Participants, and coordination with the DGM country projects for monitoring and reporting.

## 6. Workplan Progress

### Sub-component 1: Global Learning, Outreach, and Information Sharing

Year 4 (2018-2019) Workplan	Progress: July - December 2018	Progress: January – June 2019
<b>Output 1.1:</b> Organize global, regional and sub-regional training exchanges to facilitate participants' learning from successful community/ Indigenous Peoples' REDD+ projects for example, on benefit sharing, greenhouse gas measurement, climate-smart agriculture, and other issues which the GSC identifies		
<b>Activity 1.1.1:</b> Conduct one 5-day exchange during years 2-5 in each of the three FIP regions (Africa, Asia, Latin America-held in a FIP country to be determined with the GSC) targeting technical representatives from IPLC groups charged with understanding and analyzing relevant issues, and IPLC leaders/negotiators who require advice/support on technical issues. Content will incorporate learning from application of technical skills in existing REDD+ projects and IPLC experiences from participating in these projects. Approx. 15 representatives from each region will participate in the trainings throughout the life of the project, including representatives from each FIP country and from regional IPLC organizations including interested non-FIP countries. Participant lists to be determined with advice of NSCs of FIP countries in the region. (Location to be determined as part of the Strategic Planning process.)		
<p>Coordination, development and facilitation of:  <b>DGM Africa Exchange (Mozambique)</b>  <b>DGM Asia Exchange (Nepal)</b>  <b>DGM Americas Exchange (Mexico)</b></p> <p>Support a total of 15 IPLC participants and 3 GEA facilitators per exchange. Costs include technical and logistical services, all associated costs for outreach, development, coordination and facilitation of the three events.</p>	<p><b>Q1:</b> DGM Global consulted the GSC co-chairs and rescheduled the DGM Africa Exchange from August 2018 to February 2019.  WB TTL has recommended that DGM Global project needs to slow down the current implementation of the global project and review the budget, which means not canceling any activities but accommodating some of the workplan activities for the remaining lifetime of the project. The change allows the Global Project to align with the pace of other DGM country projects. As a result, the DGM Global Executing Agency is working on the necessary adjustments to avoid any gaps between the current and possible second phase of the Global Project.</p> <p><b>Q2:</b> The DGM GEA began preparations for the upcoming Africa Exchange (Mozambique - Feb 2019) and Americas Exchange (Mexico - June 2019), including coordination with NEAs and regional networks.</p>	
<b>Activity 1.1.2:</b> Contract a leading regional alliance or network, such as Abya Yala Forum in Latin America, Asian Indigenous Peoples Pact (AIPP), or Indigenous Peoples of Africa Coordinating Committee (IPACC), to conduct a regional meeting of IP organizations to share learning from the regional training exchanges in 1.1.1; to share progress and results from DGM activities; and to obtain feedback and input to policy issues and IPLC positions on issues as preparation for the global policy meeting outlined in 1.2.3. Technical advisor(s) will be provided appropriate to the topics.		

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Year 4 (2018-2019) Workplan	Progress: July - December 2018	Progress: January – June 2019
GEA coordination with regional <b>IPLC networks</b> to facilitate DGM outreach in <b>non-FIP countries</b> .	<p><b>Q1:</b> DGM GEA in coordination with:</p> <ul style="list-style-type: none"> <li>- <i>Fondo Indigena Latinoamericano</i> (FILAC)</li> <li>- International Indigenous Peoples Forum on Climate Change (IIPFCC)</li> <li>- International Alliance</li> <li>- UNFCCC LCIP Platform network</li> <li>- Coordinator of Indigenous Organizations of the Amazon River Basin (COICA)</li> <li>- Indigenous People Advisory Group of GEF</li> <li>- Abya Yala Forum</li> </ul> <p><b>Q2:</b> Coordination with regional and global networks around the 2018 Global Exchange, including COICA, JIIPFCC, AIPP, Abya Yala Forum, FILAC and IPACC; Initial coordination with regional networks for upcoming regional exchanges in Africa and Latin America.</p>	
<b>Activity 1.1.3:</b> Produce and submit reports on exchange content and results to NSC of each country in each region.		
<p>Development of three <b>DGM Exchange reports</b>:</p> <p>DGM Africa Exchange</p> <p>DGM Asia Exchange</p> <p>DGM Americas Exchange</p> <p>The reports will be shared with DGM countries and partners.</p>	<p><b>Q1:</b> Completion and sharing of 2018 Americas Exchange Report from June exchange in Peru.</p> <p><b>Q2:</b> Completion of reports for Americas Regional Exchange and Global Exchange – now available on the DGM Global website.</p>	
<b>Activity 1.1.4:</b> Provide course materials, presentations, and report to NSC and GSC communications focal points for posting on the DGM website.		
Technical services and associated costs with posting <b>exchange materials</b> , presentations, and reports to DGM website and sharing with DGM network.	<p><b>Q1:</b> All exchange materials have been shared and are available both on the website and by request</p> <p><b>Q2:</b> All exchange materials have been provided and are available both on the website and by request.</p>	
<b>Output 1.2:</b> Strengthen capacities of regional or global IPLC organizations and networks and facilitate their participation in REDD+ and climate change negotiations, including trainings on engaging in international negotiations, and on relevant international policy dialogues and processes		
<b>Activity 1.2.1:</b> Combined with 1.1.1., conduct a training session in each region for IPLC representatives to understand negotiating processes, build negotiating skills and understanding of UN negotiating processes and key topics in current negotiations. Indicative list of topics (will shift depending on state of negotiations): REDD+ finance, agriculture, non-carbon benefits, etc.		

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Year 4 (2018-2019) Workplan	Progress: July - December 2018	Progress: January – June 2019
<p>Travel support for three <b>IPLC invited speakers</b> to present at the following DGM Exchanges:</p> <p>DGM Africa Exchange DGM Asia Exchange DGM Americas Exchange</p>	<p><b>Q1:</b> No activities in this quarter</p> <p><b>Q2:</b> During the Global Exchange, DGM Global hosted the second day of the IIPFCC COP24 preparatory meetings and help organize panel with LCIPP Negotiators and IPLC experts about the Local Communities and Indigenous Peoples Platform and NDC Guidance.</p>	
<p><b>Activity 1.2.2:</b> Concurrent with 1.1.1 and 1.2.3, conduct sessions with technical experts and negotiators to learn how to form effective negotiating teams to contribute to effective contributions to climate processes based on sound technical advice from IP experts.</p>		
<p>Travel support for one invited speaker to present on <b>Negotiation Skills</b> at DGM Global Exchange</p>	<p><b>Q1:</b> No activities in this quarter</p> <p><b>Q2:</b> Support provided through Global Exchange and throughout COP for engaging in UNFCCC negotiations. Technical sessions and daily briefings on COP negotiations provided to DGM delegation.</p> <p>During the Global Exchange, DGM GEA conducted technical sessions on:</p> <ul style="list-style-type: none"> <li>- NDC Guidance and Paris Rulebook</li> <li>- Paris Agreement Article 6</li> <li>- Local Communities and Indigenous Peoples Platform</li> </ul>	
<p><b>Activity 1.2.3:</b> Organize one 3-day global exchange annually, 2-3 months before each UNFCCC COP to share learning from other global initiatives supporting IPLC participation in REDD+ and provide IPLCs with a platform to develop coordinated positions on key negotiating issues and produce position papers: GEA will provide technical and policy expertise to support the process for IPLC leaders to lead the development of their own policy positions. Coordinate with global partner organizations/institutions also supporting IPLC participation in climate negotiations to include non-FIP countries and partners to broaden coordination of IPLC positions.</p>		

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Year 4 (2018-2019) Workplan	Progress: July - December 2018	Progress: January – June 2019
<p><b>DGM Global Exchange</b> (Poland) in preparation of COP24.</p> <p>Support international travel for approximately 10 IPLC participants and 3 GEA facilitators. Costs include technical and logistical services, and all associated costs for outreach, development, coordination, and facilitation of the event.</p>	<p><b>Q1:</b> Preparations for Global Exchange in Poland, to be held immediately prior to UNFCCC COP 24, including working with the GSC to identify:</p> <ul style="list-style-type: none"> <li>-learning objectives of the exchange</li> <li>-criteria of participants</li> <li>-planning of the agenda</li> <li>-location, budget and logistics</li> </ul> <p><b>Q2:</b> Preparation for and implementation of the 2018 DGM Global Exchange, held immediately prior to COP 24 in Poland, including participation of IPLC leaders from 10 DGM countries appointed by their NSCs, representatives from 4 main IPLC networks, and IPLC leaders already in town attending COP24 negotiations. Preparation of technical sessions, and coordination of panel discussions with negotiators, all focused on preparing participants to engage effectively at COP 24.</p>	
<p><b>Activity 1.2.4:</b> Work with REDD+ investment agencies, NGOs, donors and UN agencies to leverage additional support for increased participation of the technical and negotiating teams of IPLC regional and global networks in UNFCCC meetings (target is minimum of 5 persons per region are supported through available funding mechanisms) DGM Global Component will support at least 2 persons per region to attend the UNFCCC COP or intercessional events.</p>		
<p><b>DGM Global participation at UNFCCC COP24 (Poland) and SB50 (Bonn)</b></p> <p>Facilitation of partner meetings and technical support to DGM delegation at COP24 and SB50.</p> <p>Support to attend one week of COP24 negotiations for approximately 3 GSC and 2 GEA participants.</p> <p>Support international travel and expenses to attend one week of SB50 negotiations for approximately 1 GSC and 1 GEA participants.</p>	<p><b>Q1:</b> - Identification of DGM delegation attending UNFCCC COP 24 in Poland. - Coordination with IIPFCC.</p> <p><b>Q2:</b> Preparation and support for participation of GSC members and other IPLC leaders at COP 24 through targeted technical sessions, facilitating participation in side events to highlight IPLC perspectives and DGM accomplishments. Daily negotiation updates to keep everybody updated with the latest developments. Coordination and engagement with IPACC, IUCN CEESP, Ford, IIPFCC, UNFCCC secretariat and LCIPP negotiators.</p>	
<p><b>Activity 1.2.5:</b> Conduct side event or information sharing event at the UNFCCC COP to report on and receive broader feedback on the activities of the DGM (GEA and GSC reps attending COP and leading event in collaboration with funded delegates/observers).</p>		



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<b>DGM side events and information sharing meetings at UNFCCC COP24 and SB50</b> in collaboration with WB.	<p><b>Q1:</b> Coordination, preparation, and submission of three side event proposals for UNFCCC COP 24.</p> <p><b>Q2:</b> DGM Side Events at COP24: Indigenous Peoples Leadership is Critical to Natural Climate Solutions Wednesday, December 5 (15:30 - 18:30)</p> <p>LCIP Platform: a force for transformative change raising ambition in natural climate solutions Friday, December 7 (11:30 - 13:00)</p> <p>Panel on Traditional Society Actions in the Climate Change Friday, December 7 (13:00 - 14:20)</p>	
<b>Activity 1.2.6:</b> Provide pre-UNFCCC COP coordination. Organize and facilitate ½ day session immediately before the COP for IPLC participants to re-enforce negotiating skills, provide updates on key topics and current issues under negotiation. Audience would include FIP sponsored IPLCs plus other interested IPLC delegates or observers from non-FIP countries already attending the COP. (Location in Current COP host country-year 1 in Peru, year 2 in Paris, years 3-5 TBD).		
<p>UNFCCC negotiations <b>update sessions to DGM delegations</b> during the UNFCCC COP24 and SB50 negotiations.</p> <p>Costs include support to 3 Global Exchange participants to collaborate with the technical team at the IIPFCC meeting before COP24.</p>	<p><b>Q1:</b> Preparations for DGM Global Exchange, which will be held immediately prior to COP 24 and will be used to coordinate IPLC participation. Coordination of support of DGM and IPLC network leaders attending COP24.</p> <p><b>Q2:</b> DGM Global provided technical support on pre-UNFCCC COP coordination through the Global Exchange, the IIPFCC Pre-COP24 and daily coordination meetings to support the LCIP Platform process.</p>	
<b>Activity 1.2.7:</b> Disseminate exchange reports and relevant documents/reports from annual UNFCCC COP negotiations on the DGM web-site and communications channels.		

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Developing and disseminating DGM <b>relevant documents</b> related to the DGM during the <b>UNFCCC negotiations</b> . Costs include technical support, translation and printing of materials.	<p><b>Q1:</b> Initial development of a communications plan for sharing information about the DGM at and in relation to UNFCCC COP 24. Dissemination of DGM information with key partners attending the Global Climate Action Summit.</p> <p><b>Q2:</b> DGM Global published several communications materials related to DGM engagement at COP and progress toward IPLC priorities, including activity posts, infographics blog posts, interviews, and a dedicated webpage with the COP 24 agenda. Substantial social media coverage specifically focused on the gains made at COP 24 with DGM support.</p>	
<b>Output 1.3:</b> Develop culturally appropriate knowledge resources for use by IPLCs globally, in the form of, among others, case studies, examples of promising or “state-of-the-art” practices, focal points for sharing ideas around particular themes or challenges, and web-based knowledge networking tools		
<b>Activity 1.3.1:</b> Develop and maintain knowledge resource database, housed in the web learning portal on the DGM website providing access to existing resources on IPLCs, climate change, forests and REDD+, training materials and training design targeted to IPLC audiences.		
Update and maintenance of the <b>DGM Global Project database</b> of knowledge products, events, and results.	<p><b>Q1:</b> Documents and reports, in all available languages, have been added to the DGM Global website.</p> <p><b>Q2:</b> DGM documents and reports, in all available languages, have been added to the DGM Global website; Development of the full knowledge platform put on hold by the GSC in Brasilia in April 2017.</p>	
<b>Activity 1.3.2:</b> Based on priorities of IPLC policy teams and input of NSCs and GSC, develop learning videos/digital learning products on 3-4 key topics related to climate change, forests and REDD+ to provide broader outreach to IPLC organizations and communities. Possibly linked to grantee work in FIP countries. Videos would also be available in the web learning portal of the DGM website.		
Coordinating, developing and disseminating a <b>DGM storytelling video and short videos for social media outreach</b> .	<p><b>Q1:</b> Procurement, contracting, and initial work on an animated DGM overview video anticipated to launch in the next quarter. Shared digital library piloted during the Americas/Asia NEA meeting in Lima.</p> <p><b>Q2:</b> Progress in the script, voice over and story board of animated DGM overview video. Completion anticipated to launch in the next quarter. Interviews and coverage of COP24 shared in DGM Global Social media.</p>	

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<b>Output 1.4:</b> Strengthen IPLC Global and Regional Alliances and Networks to foster dialogue and learning within and among alliances and networks and to foster dialogue learning and interaction with key institutions and entities such as the World Bank and other MDGs, UN-REDD, UNFCCC, UNPFII, bi-lateral donor governments, NGOs and training institutions focusing on IPLCs, social and environmental safeguards, forests and REDD+.		
<b>Activity 1.4.1:</b> Through a consultative process with IPLC global and regional networks and NSCs develop and implement an assessment methodology to determine priority needs and gaps among and within existing IPLC networks to a.) strengthen policy, implementation, research, capacity and communication needs of IPLCs related to forests and REDD+; and b.) identify challenges and opportunities related to expanding regional network participation in global alliances; c.) identify challenges and opportunities to expanding membership of local IPLC organizations in regional networks; d.) supporting the formation/official registration of new IPLC organizations; and e.) creating horizontal and vertical information flows within regional networks and alliances and between regional and global networks.		
<p>Develop a report on</p> <p><b>Addressing language barriers to strengthen DGM IPLC networks in Africa.</b></p> <p>The proposed report builds on the findings from the social network analyses conducted during the first year of the regional exchanges.</p> <p>Costs include international travel for approximately 3 additional participants to stay after Africa Exchange.</p> <p>Interviews with representatives of all DGM African countries will be conducted during the Africa Exchange in Mozambique.</p> <p>Costs include technical services, and associated costs for development of assessment report.</p>	<p><b>Q1:</b> No activities in this quarter</p> <p><b>Q2:</b> No activities this quarter</p>	
<b>Activity 1.4.2:</b> Provide sub-contracts to IPLC Organizations to carry out assessments on IPLC network strengthening needs and gaps.		
<p><b>Graphic design and dissemination of reports on participatory network analysis exercises conducted during DGM regional exchanges.</b></p>	<p><b>Q1:</b> No activities in this quarter</p> <p><b>Q2:</b> No activities in this quarter</p>	
<b>Activity 1.4.3:</b> Concurrently with the regional trainings described in 1.1.1, conduct a working session with IPLC technical and negotiating teams to strengthen communications and feedback processes within the regional networks/alliances and address the challenges identified under the assessment in 1.4.1 to expanding the outreach and effectiveness of IPLC networks.		
<p>Coordination between <b>DGM Global and Country executing agencies related to communications during learning exchanges.</b></p>	<p><b>Q1:</b> Updates and coordination with DGM Mozambique related to new dates of Africa Regional Exchange.</p> <p><b>Q2:</b> Feedback surveys conducted during DGM Global Exchange.</p>	

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<b>Activity 1.4.4:</b> Propose to NSC to commission 1 case study per country on IPLC participation in REDD+ mechanisms topics could include: stakeholder engagement and participation in National REDD+ Committees; participation in implementation of Safeguard Information Systems, to participation in the UN Climate Policy Negotiations. Collaboration and feedback would be sought with NSCs to support additional national level studies by IP organizations.		
<p>Development and dissemination of the following <b>case study:</b> - <b>Status and progress of the UNFCCC LCIP Platform.</b></p> <p>During the preparation of the DGM Global Project mid-term review, the GSC co-chairs identified the LCIP Platform as a strategic priority.</p>	<p><b>Q1:</b> GEA and GSC members drafted technical inputs in preparation of Informal Dialogue on LCIP Platform held in Cochabamba, Bolivia.</p> <p><b>Q2:</b> GEA participation in Informal Dialogue on LCIP Platform held in Cochabamba, Bolivia. Technical support to the IIPFCC and follow up in preparation of UNFCCC COP24 negotiations.</p>	
<b>Activity 1.4.5:</b> Work with the Global Steering Committee and National Steering Committees to propose the development of best practice guidelines for working with IPLCs on REDD+ based on work with regional and global IPLC organizations/alliances, case studies, and on the experiences of the County Component of the FIP-DGM and National case studies proposed under 1.4.4.		
<p>Technical services and associated costs for the development and dissemination of the following <b>guidelines:</b> - <b>Governance design of the DGM steering committees</b> - <b>Streamlining Gender in the DGM</b></p> <p>The proposed guidelines will be useful resources for DGM country projects, especially during the initial process of establishing National Steering Committees.</p>	<p><b>Q1:</b> Gender knowledge products updated based on information received for January - June 2018 reporting cycle. Coordination with WB team on Gender Case Study from Peru.</p> <p><b>Q2:</b> Technical support to WB consultants conducting a gender case study of the DGM in Peru. Analysis of sex disaggregated data from annual feedback surveys of DGM Global Exchanges.</p>	
<b>Output 1.5:</b> A Communications and Outreach Strategy is defined and implemented to ensure coordinated and consistent communication and information sharing across FIP pilot countries, as well as to non-FIP countries, partners and other global audiences		
<b>Activity 1.5.1:</b> Establish a global communications team (GCT) for the DGM, composed of the communications focal point for each country and a communications focal point from the GSC, in coordination with GSC, NSCs and NEAs.		
<p>Coordination of <b>DGM Global Communications Team (GCT), including integration of new members</b> as new country projects begin and/or identify communications focal points (See also 2.6.2).</p> <p>GCT consists of GEA, NEAs and two representatives of the GSC designated last year in Brasilia.</p>	<p><b>Q1:</b> Coordination with GCT and other NEA staff in preparation of January - June 2018 Semiannual Program Report and Annual Report.</p> <p><b>Q2:</b> Coordination with GCT and other NEA staff in preparation of June - December 2018 Semiannual Program Report and Annual Report.</p>	

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<b>Activity 1.5.2:</b> Working with the GCT and with input from the NEAs/NSCs and global partners, develop and submit a comprehensive Communications and Outreach Strategy for the DGM, including global and country specific communications protocols and including a Website and web-based information and knowledge sharing system, a system for sharing learning, experiences and DMG reporting throughout the DGM term. The Communications and Outreach Strategy development will be coordinated by the Communications Focal point on the GEA.		
Development and dissemination of <b>DGM Year 5 Communications Plan</b> to be presented at the next GSC meeting in 2019.	<p><b>Q1:</b> Ongoing implementation of Year 4 communications plan, including increased social media engagement and preparation and publication of blog series around indigenous forest management in Peru. DGM Social media engagement and tracking of main highlights from the Global Climate Action Summit.</p> <p>DGM Blog series: Land Titling, Food Sovereignty and Climate Change in DGM Projects Beyond land titling: Supporting sustainable land management by indigenous peoples Recognizing Indigenous Peoples' Land Rights is Critical to Natural Climate Solutions</p> <p><b>Q2:</b> DGM Global has continued to increase coordination with the country projects in line with the Year 4 Communications Plan; country inputs are being integrated into DGM Global's communications calendar which is being used to plan all communications activities.</p>	
<b>Activity 1.5.3:</b> Conduct Quarterly virtual meetings of the global communications team.		
<b>GEA communication at least a biweekly basis, with the NEAs through the Global Communications Team,</b> via email, WhatsApp, and/or Skype calls as appropriate to the topic of discussion.	<p><b>Q1:</b> Ongoing coordination with DGM Country Projects as needed, particularly for preparation of the January - June 2018 Semiannual Program Report.</p> <p><b>Q2:</b> GEA bi-weekly communications meetings and regular coordination with NEA and GSC for development of reports and communications materials, including video interviews, activity posts, and social media campaigns.</p>	
<b>Activity 1.5.4:</b> Working with NSC communications focal points, conduct in-country communications needs assessments to determine web-based needs, technical capabilities of each country and to establish a terms of reference for web-site development. GEA communications focal point will develop survey method with consultant in 2.2.7.		

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Year 4 (2018-2019) Workplan	Progress: July - December 2018	Progress: January – June 2019
Development and dissemination of <b>communications materials</b> including newsletters, activity posts, and social media campaigns. Management of DGM Global <b>social media platforms</b> .	<p><b>Q1:</b> Coordination with country projects in Brazil, Peru, Cote d'Ivoire, Mozambique, Burkina Faso, and Ghana to share updates on DGM website and social media. Developing with WB concepts for DGM event at CIF 10 anniversary.</p> <p><b>Q2:</b> Coordination with country projects in Brazil, Peru, Mexico, Mozambique, Burkina Faso, and Ghana to share updates on DGM website and social media. Preparation of DGM event at CIF 10 anniversary.</p>	
<b>Activity 1.5.5:</b> Coordinate a common approach and provide guidance on the development of country-specific communications and outreach strategies (including establishing protocol for collecting content for DGM website).		
Continued coordination with DGM country projects to demonstrate <b>increased cohesion of key messages and communications materials</b> .	<p><b>Q1:</b> Increased exchange of information and engagement on social media now that more country projects have Facebook and Twitter accounts.</p> <p><b>Q2:</b> Continued coordination with DGM country projects to demonstrate increased cohesion of key messages and communications materials. GEA developed COP24 messaging strategy and document for DGM delegation.</p>	
<b>Activity 1.5.6:</b> Contract a consulting firm to design and develop DGM website based on Communications and Outreach Strategy (including developing country portals).		
DGM website was developed in project year 2.	<p><b>Q1:</b> Redesign and update of website content in progress, including enhanced accessibility in other project languages - changes anticipated to go live in next quarter.</p> <p><b>Q2:</b> Redesign and update of website content in progress, including enhanced accessibility in other project languages - changes anticipated to go live in next quarter.<sup>15</sup></p>	
<b>Activity 1.5.7:</b> Annual Website Maintenance		

<sup>15</sup> Redesigned website launched in English in February 2019 (<https://www.dgmglobal.org>), with translations anticipated in March.

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Year 4 (2018-2019) Workplan	Progress: July - December 2018	Progress: January – June 2019
Streamlining, and ongoing management of the <b>DGM Global website's design and structure.</b>	<b>Q1:</b> DGM GEA maintains website and keeps content updated.  <b>Q2:</b> DGM GEA maintains website and keeps content updated.	
<b>Activity 1.5.8:</b> Provide ongoing advice on web-based tools and, techniques and approaches, and provide advice to the GCT and FIP country communications teams/contractors.		
Provision of <b>DGM communications advice to NEAs</b> as requested.	<b>Q1:</b> Continued collaboration with country projects, including greater integration of country project progress on DGM website and cross-promotion with World Bank and Climate Investment Funds communications personnel.  <b>Q2:</b> Continued collaboration with country projects, including greater integration of country project progress on DGM website and cross-promotion with World Bank and Climate Investment Funds communications personnel.	
<b>Activity 1.5.9:</b> Oversee the ongoing maintenance and content updates to the DGM website [Updating of website content, including development and dissemination of blog posts and periodic validation and updating of data on other pages.]		
DGM Global <b>regular website content updates.</b> Cost estimate includes technical services and translation of content.	<b>Q1:</b> Information on past and upcoming DGM activities, increasingly including DGM country project activities, available on DGM Global website and upon request.  <b>Q2:</b> DGM GEA maintains website and keeps content updated.	
<b>Output 1.6:</b> Provide guidance, DGM policy advice, and shared experience and knowledge management to ensure that the FIP pilot countries for the DGM are benefiting from the experiences and lessons of all countries under the DGM.		
<b>Activity 1.6.1:</b> Working with a member of each NSC as communications focal point, develop and manage a comprehensive database of DGM activities, knowledge products, events, and results. Site will also support knowledge/training products from the capacity building and institutional strengthening events. Oversee the ongoing maintenance and content updates to the DGM website.		

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<b>See activities: 1.3.1, 1.4.1, 1.4.2, 1.4.4, 1.4.5 and Output 1.5</b>	<b>Q1:</b> Continued maintenance of document and report library on DGM Global website.  <b>Q2:</b> Continued maintenance of document and report library on DGM Global website.	



## Sub-component 2: Technical and Secretariat Services to the Global Steering Committee

Year 4 (2018-2019) Workplan	Progress: July - December 2018	Progress: January – June 2019
<b>Output 2.1</b> Global Steering Committee established and functioning		
<b>Activity 2.1.1:</b> Establish the Global Steering Committee working in collaboration with the World Bank and the DGM Transitional Committee.		
Completed prior to this fiscal year	<b>Q1:</b> Completed prior to this quarter  <b>Q2:</b> Completed prior to this quarter	
<b>Activity 2.1.2:</b> Prepare and submit Rules of Procedure for the GSC (due 3 mo. after start date).		
Technical services and associated costs for updates to GSC Rules of Procedure after each GSC meeting.	<b>Q1:</b> Completed prior to this quarter  <b>Q2:</b> Completed prior to this quarter	
<b>Activity 2.1.3:</b> Facilitate establishment of the National Steering Committees (NSC) as required in collaboration with DGM Transitional Committee and the National Executing Agencies (NEA).		
<b>Travel of GSC members</b> to DGM countries for coordination with NSCs and NEAs as needed. Costs included support for 1 regional trip of GSC members to DGM countries.	<b>Q1:</b> Continued support to WB TTLs and Interim NSCs. Following up progress of DGM in Cote d'Ivoire, Guatemala, Ecuador, and Nepal.  <b>Q2:</b> Support with information to Interim Steering Committee processes in Nepal, and Guatemala.	
<b>Activity 2.1.4:</b> Prepare and submit report on the establishment of the GSC.		
Preparation of <b>update reports for GSC</b> . Cost estimate includes technical services and translation.	<b>Q1:</b> Completed prior to this quarter  <b>Q2:</b> No activities this quarter	
<b>Activity 2.1.5:</b> Organize and service the annual meetings of the GSC, including translation services, materials preparation and logistics for approx. 20 participants in a central location.		
Coordination, development, and facilitation of <b>DGM GSC Meeting</b> (TBC-Bonn, Germany). Support for a total of 12 GSC and 3 GEA participants. Costs include technical and logistical services, all associated costs for outreach, development, coordination and facilitation of the event.	<b>Q1:</b> Preparations for GSC co-chairs meeting in Katowice in November/December Briefing to GSC co-chairs at least on a monthly basis.  <b>Q2:</b> GSC co-chairs meeting in Washington DC and Katowice in December to track progress and status of GSC 2018 decisions. DGM midterm review follow up meeting with GSC members. Preparatory meetings for Year 5 workplan preparation and coordination for upcoming annual GSC meeting in May 2019.	
<b>Activity 2.1.6:</b> Submit report on proceedings of each annual meeting of the GSC.		

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Year 4 (2018-2019) Workplan	Progress: July - December 2018	Progress: January – June 2019
Development and dissemination of <b>DGM GSC Meeting Report</b> .	<p><b>Q1:</b> No activities in this quarter</p> <p><b>Q2:</b> Updates on decisions made at previous annual GSC meeting provided to GSC members present at Global Exchange in Katowice.</p>	
<b>Activity 2.1.7:</b> Facilitate working groups established to carry out the work of the GSC.		
<p>Coordination, outreach, and operationalization of the following GSC <b>subcommittees and working groups</b>:</p> <ul style="list-style-type: none"> <li>- GSC co-chairs</li> <li>- GSC Budget Subcommittee</li> <li>- GSC Communications Subcommittee</li> <li>- GSC Grievance Redress Subcommittee</li> <li>- DGM Sustainability</li> </ul> <p>Support a total of 2 trips of GSC co-chairs to Washington, DC for DGM Global Project coordination in July for project Year 4 kickoff.</p> <p>Support a total of 3 trips for operationalization of GSC subcommittees.</p>	<p><b>Q1:</b> Drafted Terms of Reference for GSC Travel: Participation of GSC co-chair in panel at IUCN 70<sup>th</sup> Anniversary event in Fontainebleau, France. Title of event: "Indigenous peoples and local communities: culture and nature as One"</p> <p>Engaging IPLC networks and CI Europe offices with GSC co-chair in preparation of DGM Sustainability discussions for next GSC meeting.</p> <p>FY19 kickoff briefing with GSC co-chair while in Lima.</p> <p>Coordination with GSC co-chairs and Budget subcommittee on Year 4 workplan adjustments.</p> <p><b>Q2:</b> GSC Sustainability Working Group members engaged negotiators during COP24; GSC Co-chairs provided feedback to progress and adjustments of Year 4 workplan and budget. GEA gathering feedback from GSC on priorities for additional finance of the global project.</p>	
<b>Activity 2.1.8:</b> Carry out secretarial tasks, information gathering, studies or other tasks requested by the GSC.		
<p>Technical services and associated costs for <b>DGM GSC Secretariat</b>.</p> <p>Cost estimate includes Technical services, translation and associated costs for follow-up to findings of <b>CIF Learning Evaluation</b> and <b>DGM Global Project mid-year review</b>.</p>	<p><b>Q1:</b> GEA provides ongoing secretariat support for the GSC.</p> <p><b>Q2:</b> GEA wrapped up actions from project mid-term review. Technical support and feedback facilitated to the DGM Learning Partnership being implemented by ITAD.</p>	
<b>Activity 2.1.9:</b> Equipment, licenses		
GEA <b>equipment</b> and <b>licenses</b> required for project implementation and compliance.	<p><b>Q1:</b> Provided as needed</p> <p><b>Q2:</b> Provided as needed</p>	

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<b>Output 2.2</b> Outreach conducted and partnerships/collaborations established with global partners working on similar issues/mechanisms to support IPLC capacities and initiatives to strengthen participation in REDD+ processes		
<b>Activity 2.2.1:</b> In conjunction with the annual GSC meeting, conduct annual information sharing meetings with global partners (FCPF, UN-REDD, GEF Small Grants Programme, UNPFII, etc.) to share objectives/results of the DGM and define synergies and opportunities to collaborate, leverage joint funding opportunities, and coordinate common areas of support to IPLCs on REDD+, climate negotiations and related initiatives.		
DGM side event and meetings with donors for information sharing at <b>UNPFII</b> . Cost estimate includes technical services and support local expenses of 2 participants to participate at the UNPFII.	<b>Q1:</b> No activities in this quarter  <b>Q2:</b> No activities in this quarter	
<b>Activity 2.2.2:</b> Working with global partners defined and participating in 1.3.1, develop annual joint reporting mechanism on impacts of initiatives to support IPLC organizations and networks.		
DGM participation at <b>WB relevant events:</b> - CIF Trust Fund Committee and Sub Committee meetings - FCPF PC meetings - Land and Poverty Conference - FIP Pilot Countries Meeting - WB Annual Meeting  International travel to be funded by WB and coordinated with WB TTL.	<b>Q1:</b> Developing with WB concepts for DGM event at CIF 10 anniversary.  <b>Q2:</b> Briefings to GSC cochair on GEA preparation of WB Mission meetings. Coordination with WB on the content and scope of DGM panel for CI 10 anniversary meeting. Technical support to GSC members participating in panels and events during COP24 in Katowice, Poland.	
<b>Activity 2.2.3:</b> GEA and/or GSC members attend key global and regional events related to the Goals and Objectives of the DGM to increase awareness of the DGM, share information on the DGM and IPLC priorities, expand learning, and improve access to decision-making on climate and REDD+ policy and related IPLC issues.		
See activities 1.2.4, 2.2.1, 2.1.3, 2.1.7	<b>Q1:</b> See activities 1.2.4, 2.2.1, 2.1.3, 2.1.7  <b>Q2:</b> See activities 1.2.4, 2.2.1, 2.1.3, 2.1.7	
<b>Output 2.3:</b> Develop and facilitate procedures for a complaints and grievance mechanism at the global level		
<b>Activity 2.3.1:</b> Facilitate the Grievance Redress Mechanism and Complaints procedure as defined in Item IV. of the Framework Operational Guidelines of the DGM		

Dedicated Grant Mechanism for Indigenous Peoples and Local Communities  
6<sup>th</sup> Semiannual Global Project Progress Report: January 1 - June 30, 2018

Year 4 (2018-2019) Workplan	Progress: July - December 2018	Progress: January – June 2019
Technical services and associated costs to facilitate <b>Grievance Redress Mechanism</b> and Complaints procedures.	<p><b>Q1:</b> Continued oversight of DGM Global grievance mechanism; no grievances in this quarter.</p> <p>Preparation for updates to grievance mechanism, utilizing third party platform to determine appropriate point of contact for any grievances, rather than all grievances going through the GEA.</p> <p><b>Q2:</b> Finalization and disclosure of approved adjustments of the DGM Global grievance mechanism, utilizing third party platform to determine appropriate point of contact for any grievances, rather than all grievances going through the GEA.</p> <p>DGM Global continues to monitor program-wide grievances, most of which have been resolved efficiently at the national level.</p>	
<b>Activity 2.3.2:</b> Establish and manage the GSC Grievance Sub-committee; establish feedback and complaints input and tracking within the DGM website.		
Coordination and operationalization of the <b>GSC Grievance Subcommittee</b> (See activities 2.1.3 and 2.6.1 for any travel costs needed to address grievances).	<p><b>Q1:</b> No activities in this quarter</p> <p><b>Q2:</b> Validation with GSC subcommittee of DGM Global GRM adjustments.</p>	
<b>Output 2.4:</b> Provide guidance, DGM policy advice, and shared experienced and knowledge management to ensure that the FIP pilot countries for the DGM are consistently following coherent operational guidelines.		
<b>Activity 2.4.1:</b> Facilitate and implement a process to review the DGM Operational Guidelines under the direction of the World Bank and GSC to and adapt/revise as required for the effective flow of tasks and deliverables.		
No activities planned in this fiscal year	<p><b>Q1:</b> No activities in this quarter</p> <p><b>Q2:</b> No activities in this quarter</p>	
<b>Activity 2.4.2:</b> Conduct outreach to GSC, NEAs, NSCs and the World Bank prior to the first annual meeting of the GSC to obtain feedback and concerns regarding the revision of the DGM Operating Guidelines.		
Coordination with GSC, NEAs, NSCs, and WB to revise <b>DGM Operational Guidelines</b> with special focus on the process of establishing the NSC in countries.	<p><b>Q1:</b> No activities in this quarter</p> <p><b>Q2:</b> No activities in this quarter</p>	
<i>Note: This activity will require further clarification and/or adjustments with the World Bank.</i>		

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6<sup>th</sup> Semiannual Global Project Progress Report: January 1 - June 30, 2018

Year 4 (2018-2019) Workplan	Progress: July - December 2018	Progress: January – June 2019
<b>Activity 2.4.3:</b> Submit Revised DGM Operational Guidelines to the World Bank and to the GSC.		
Submit to WB recommendations from GEA and GSC on areas to revise and update DGM Operational Guidelines.  <i>Note: This activity will require further clarification and/or adjustments with the World Bank.</i>	<b>Q1:</b> No activities in this quarter  <b>Q2:</b> No activities in this quarter	
<b>Activity 2.4.4:</b> Provide guidance to NEAs and NSCs on adapting DGM Operating Guidelines to country contexts. Web site will include options for sharing approaches/opportunities/challenges on the FIP DGM Member section of the DGM website.		
Technical support to NEAs on adapting DGM Operational Guidelines.  <i>Note: This activity will require further clarification and/or adjustments with the World Bank.</i>	<b>Q1:</b> No activities in this quarter  <b>Q2:</b> No activities in this quarter	
<b>Output 2.5</b> Long-term strengthening of IPLC leadership: the GEA will work with the GSC to establish an IPLC DGM Fellowship in years two and three of the DGM to create an in-depth leadership training experience for a young IPLC leader as part of the Global Component.		
<b>Activity 2.5.1:</b> Establish and manage leadership training fellowship.		
<b>DGM- fellow-exchanges:</b> south-south DGM country programmatic knowledge exchanges between DGM countries. Cost estimate includes coordination of support and travel for a total of three participants to further the knowledge on the following issues. - Land Titling - LCIP Platform - PES fellow	<b>Q1:</b> In progress: GEA drafted Terms of Reference of DGM fellow exchanges for GSC review.  <b>Q2:</b> In progress: GEA consulting with GSC co-chairs the Terms of Reference and criteria of DGM fellow exchanges.	
<b>Output 2.6:</b> Provide guidance and support to NSCs and NEAs		
<b>Activity 2.6.1:</b> Support the DGM Country Processes to Establish NSCs and select NEAs, including site visits as needed-in collaboration with WB DGM global and country focal points.		
<b>GEA technical support to</b> DGM NEA and NSC processes as needed. Cost estimate includes GEA travel for a total of 3 trips to DGM countries.	<b>Q1:</b> Support for NSC formation processes in Cote d'Ivoire, Congo, and Nepal.  <b>Q2:</b> Sharing experience on NSC process in Climate Finance workshop organized by FILAC; Technical support to IIPFCC at informal dialogue on LCIP Platform in Cochabamba, Bolivia.	
<b>Activity 2.6.2:</b> Conduct planning and coordination meeting of the NEAs to define monitoring, reporting, communications & grievance frameworks and processes.		

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6<sup>th</sup> Semiannual Global Project Progress Report: January 1 - June 30, 2018

Year 4 (2018-2019) Workplan	Progress: July - December 2018	Progress: January – June 2019
Coordination of <b>DGM GCT-NEA Exchange (Mexico) to be implemented in Project Year 5.</b>	<p><b>Q1:</b> No activities in this quarter</p> <p><b>Q2:</b> Preparation of content for Africa NEA Exchange in Mozambique. Coordination with GCT and NEAs on the launch of the 2018 Annual Report.</p>	

### Sub-component 3: Planning, Monitoring, and Reporting

Year 3 (2017-2018) Workplan	Progress: July-December 2017	Progress: January-June 2018
<b>Output 3.1</b> A three-year strategy for the implementation of the Global Component of the DGM developed with inputs and feedback from the NSCs and relevant partners is approved and implemented		
<b>Activity 3.1.1:</b> Develop a 5-year Strategic Plan for the implementation of the global component of the DGM, with inputs from the NEAs and NSCs of the eight FIP pilot countries participating in the DGM and the inputs of the UNPFII and global partners/networks with related initiatives and interests. Review and revised in conjunction with the annual work plan and budgeting process.		
<b>Adjustment of DGM global project</b> workplan priorities and projections according to additional finance. Also see activity 3.1.2	<p><b>Q1:</b> Revised FY19 calendar of activities for the DGM Global Project. WB TTL has recommended that DGM Global project needs to slow down the current implementation of the global project and review the budget, which means not canceling any activities but accommodating some of the workplan activities for the remaining lifetime of the project. The change allows to global project to align with the pace of other DGM country projects. As a result, the DGM Global Executing Agency is working on the necessary adjustments to avoid any gaps between the current and possible second phase for the global project.</p> <p><b>Q2:</b> Communicated and coordinated with GSC the adjustment of Year 4 workplan (postponing Asia Regional Exchange and rescheduling regional learning events). Adjustment of workplan priorities and projections for Year 4 Q2 &amp; Q3.</p>	
<b>Activity 3.1.2:</b> Develop and facilitate an outreach and feedback process on the completed, draft 5-year strategy with NSCs prior to submission to WB and GSC.		
<b>Follow-up to address the findings from DGM Mid-Term Review and Learning Partnership Report.</b>	<p><b>Q1:</b> Mid-term review wrap up meeting with WB to discuss the management letter and aide memoire for DGM Program and Global Learning and Knowledge Exchange project. Coordinated with ITAD around finalization of Learning Partnership Report, including the addition of substantially more information on the DGM Global project</p> <p><b>Q2:</b> After Mid-term review DGM developed a proposal of targets for global project indicators, additional quality indicators and survey of exchange participants. The survey was conducted and presented to WB during annual mission.</p>	
<b>Activity 3.1.3:</b> Prepare and submit annual work plan and budget for the Global Component of the DGM for 'no objection' from the World bank and approval by the GSC. (Costs included in 3.1.1)		

Dedicated Grant Mechanism for Indigenous Peoples and Local Communities  
6<sup>th</sup> Semiannual Global Project Progress Report: January 1 - June 30, 2018

Year 3 (2017-2018) Workplan	Progress: July-December 2017	Progress: January-June 2018
Under the terms of the Grant commitments, Coordination, development, review, and submission of DGM Global Project <b>Year 5 workplan and budget</b> for 'no objection' from the World Bank and adoption by the GSC.	<b>Q1:</b> CI Management Letter response to MTR Aide Memoire-TF 18707.  <b>Q2:</b> GEA presented a projection of budget balance for Year 5 and subcomponent realignment.	
<b>Output 3.2:</b> Permanent liaising process and common approach to a reporting and monitoring framework established with the NEAs and NSCs of the eight FIP pilot countries to comply with reporting requirements of the DGM		
<b>Activity 3.2.1:</b> Conduct and report on virtual quarterly update meetings with the NEAs of the eight FIP pilot countries to monitor progress, discuss issues and opportunities and share successes and challenges, and provide input to agenda for annual GSC meetings, or working groups that may be established.		
Technical services and associated costs to coordinate inputs to agenda for GSC meeting. See activities: 2.6.2, 1.5.1, 1.5.2	<b>Q1:</b> Continued coordination with NEAs, particularly in relation to communications Outreach to country projects for report inputs for: -Semiannual Program Report -Annual Report  <b>Q2:</b> Ongoing coordination with NEAs, including initial preparations for February 2019 Executing Agency Meeting in Mozambique.	
<b>Activity 3.2.2:</b> Working with GSC and NSCs/NEAs, develop a common monitoring framework with common indicators for measuring success of global objectives of the DGM.		
GEA technical support to DGM countries for <b>implementation of M&amp;R Toolkit</b> . Support includes GEA travel for a total of 2 trips to DGM countries.	<b>Q1:</b> Gathered indicator data from DGM Country Projects for DGM Semiannual Program Report; Coordinated with country projects to answer questions around revised results framework.  <b>Q2:</b> DGM Global increased coordination with NEAs and GSC in preparation of COP24 and launch of the 2018 Annual Report.	
<b>Activity 3.2.3:</b> Establish a common reporting framework to track progress, ensure information flow, learning exchange.		
Revision of DGM Results Framework in coordination with WB.	<b>Q1:</b> Used newly revised results framework to collect and report data on DGM progress from all projects. Conducted a new survey with participants of DGM exchanges funded by DGM Global Project.  <b>Q2:</b> Presented results from survey with participants of DGM exchanges funded by DGM Global Project in Semiannual Report submitted in September 2018.	



Dedicated Grant Mechanism for Indigenous Peoples and Local Communities  
6<sup>th</sup> Semiannual Global Project Progress Report: January 1 - June 30, 2018

Year 3 (2017-2018) Workplan	Progress: July-December 2017	Progress: January-June 2018
<b>Output 3.3</b> Compile and report the results of the DGM		
<b>Activity 3.3.1:</b> Submit semiannual progress reports on the activities of the DGM to the GSC, after receiving a 'no objection' from the World Bank, including GEA and NEA activities (due 75 days after the end of the reporting semester).		
<p>Under the terms of the Grant commitments, compilation, development and dissemination of <b>two DGM Semiannual Program Reports</b> due March 15 and September 15.</p> <p>Cost estimate includes technical support and translation to three languages.</p>	<p><b>Q1:</b> Preparation and timely submission of DGM Program Report in coordination with DGM Country Projects. Continued follow-up with DGM country projects after the deadline to include complete information in reports prior to publication.</p> <p><b>Q2:</b> Planning for upcoming semiannual program report, including coordination with country projects, is in progress.</p>	
<b>Activity 3.3.2:</b> Compile and submit semiannual reports to the World Bank on fiduciary and technical aspects of the global component program.		
<p>Coordination and support in meeting with WB on fiduciary and technical aspects of DGM Global Project. Under the terms of the Grant commitments, coordination, development, and dissemination of <b>two Semiannual DGM Global Project Report</b> due 60 days after the end of each semester.</p> <p>Cost estimate includes technical support and translation to three languages.</p>	<p><b>Q1:</b> Preparation and timely submission of DGM Global Project Report, including translation and 'no objection' from GSC.</p> <p><b>Q2:</b> Preparation for Semiannual Global Report, including revision of reporting timeline.</p>	
<b>Activity 3.3.3:</b> Compile and submit the annual report on the DGM to the GSC after receiving a 'no objection' from the World Bank, in a format and timeframe to be established with the World Bank.		
<p>Under the terms of the Grant commitments, coordination, development, and dissemination of <b>DGM Annual Report</b> due 90 days after the end of the fiscal year. Cost estimate includes technical support, translation to three languages, graphic design and printout of annual report. Costs also include support international travel for 2 GSC members to <b>launch DGM Annual Report</b> in Washington, DC during the FIP Subcommittee meeting in December.</p> <p><i>Note: This activity will require further clarification and/or adjustments with the World Bank.</i></p>	<p><b>Q1:</b> Preparation, graphic design, and timely submission of DGM Annual Report in coordination with GSC, DGM country projects and World Bank.</p> <p><b>Q2:</b> Finalization, translation, publication, and launch of DGM Annual Report, which is available on the DGM Global website and launched during COP24 official side event.</p>	

Dedicated Grant Mechanism for Indigenous Peoples and Local Communities  
6<sup>th</sup> Semiannual Global Project Progress Report: January 1 - June 30, 2018

Year 3 (2017-2018) Workplan	Progress: July-December 2017	Progress: January-June 2018
<b>Activity 3.3.4:</b> Submit final report on the activities of the Global Component to the GSC after receiving a 'no objection' from the World Bank. (Due 45 days after completion of activities).		
	<b>Q1:</b> No activities in this quarter  <b>Q2:</b> No activities in this quarter	
<b>Activity 3.3.5:</b> Translate all Reports into Project Languages and Print as required. Reports will be posted on the DGM members section of the DGM website.		
Technical services and associated costs for <b>DGM Monitoring &amp; Reporting.</b>	<b>Q1:</b> All DGM reports have been or are being translated into French, Spanish, and Portuguese, and are/will be available through the DGM Global website.  <b>Q2:</b> All DGM reports have been translated into French, Spanish, and Portuguese, and are available through the DGM Global website.	
<i>Output 3.4 Annual Global Project Financial Audit</i>		
<b>Activity 3.4.1:</b> Annual Audit of Global Project Financial Performance.		
Under the terms of the Grant commitments, <b>DGM Global Project Annual Audit.</b>	<b>Q1:</b> Coordination for project annual audit.  <b>Q2:</b> DGM Annual Audit Report completed and presented to the World Bank.	

## 7. Global Steering Committee Feedback

As of December 31, 2018, the DGM Global Steering Committee included the following members:

**Brazil** – Srewe Xerente

**Burkina Faso** – Idrissa Zeba (co-chair)

**Congo, Democratic Republic of the** – Kapupu Diwa Mutimanwa

**Congo, Republic of the** – Guy Moussele-Diseke

**Cote d'Ivoire** – Delphine Ahoussi / Play Christophe Sare (alternating representation)

**Ghana** – Hayford Duodu

**Indonesia** – Mina Setra (co-chair)

**Mexico** – Manuel Aldrete

**Mozambique** – Daniel Maúla

**Peru** – Marilen Puquio Arturo / Lizardo Cauper Pezo (alternating representation)

**Non-FIP** – Grace Balawag

The DGM GEA submitted this report to the Co-chairs of the GSC in English on February 19, 2019 and to the full GSC on February 28, 2019 in English, Spanish, French, and Portuguese for their review and feedback.